Memorandum

To: Technical Advisory Committee

**FROM:** KALEE WHITEHOUSE, PROJECT MANAGER, and SAM DENT, TECHNICAL LEAD - VEIC

subject: v11.0 Errata Measures effective 01/01/2023

date: 10/17/2022

**Cc:** CELIA JOHNSON, SAG

This memo documents errata changes to Version 11.0 of the Illinois Technical Reference Manual (TRM) that the Technical Advisory Committee (TAC) recommends be made effective 01/01/2023.

VEIC has provided a summary table below showing the errata measures and a brief summary of what was changed, followed by the v11.0 measures themselves.

TRM Policy Document, Section 3.2.1, states that,

“TAC participants should notify the TAC when a TRM mistake or omission is found. If a significant mistake or omission is found in the TRM that results in an unreasonable savings estimate, the Program Administrators, Evaluators, TRM Administrator, and TAC will strive to reach consensus on a solution that will result in a reasonable savings estimate. For example, an unreasonable savings estimate may result from an error or omission in the TRM.

“In these limited cases where consensus is reached, the TRM Administrator shall inform the Evaluators to use corrected TRM algorithms and inputs to calculate energy and capacity savings, in addition to using the Commission-approved TRM algorithms and inputs to calculate savings. If the corrected TRM algorithms and inputs are stipulated for acceptance by all the parties in the Program Administrator’s savings docket, then the corrected TRM savings verification values may be used for the purpose of measuring savings toward compliance with the Program Administrator’s energy savings goals. Errors and omissions found in the TRM will be officially corrected through the annual TRM Update proceeding and will be identified as ‘Errata’.”

It is our belief and understanding that the following measures have been determined to be consensus errata by the Program Administrators, Evaluators, and the entire TAC. The term ‘errata’ is used to describe these measures, and in accordance with the TRM Policy Document, the Evaluators may use this version of the measures during evaluation of the current program year (in addition to the measures currently in Version 11.0 of the TRM).

**Summary of Errata Measures**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Section** | **Measure Name** | **Measure Code** | **Brief Summary of Change** | **TAC Reviewed and Approved As of** |
| 4.2.1 | Combination Oven | CI-FSE-CBOV-V04-230101 | Added ‘\* 1000’ to ElecIDLESteamEE algorithm for 3-4 Pan capacity in order to calculate watts rather than kW. | New |
| 4.5.4 | LED Bulbs and Fixtures | CI-LTG-LEDB-V16-230101 | Added column to lamp tables to indicate which are impacted by EISA backstop and which aren’t. Also clarified that LED Downlight Fixture is also subject to EISA backstop. | 11/1/2022 |
| 5.3.1 | Centrally Ducted Air Source Heat Pump | RS-HVC-ASHP-V13-230101 | Addition of baselines for ‘space constrained’ units as per the Federal Standard. | New |
| 5.3.3 | Central Air Conditioning | RS-HVC-CAC1-V11-230101 | Addition of baselines for ‘space constrained’ units as per the Federal Standard. | New |
| 5.3.12 | Ductless Heat Pumps | RS-HVC-DHP-V11-230101 | Addition of baselines for ‘space constrained’ units as per the Federal Standard. | New |
| 5.5.6 | LED Specialty Lamps | RS-LTG-LEDD-V16-230101 | EISA exempt bulb types (<310 and >3300 lumens) removed from measure. | 11/1/2022 |
| 5.5.8 | LED Screw Based Omnidirectional Bulbs | RS-LTG-LEDA-V15-230101 | EISA exempt bulb types (<310 and >3300 lumens) removed from measure. | 11/1/2022 |
| 5.5.13 | EISA Exempt LED Lighting | RS-LTG-LEDE-V2-230101 | EISA exempt decorative and directional bulb types added to measure. | 11/1/2022 |
|  |  |  | Possible EISA cut off date? |  |
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### 

### Combination Oven

###### Description

This measure applies to both natural gas fired and electric high efficiency combination convection and steam ovens installed in a commercial kitchen.

This measure was developed to be applicable to the following program types: TOS, RF. If applied to other program types, the measure savings should be verified.

###### Definition of Efficient Equipment

To qualify for this measure, the installed equipment must be a new natural gas or electric combination oven meeting the ENERGY STAR idle rate and cooking efficiency requirements as specified below.[[1]](#footnote-1)

**ENERGY STAR Requirements (Version 3.0, Effective January 12, 2023)**

|  |  |  |  |
| --- | --- | --- | --- |
| **Fuel Type** | **Operation** | **Idle Rate**  **(Btu/h for Gas, kW for Electric)** | **Cooking-Energy Efficiency, (%)** |
| Natural Gas  (5-40 Pan Capacity) | Steam Mode  Convection Mode | ≤ 200P+6,511  ≤ 140P+3,800 | ≥ 41  ≥ 57 |
| Electric  (5-40 Pan Capacity) | Steam Mode  Convection Mode | ≤ 0.133P+0.6400  ≤ 0.083P+0.35 | ≥ 55  ≥ 78 |
| Electric  (3-4 Pan Capacity) | Steam Mode  Convection Mode | ≤ 0.60P  ≤ 0.05P+0.55 | ≥ 51  ≥ 70 |

Note: P = Pan capacity as defined in Section 1.Y, of the Commercial Ovens Program Requirements Version 3.0[[2]](#footnote-2)

###### Definition of Baseline Equipment

The baseline equipment is a natural gas or electric combination oven that is not ENERGY STAR certified.

###### Deemed Lifetime of Efficient Equipment

The expected measure life is assumed to be 12 years.[[3]](#footnote-3)

###### Deemed Measure Cost

The costs vary based on the efficiency and make of the equipment. Actual costs should be used.

###### Loadshape

Loadshape C01 - Commercial Electric Cooking

###### Coincidence Factor

Summer Peak Coincidence Factor for measure is provided below for different building type:[[4]](#footnote-4)

| **Location** | **CF** |
| --- | --- |
| Fast Food Limited Menu | 0.32 |
| Fast Food Expanded Menu | 0.41 |
| Pizza | 0.46 |
| Full Service Limited Menu | 0.51 |
| Full Service Expanded Menu | 0.36 |
| Cafeteria | 0.39 |

**Algorithm**

###### Calculation of Savings

###### Electric Energy and Fossil Fuel Savings[[5]](#footnote-5)

Non Fuel Switch Measures

The algorithm below applies to electric combination ovens only.

∆kWh = (CookingEnergyConvElec + CookingEnergySteamElec + IdleEnergyConvElec + IdleEnergySteamElec + PreHeatEnergyElec) \* Days / 1,000

The algorithm below applies to natural gas combination ovens only.

∆Therms = (CookingEnergyConvGas + CookingEnergySteamGas + IdleEnergyConvGas + IdleEnergySteamGas + PreHeatEnergyGas) \* Days / 100,000

Fuel Switch/Electrification Measures

Fuel switch / electrification measures must produce positive total energy savings (i.e., reduction in Btus at the premises) in order to qualify. This is determined as follows:

SiteEnergySavings (MMBTUs) = [GasConsumptionReplaced] – [ElectricConsumptionAdded]

= [(CookingEnergyConvGasBase + CookingEnergySteamGasBase + IdleEnergyConvGasBase + IdleEnergySteamGasBase + PreHeatEnergyBaseGas) \* Days / 1,000,000] –

[(CookingEnergyConvElecEE + CookingEnergySteamElecEE + IdleEnergyConvElecEE + IdleEnergySteamElecEE + PreHeatEnergyEEElec) \* Days \* 3.412/ 1,000,000]

If SiteEnergySavings calculated above is positive, the measure is eligible.

The appropriate savings claim is dependent on which utilities are supporting the measure as provided in a table below:

| **Measure supported by:** | **Electric Utility claims (kWh):** | **Gas Utility claims (therms):** |
| --- | --- | --- |
| Electric utility only | SiteEnergySavings \* 1,000,000/3,412 | N/A |
| Electric and gas utility  (Note utilities may make alternative agreements to how savings are allocated as long as total MMBtu savings remains the same). | %IncentiveElectric \* SiteEnergySavings \*  1,000,000/3,412 | %IncentiveGas \* SiteEnergySavings \* 10 |
| Gas utility only | N/A | SiteEnergySavings \* 10 |

Where:

CookingEnergyConvElec = Change in total daily cooking energy consumed by electric oven in convection mode

= LBElec \* (EFOODConvElec / ElecEFFConvBase - EFOODConvElec / ElecEFFConvEE) \* %Conv

CookingEnergySteamElec = Change in total daily cooking energy consumed by electric oven in steam mode

= LBElec \* (EFOODSteamElec / ElecEFFSteamBase – EFOODSteamElec / ElecEFFSteamEE) \* %Steam

IdleEnergyConvElec = Change in total daily idle energy consumed by electric oven in convection mode

= [(ElecIDLEConvBase \* ((HOURS – LBElec/ElecPCConvBase) \* %Conv)) - (ElecIDLEConvEE \* ((HOURS - LBElec/ElecPCConvEE) \* %Conv))]

IdleEnergySteamElec = Change in total daily idle energy consumed by electric oven in convection mode

= [(ElecIDLESteamBase \* ((HOURS – LBElec/ElecPCSteamBase) \* %Steam)) - (ElecIDLESteamEE \* ((HOURS - LBElec/ElecPCSteamEE) \* %Steam))]

PreHeatEnergyElec = Change in total daily energy consumed by electric oven to preheat

= PreheatBaseElec - PreheatEEElec

CookingEnergyConvGas = Change in total daily cooking energy consumed by gas oven in convection mode

= LBGas \* (EFOODConvGas / GasEFFConvBase - EFOODConvGas / GasEFFConvEE) \* %Conv

CookingEnergySteamGas = Change in total daily cooking energy consumed by gas oven in steam mode

= LBGas \* (EFOODSteamGas / GasEFFSteamBase – EFOODSteamGas / GasEFFSteamEE) \* %Steam

IdleEnergyConvGas = Change in total daily idle energy consumed by gas oven in convection mode

= [(GasIDLEConvBase \* ((HOURS – LBGas/GasPCConvBase) \* %Conv)) - (GasIDLEConvEE \* ((HOURS - LBGas/GasPCConvEE) \* %Conv))]

IdleEnergySteamGas = Change in total daily idle energy consumed by gas oven in convection mode

= [(GasIDLESteamBase \* ((HOURS – LBGas/GasPCSteamBase) \* %Steam)) - (GasIDLESteamEE \* ((HOURS - LBGas/GasPCSteamEE) \* %Steam))]

PreHeatEnergyGas = Change in total daily energy consumed by gas oven to preheat

= PreheatBaseGas - PreheatEEGas

CookingEnergyConvElecEE = Total daily cooking energy consumed by new ENERGY STAR electric oven in convection mode (for fuel switch measure)

= LBElec \* (EFOODConvElec / ElecEFFConvEE) \* %Conv

CookingEnergySteamElecEE = Total daily cooking energy consumed by new ENERGY STAR electric oven in steam mode (for fuel switch measure)

= LBElec \* (EFOODSteamElec / ElecEFFSteamEE) \* %Steam

IdleEnergyConvElecEE = Total daily idle energy consumed by new ENERGY STAR electric oven in convection mode (for fuel switch measure)

= (ElecIDLEConvEE \* ((HOURS - LBElec/ElecPCConvEE) \* %Conv))

IdleEnergySteamElecEE = Total daily idle energy consumed by new ENERGY STAR electric oven in convection mode (for fuel switch measure)

= (ElecIDLESteamEE \* ((HOURS - LBElec/ElecPCSteamEE) \* %Steam))

CookingEnergyConvGasBase = Total daily cooking energy consumed by baseline gas oven in convection mode (for fuel switch measure)

= LBGas \* (EFOODConvGas / GasEFFConvBase) \* %Conv

CookingEnergySteamGasBase = Total daily cooking energy consumed by baseline gas oven in steam mode (for fuel switch measure)

= LBGas \* (EFOODSteamGas / GasEFFSteamBase) \* %Steam

IdleEnergyConvGasBase = Total daily idle energy consumed by baseline gas oven in convection mode (for fuel switch measure)

= (GasIDLEConvBase \* ((HOURS – LBGas/GasPCConvBase) \* %Conv))

IdleEnergySteamGasBase = Total daily idle energy consumed by baseline gas oven in convection mode(for fuel switch measure)

=[(GasIDLESteamBase \* ((HOURS – LBGas/GasPCSteamBase) \* %Steam))

Where:

LBElec  = Estimated mass of food cooked per day for electric oven (lbs/day)

= Custom, or if unknown, use 200 lbs (If P <15) or 250 lbs(If P >= 15)

EFOODConvElec = Energy absorbed by food product for electric oven in convection mode

= Custom or if unknown, use 73.2 Wh/lb

ElecEFF = Cooking energy efficiency of electric oven

= Custom or if unknown, use values from table below

|  | **Base** | **EE** |
| --- | --- | --- |
| ElecEFFConv | 72% | 78% |
| ElecEFFSteam | 52% | 55% |

%Conv = Percentage of time in convection mode

= Custom or if unknown, use 50%

EFOODSteamElec = Energy absorbed by food product for electric oven in steam mode

= Custom or if unknown, use 30.8 Wh/lb

%steam = Percentage of time in steam mode

= 1 - %conv

ElecIDLEBase = Idle energy rate (W) of baseline electric oven

= Custom or if unknown, use values from table below

| **Pan Capacity** | **Convection Mode**  **(ElecIDLEConvBase)** | **Steam Mode**  **(ElecIDLESteamBase)** |
| --- | --- | --- |
| < 15 | 1,754 | 5,260 |
| > = 15 to <30 | 2,966 | 8,866 |
| >= 30 | 4,418 | 11,875 |

HOURS = Average daily hours of operation

= Custom or if unknown, use 12 hours

ElecPCBase = Production capacity (lbs/hr) of baseline electric oven

= Custom of if unknown, use values from table below

|  |  |  |
| --- | --- | --- |
| **Pan Capacity** | **Convection Mode (ElecPCConvBase)** | **Steam Mode (ElecPCSteamBase)** |
| < 15 | 79 | 126 |
| > = 15 | 166 | 295 |

ElecIDLEConvEE = Idle energy rate of ENERGY STAR electric oven in convection mode

= (0.083\*P +0.350)\*1000 for 5-40 Pan Capacity

= (0.05\*P +0.55)\*1000 for 3-4 Pan Capacity

ElecPCEE = Production capacity (lbs/hr) of ENERGY STAR electric oven

= Custom of if unknown, use values from table below

| **Pan Capacity** | **Convection Mode (ElecPCConvEE)** | **Steam Mode (ElecPCSteamEE)** |
| --- | --- | --- |
| < 15 | 119 | 177 |
| > = 15 | 201 | 349 |

ElecIDLESteamEE = Idle energy rate of ENERGY STAR electric oven in steam mode

= (0.133 \* P+0.64)\*1000 for 5-40 Pan Capacity

= (0.60 \* P)\*1000 for 3-4 Pan Capacity

PreheatBaseElec = Total preheat energy consumption per day of baseline electric unit (Wh)

| **Pan Capacity** | **PreheatBaseElec** |
| --- | --- |
| < 15 | 1,635 |
| > = 15 | 3,146 |

PreheatBaseElec = Total preheat energy consumption per day of ENERGY STAR electric unit (Wh)

| **Pan Capacity** | **PreheatEEElec** |
| --- | --- |
| < 15 | 997 |
| > = 15 | 1,633 |

Days = Days of operation per year

= Custom or if unknown, use 365 days per year

1,000 = Wh to kWh conversion factor

LBGas = Estimated mass of food cooked per day for gas oven (lbs/day)

= Custom, or if unknown, use 200 lbs (If P <15), 250 lbs(If 15 <= P 30), or 400 lbs (If P = >30)

EFOODConvGas = Energy absorbed by food product for gas oven in convection mode

= Custom or if unknown, use 250 Btu/lb

GasEFF = Cooking energy efficiency of gas oven

= Custom or if unknown, use values from table below

|  |  |  |
| --- | --- | --- |
|  | **Base** | **EE** |
| GasEFFConv | 49% | 57% |
| GasEFFSteam | 37% | 41% |

EFOODSteamGas = Energy absorbed by food product for gas oven in steam mode

= Custom or if unknown, use 105 Btu/lb

GasIDLEBase = Idle energy rate (Btu/hr) of baseline gas oven

= Custom or if unknown, use values from table below

|  |  |  |
| --- | --- | --- |
| **Pan Capacity** | **Convection Mode (GasIDLEConvBase)** | **Steam Mode (GasIDLESteamBase)** |
| < 15 | 9,840 | 24,003 |
| 15-30 | 11,734 | 27,795 |
| >30 | 15,376 | 27,957 |

GasPCBase = Production capacity (lbs/hr) of baseline gas oven

= Custom of if unknown, use values from table below

| **Pan Capacity** | **Convection Mode (GasPCConvBase)** | **Steam Mode (GasPCSteamBase)** |
| --- | --- | --- |
| < 15 | 125 | 195 |
| 15-30 | 176 | 211 |
| >30 | 392 | 579 |

GasIDLEConvEE = Idle energy rate of ENERGY STAR gas oven in convection mode

= 140\*P + 3,800

GasPCEE = Production capacity (lbs/hr) of ENERGY STAR gas oven

= Custom of if unknown, use values from table below

|  |  |  |
| --- | --- | --- |
| **Pan Capacity** | **Convection Mode (GasPCConvEE)** | **Steam Mode (GasPCSteamEE)** |
| < 15 | 124 | 172 |
| 15-30 | 210 | 277 |
| >30 | 394 | 640 |

GasIDLESteamEE = Idle energy rate of ENERGY STAR gas oven in steam mode

= 200 \* P +6511

PreheatBaseGas = Total preheat energy consumption per day of baseline gas unit (BTU)

| **Pan Capacity** | **PreheatBaseGas** |
| --- | --- |
| < 15 | 10,964 |
| > = 15 | 15,844 |

PreheatBaseGas = Total preheat energy consumption per day of ENERGY STAR gas unit (BTU)

| **Pan Capacity** | **PreheatEEGas** |
| --- | --- |
| < 15 | 4,467 |
| > = 15 | 10,638 |

100,000 = Conversion factor from Btu to therms

3.412 = Conversion factor from Wh to Btu

1,000,000 = Conversion factor from Btu to MMBtu

**For example**, a 10-pan capacity ENERGY STAR electric combination oven in place of a baseline electric oven would save:

∆kWh = (CookingEnergyConvElec + CookingEnergySteamElec + IdleEnergyConvElec + IdleEnergySteamElec + PreHeatEnergyElec) \* Days / 1,000

CookingEnergyConvElec = 200 \* (73.2 / 0.72 – 73.2 / 0.78) \* 0.50

= 782 Wh

CookingEnergySteamElec = 200 \* (30.8 / 0.52 – 30.8 / 0.55) \* (1 – 0.50)

= 323 Wh

IdleEnergyConvElec = [(1,754 \* ((12 – 200/79) \* 0.50)) - (1,180 \*((12 - 200/119) \* 0.50))]

= 2215 Wh

IdleEnergySteamElec = [(5,260 \* ((12 – 200/126) \* (1 – 0.50))) - (1,970 \* ((12 - 200/177) \* (1 – 0.50)))]

= 16,678 Wh

PreHeatEnergyElec = 1,635 – 997

= 638 Wh

∆kWh = (782 + 323 + 2215 + 16,678 + 638) \* 365 /1,000

= 7,532 kWh

**For example**, an ENERGY STAR 10-pan capacity electric combination oven in place of a baseline gas combination oven would save:

SiteEnergySavings (MMBTUs) = [GasConsumptionReplaced] – [ElectricConsumptionAdded]

= [(CookingEnergyConvGasBase + CookingEnergySteamGasBase + IdleEnergyConvGasBase + IdleEnergySteamGasBase + PreHeatEnergyBaseGas) \* Days / 1,000,000] –

[(CookingEnergyConvElecEE + CookingEnergySteamElecEE + IdleEnergyConvElecEE + IdleEnergySteamElecEE + PreHeatEnergyEEElec) \* Days \* 3.412/ 1,000,000]

CookingEnergyConvGasBase = 200 \* (250 / 0.49) \* 0.50

=51,020 Btu

CookingEnergySteamGasBase = 200 \* (105 / 0.37) \* (1 – 0.50)

= 28,378 Btu

IdleEnergyConvGasBase = 9,840 \* ((12 – 200/125) \* 0.50))

= 51,168 Btu

IdleEnergySteamGasBase = 24,003 \* ((12 – 200/195) \* (1 – 0.50))

= 131,709 Btu

PreHeatEnergyBaseGas = 10,964 Btu

CookingEnergyConvElecEE = 200 \* (73.2 / 0.78) \* 0.50

= 9,385 Wh

CookingEnergySteamElecEE = 200 \* (30.8 / 0.55) \* (1 – 0.50)

= 5,600 Wh

IdleEnergyConvElecEE = 1,180 \* ((12 - 200/119) \* 0.50)

= 6,088 Wh

IdleEnergySteamElecEE = 1,970 \* ((12 - 200/177) \* (1 – 0.50)))

= 10,707 Wh

PreHeatEnergyEEElec = 997 Wh

SiteEnergySavings (MMBTUs) = [(51,020 + 28,378 + 51,168 + 131,709 + 10,964) \* 365 /1,000,000] – [(9,385 + 5,600 + 6,088 + 10,707 + 997) \* 3.412/1,000,000]

= 99.6 MMBtu

If supported by an electric utility; ΔkWh = ΔSiteEnergySavings \* 1,000,000 / 3,412

= 99.6 \* 1,000,000/3,412

= 29,191 kWh

###### Summer Coincident Peak Demand Savings

∆kW = ∆kWh / (HOURS \* DAYS) \*CF

Where:

CF = Summer peak coincidence factor is dependent on building type:[[6]](#footnote-6)

| **Location** | **CF** |
| --- | --- |
| Fast Food Limited Menu | 0.32 |
| Fast Food Expanded Menu | 0.41 |
| Pizza | 0.46 |
| Full Service Limited Menu | 0.51 |
| Full Service Expanded Menu | 0.36 |
| Cafeteria | 0.39 |

All other variables as defined above.

**For example**, a 10-pan capacity electric combination oven in a Full Service Limited Menu restaurant would save:

∆kW = ∆kWh / (HOURS \* DAYS) \*CF

= 7,532/ (12 \* 365) \* 0.51

= 0.88 kW

###### Fossil Fuel Savings

Calculation provided together with Electric Energy Savings above.

###### Water Impact Descriptions and Calculation

N/A

###### Deemed O&M Cost Adjustment Calculation

N/A

###### Cost Effectiveness Screening and Load Reduction Forecasting when Fuel Switching

This measure can involve fuel switching from fossil fuel to electric.

For the purposes of forecasting load reductions due to fuel switch projects per Section 16-111.5B, changes in site energy use at the customer’s meter (using ΔkWh algorithm below), customer switching estimates, NTG, and any other adjustment factors deemed appropriate, should be used.

The inputs to cost effectiveness screening should reflect the actual impacts on the electric and fuel consumption at the customer meter and, for fuel switching measures, should therefore reflect the decrease in one fuel and increase in another, as opposed to the single savings value calculated in the “Electric and Fossil Fuel Energy Savings” section above. Therefore in addition to the calculation of savings claimed, the following values should be used to assess the cost effectiveness of the measure.

ΔTherms = [Gas Cooking Consumption Replaced]

= [(CookingEnergyConvGasBase + CookingEnergySteamGasBase + IdleEnergyConvGasBase + IdleEnergySteamGasBase + PreHeatEnergyBaseGas) \* Days / 100,000]

ΔkWh = [Electric Cooking Consumption Added]

= - [(CookingEnergyConvElecEE + CookingEnergySteamElecEE + IdleEnergyConvElecEE + IdleEnergySteamElecEE + PreHeatEnergyEEElec) \* Days/1,000]

###### Measure Code: CI-FSE-CBOV-V04-230101

###### Review Deadline: 1/1/2026

### LED Bulbs and Fixtures

###### Description

Please note that this measure characterization contains assumptions that were negotiated as a compromise between the utilities and stakeholders. The Parties agree that TRM version 11 does not allow utilities to claim General Service Lamp measure savings for business customers with longer than a 2 year measure life; though the Parties recognize that small businesses, disadvantaged businesses and non-profit entities often face challenges similar to Income Qualified customers.  The Parties commit to future discussions on how best to serve small businesses, disadvantaged businesses and non-profit entities with this measure, specifically those located in communities identified as disadvantaged and to offer an errata to the TRM version 11 if appropriate.

This characterization provides savings assumptions for a variety of LED lamps including Omnidirectional (e.g., A-Type lamps), Decorative (e.g., Globes and Torpedoes) and Directional (PAR Lamps, Reflectors, MR16), TLEDs and fixtures including refrigerated case, recessed and outdoor/garage fixtures.

If the implementation strategy does not allow for the installation location to be known, for Residential targeted programs (e.g., an upstream retail program not in a store ‘easily accessed by income qualified communities’ (see discussion in Residential LED measures – 100% of sales in stores easily accessed by income qualified communities are assumed to be income qualified (IQ) residential)), a deemed split of 97% Residential and 3% Commercial assumptions should be used,[[7]](#footnote-7) and for Commercial targeted programs a deemed split of 97% Commercial and 3% Residential for non-linear LED Bulbs and 100% Commercial and 0% Residential for LED Fixtures and TLEDs should be used.[[8]](#footnote-8)

This measure was developed to be applicable to the following program types:  TOS, NC, EREP, DI, KITS.

If applied to other program types, the measure savings should be verified.

###### Definition of Efficient Equipment

In order for this characterization to apply, new lamps must be ENERGY STAR in accordance with ENERGY STAR specification v2.1 (effective 1/2/2017) or equivalent to the most recent version of ENERGY STAR specifications or be listed on the Design Lights Consortium Qualifying Product List.[[9]](#footnote-9)

###### Definition of Baseline Equipment

The Standard Rx Program will assume a Time of Sale baseline for all one to one replacements, and early replacement for lighting redesign and early retirement for delamping.

For early replacement, the baseline is the existing fixture being replaced.

If the existing fixture is a T12: In July 14, 2012, Federal Standards were enacted that were expected to eliminate T-12s as an option for linear fluorescent fixtures. Through v3.0 of the TRM, it was assumed that the T-12 would no longer be baseline for retrofits from 1/1/2016. However, due to significant loopholes in the legislation, T-12 compliant product is still freely available and in Illinois T-12s continue to hold a significant share of the existing and replacement lamp market. From v8.0 on, a midlife adjustment is applied after the remaining useful life of the T12 fixture (calculated as 1/3 of the 40,000 hour ballast life/ hours). This assumes that T12 replacement lamps will continue to be available until then. See ‘Early Replacement Measures with T12 baseline’ section.

For Time of Sale, refer to the baseline tables at the end of this measure.

In 2012, Federal legislation stemming from the Energy Independence and Security Act of 2007 (EIAS) required all general-purpose light bulbs (defined as omni-directional or standard A-lamps) between 40 watts and 100 watts to have ~30% increased efficiency, essentially phasing out standard incandescent technology. In 2012, the 100 w lamp standards went in to effect followed by the 75 w lamp standards in 2013 and 60 w and 40 w lamps in 2014.

Additionally, an EISA backstop provision was included that would require replacement baseline lamps to meet an efficacy requirement of 45 lumens/watt or higher beginning on 1/1/2020.

However, in December 2019, DOE issued a final determination for General Service Incandescent Lamps (GSILs), finding that this more stringent standard was not economically justified. However, in May 2022 DOE reversed this decision by issuing a Final rule for both the broadened General Service Lamp definition as well as the implementation of the 45 lumen per watt backstop. DOE stated that it will use its enforcement discretion to minimize impacts on the supply chain and effectively allow companies to continue the manufacture and import of noncompliant bulbs through the remainder of 2022, and allow retailers to continue selling them with limited enforcement until July 2023.

This TRM assumes that commercial participants would continue to have access to baseline / noncompliant bulbs through retail until 6/30/2023 after which the baseline for new purchases becomes an LED (since only CFL and LED are able to meet the 45 lu/watt standard and CFLs now make up <1% of the market). For purchases made before this date it is assumed that stockpiles would remain through the remainder of 2023 such that the measure life for 2023 purchases is reduced to 2 years.

Direct Install programs where it can be shown that the LED is replacing working inefficient lighting should continue to use the existing inefficient lighting as baseline and also assume a measure life of 2 years.

###### Deemed Lifetime of Efficient Equipment

For fixtures, the lifetime is the life of the product, at the reported operating hours (lamp life in hours divided by operating hours per year – see reference table "LED component Costs and Lifetime." The analysis period is the same as the lifetime, capped at 15 years. (15 years from GDS Measure Life Report, June 2007).

For lamps impacted by the EISA backstop as indicated in tables below and LED Downlight Fixtures the measure life is assumed to be two years.

For lamps not impacted by the EISA backstop, the lifetime is calculated as the rated lifetime of the product (actual if available, otherwise assume 20,000 hours for Omnidirectional, 17,000 hours for decorative and 25,000 for directional lamps based on average rated life of lamps on the ENERGY STAR Qualified Products list (accessed 6/16/2020)) divided by the reported operating hours, capped at 10 years.[[10]](#footnote-10)

###### Deemed Measure Cost

Wherever possible, actual incremental costs should be used. Refer to reference table “LED component Cost & Lifetime” for defaults.

###### Loadshape

|  |
| --- |
| Loadshape C06 - Commercial Indoor Lighting |
| Loadshape C07 - Grocery/Conv. Store Indoor Lighting |
| Loadshape C08 - Hospital Indoor Lighting |
| Loadshape C09 - Office Indoor Lighting |
| Loadshape C10 - Restaurant Indoor Lighting |
| Loadshape C11 - Retail Indoor Lighting |
| Loadshape C12 - Warehouse Indoor Lighting |
| Loadshape C13 - K-12 School Indoor Lighting |
| Loadshape C14 - Indust. 1-shift (8/5) (e.g., comp. air, lights) |
| Loadshape C15 - Indust. 2-shift (16/5) (e.g., comp. air, lights) |
| Loadshape C16 - Indust. 3-shift (24/5) (e.g., comp. air, lights) |
| Loadshape C17 - Indust. 4-shift (24/7) (e.g., comp. air, lights) |
| Loadshape C18 - Industrial Indoor Lighting |
| Loadshape C19 - Industrial Outdoor Lighting |
| Loadshape C20 - Commercial Outdoor Lighting  Loadshape C60 – Non-Residential Agriculture Lighting – 6 Hours  Loadshape C61 – Non-Residential Agriculture Lighting – 8 Hours  Loadshape C62 – Non-Residential Agriculture Lighting – 12 Hours  Loadshape C63 – Non-Residential Dairy Long Day Lighting – 17 Hours  Loadshape C64 – Non-Residential Agriculture Lighting – 24 Hours |

###### Coincidence Factor

The summer peak coincidence factor for this measure is dependent on the location type. Values are provided for each building type in the reference section below.

**Algorithm**

###### Calculation of Savings

###### Electric Energy Savings

ΔkWh = ((Wattsbase-WattsEE)/1000) \* Hours \*WHFe\*ISR

Where:

Wattsbase = Input wattage of the existing (for early replacement) or baseline system. Reference the “LED New and Baseline Assumptions” table for default values.

WattsEE = Actual wattage of LED purchased / installed. If unknown, use default provided below:

For ENERGY STAR rated lamps the following lumen equivalence tables should be used:[[11]](#footnote-11)

**Omnidirectional Lamps - ENERGY STAR Minimum Luminous Efficacy = 80Lm/W for <90 CRI lamps and 70Lm/W for >=90 CRI lamps.**

| **Minimum Lumens** | **Maximum Lumens** | **LED Wattage (WattsEE)** | **Baseline  (WattsBase)** | **Delta Watts  (WattsEE)** | **Impacted by EISA Backstop** |
| --- | --- | --- | --- | --- | --- |
| 120 | 309 | 4.0 | 25 | 21.0 | No |
| 310 | 399 | 4.0 | 25 | 21.0 | Yes |
| 400 | 749 | 6.6 | 29 | 22.4 | Yes |
| 750 | 899 | 9.6 | 43 | 33.4 | Yes |
| 900 | 1,399 | 13.1 | 53 | 39.9 | Yes |
| 1,400 | 1,999 | 16.0 | 72 | 56.0 | Yes |
| 2,000 | 2,999 | 21.8 | 150 | 128.2 | Yes |
| 3,000 | 3,299 | 28.9 | 200 | 171.1 | Yes |
| 3,300 | 3,999 | 28.9 | 200 | 171.1 | No |
| 4,000 | 5,000 | 35.7 | 300 | 264.3 | No |

**Decorative Lamps - ENERGY STAR Minimum Luminous Efficacy = 65Lm/W for all lamps**

| **Bulb Type** | **Minimum Lumens** | **Maximum Lumens** | **LED Wattage (WattsEE)** | **Baseline (WattsBase)** | **Delta Watts  (WattsEE)** | **Impacted by EISA Backstop** |
| --- | --- | --- | --- | --- | --- | --- |
| **Omni-Directional**  **3-Way** | 1,100 | 1,999 | 14.7 | 100 | 85.3 | Yes |
| 2,000 | 2,700 | 22.6 | 150 | 127.4 | Yes |
| **Globe (medium and intermediate bases less than 750 lumens)** | 150 | 309 | 3.0 | 25 | 22 | No |
| 310 | 349 | 3.0 | 25 | 22 | Yes |
| 350 | 499 | 4.7 | 40 | 35.3 | Yes |
| 500 | 574 | 5.7 | 60 | 54.3 | Yes |
| 575 | 649 | 6.5 | 75 | 68.5 | Yes |
| 650 | 1,000 | 8.2 | 100 | 91.8 | Yes |
| **Globe (candelabra bases less than 1050 lumens)** | 150 | 309 | 3.5 | 25 | 21.5 | No |
| 310 | 349 | 3.5 | 25 | 21.5 | Yes |
| 350 | 499 | 4.4 | 40 | 35.6 | Yes |
| 500 | 574 | 5.5 | 60 | 54.5 | Yes |
| **Decorative (Shapes B, BA, C, CA, DC, F, G, medium and intermediate bases less than 750 lumens)** | 160 | 299 | 2.6 | 25 | 22.4 | No |
| 300 | 309 | 4.3 | 40 | 35.7 | No |
| 310 | 499 | 4.3 | 40 | 35.7 | Yes |
| 500 | 800 | 5.8 | 60 | 54.2 | Yes |
| **Decorative (Shapes B, BA, C, CA, DC, F, G, T candelabra bases less than 1050 lumens)** | 120 | 159 | 1.5 | 15 | 13.5 | No |
| 160 | 299 | 2.7 | 25 | 22.3 | No |
| 300 | 309 | 4.2 | 40 | 35.8 | No |
| 310 | 499 | 4.2 | 40 | 35.8 | Yes |
| 500 | 650 | 5.5 | 60 | 54.5 | Yes |
| **Decorative**  **(Shape ST)** | 250 | 309 | 6.5 | 40 | 33.5 | No |
| 310 | 499 | 6.5 | 40 | 33.5 | Yes |
| 500 | 999 | 8.8 | 60 | 51.2 | Yes |
| 1000 | 1500 | 10.0 | 100 | 90.0 | Yes |
| **Decorative (Shape S)** | 50 | 75 | 1.0 | 11 | 10.0 | No |
| 100 | 120 | 1.2 | 15 | 13.8 | No |
| 120 | 309 | 2.25 | 25 | 22.8 | No |
| 310 | 340 | 2.25 | 25 | 22.8 | Yes |

**Directional Lamps -** ENERGY STAR Minimum Luminous Efficacy = 70Lm/W for <90 CRI lamps and 61 Lm/W for >=90CRI lamps.

For Directional R, BR, ER, PAR, MR and MRX lamp types. Note the Center Beam Candle Power (CBCP) methodology described below the default table is the preferred methodology for PAR, MR and MRX lamps and should be used where data allows. Defaults for use when this information is not available are provided below:

| **Bulb Type** | **Minimum Lumens** | **Maximum Lumens** | **LED Wattage (WattsEE)** | **Baseline (WattsBase)** | **Delta Watts  (WattsEE)** | **Impacted by EISA Backstop** |
| --- | --- | --- | --- | --- | --- | --- |
| Reflector lamp types with medium screw bases (PAR20, PAR30(S,L), PAR38, R40, etc.) w/ diameter >2.25"  (\*see exceptions below) | 400 | 649 | 7.0 | 50 | 43 | Yes |
| 650 | 899 | 10.7 | 75 | 64.3 | Yes |
| 900 | 1,049 | 13.9 | 90 | 76.1 | Yes |
| 1,050 | 1,199 | 13.8 | 100 | 86.2 | Yes |
| 1,200 | 1,499 | 15.9 | 120 | 104.1 | Yes |
| 1,500 | 1,999 | 18.9 | 150 | 131.1 | Yes |
| 2,000 | 3,299 | 27.3 | 250 | 222.7 | Yes |
| 3,300 | 4,200 | 27.3 | 250 | 222.7 | No |
| Reflector lamp types with medium screw bases (PAR16, R14, R16, etc.) w/ diameter <2.25"  (\*see exceptions below) | 280 | 309 | 4.6 | 35 | 30.4 | No |
| 310 | 374 | 4.6 | 35 | 30.4 | Yes |
| 375 | 600 | 6.4 | 50 | 43.6 | Yes |
| \*BR30, BR40, or ER40 | 650 | 949 | 9.3 | 65 | 55.7 | Yes |
| 950 | 1,099 | 12.7 | 75 | 62.3 | Yes |
| 1,100 | 1,399 | 14.4 | 85 | 70.6 | Yes |
| 1,400 | 1,600 | 16.6 | 100 | 83.4 | Yes |
| 1,601 | 1,800 | 22.2 | 120 | 97.8 | Yes |
| \*R20 | 450 | 524 | 6.0 | 40 | 34.0 | Yes |
| 525 | 750 | 7.1 | 45 | 37.9 | Yes |
| \*MR16 | 250 | 309 | 3.8 | 20.0 | 16.2 | No |
| 310 | 324 | 3.8 | 20.0 | 16.2 | Yes |
| 325 | 369 | 4.8 | 25.0 | 20.2 | Yes |
| 370 | 400 | 4.9 | 25.0 | 20.1 | Yes |

For PAR, MR, and MRX Lamps Types:

For these highly focused directional lamp types, it is necessary to have Center Beam Candle Power (CBCP) and beam angle measurements to accurately estimate the equivalent baseline wattage. The formula below is based on the Energy Star Center Beam Candle Power tool.[[12]](#footnote-12) If CBCP and beam angle information are not available or if the equation below returns a negative value (or undefined), use the manufacturer’s recommended baseline wattage equivalent.[[13]](#footnote-13)

Where:

D = Bulb diameter (e.g. for PAR20 D = 20)

BA = Beam angle

CBCP = Center beam candle power

The result of the equation above should be rounded DOWN to the nearest wattage established by Energy Star:

| **Diameter** | **Permitted Wattages** |
| --- | --- |
| 16 | 20, 35, 40, 45, 50, 60, 75 |
| 20 | 50 |
| 30S | 40, 45, 50, 60, 75 |
| 30L | 50, 75 |
| 38 | 40, 45, 50, 55, 60, 65, 75, 85, 90, 100, 120, 150, 250 |

Additional bulb types:

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Bulb Type** | **Minimum Lumens** | **Maximum Lumens** | **LED Wattage (WattsEE)** | **Baseline (WattsBase)** | **Delta Watts  (WattsEE)** | **Impacted by EISA Backstop** |
| **Dimmable Twist, Globe (less than 5" in diameter and > 749 lumens), candle (shapes B, BA, CA > 749 lumens), Candelabra Base Lamps (>1049 lumens), Intermediate Base Lamps (>749 lumens)** | 120 | 309 | 4.0 | 25 | 21.0 | No |
| 310 | 399 | 4.0 | 25 | 21.0 | Yes |
| 400 | 749 | 6.6 | 29 | 22.4 | Yes |
| 750 | 899 | 9.6 | 43 | 33.4 | Yes |
| 900 | 1,399 | 13.1 | 53 | 39.9 | Yes |
| 1,400 | 1,999 | 16.0 | 72 | 56.0 | Yes |

Hours = Average hours of use per year are provided in the Reference Table in Section 4.5 for each building type. If unknown, use the Miscellaneous value.

WHFe = Waste heat factor for energy to account for cooling energy savings from efficient lighting are provided below for each building type in the Referecne Table in Section 4.5. If unknown, use the Miscellaneous value.

ISR = In Service Rate -the percentage of units rebated that actually get installed.

=100% if application form completed with sign off that equipment is not placed into storage.[[14]](#footnote-14) If sign off form not completed, assume the following ISR assumptions, if program survey data is not available:

| **Type** | **1st year In Service Rate (ISR)[[15]](#footnote-15)** |
| --- | --- |
| LED Bulbs | 97.9%[[16]](#footnote-16) |
| LED Fixtures (Energy Star Fixtures) | 98.0%[[17]](#footnote-17) |
| Efficiency Kits | 92.9%[[18]](#footnote-18) |

| **Type** | **Weighted Average 1st year In Service Rate (ISR)** | **2nd year Installations** | **3rd year Installations** | **Final Lifetime In Service Rate** |
| --- | --- | --- | --- | --- |
| TLEDs | 83.1%[[19]](#footnote-19) | 8.1% | 6.8% | 98.0% |

**Mid Life Baseline Adjustment**

Early Replacement Measures with T12 Baseline

For early replacement measures replacing existing T12 fixtures the full savings (as calculated above in the Algorithm section) will be claimed for the remaining useful life of the T12 fixture. This should be calculated as follows:

RUL of existing T12 fixture = (1/3 \* 40,000)/Hours

A savings adjustment should then be applied to the annual savings for the remainder of the measure life.  The adjustment factor to be applied for each T12 installation is 57%.[[20]](#footnote-20)

For example, for an existing 68W T12 fixture in a college is replaced by a 3000 lumen LED 2x2 Recessed Light Fixture (25.4W), a mid life adjustment of 57% should be applied after (1/3 \* 40000)/3395 = 3.9 years.

###### Heating Penalty

If electrically heated building:

ΔkWhheatpenalty[[21]](#footnote-21) = (((WattsBase-WattsEE)/1000) \* ISR \* Hours \* -IFkWh

Where:

IFkWh = Lighting-HVAC Interation Factor for electric heating impacts; this factor represents the increased electric space heating requirements due to the reduction of waste heat rejected by the efficent lighting. Values are provided in the Reference Table in Section 4.5. If unknown, use the Miscellaneous value.

**For example**, a 9W LED omnidirectional lamp, 450 lumens, is installed in a heat pump heated office in 2014 and sign off form provided:

ΔkWhheatpenalty = ((29-6.7)/1000)\*1.0\*3088\* -0.151

= - 10.4 kWh

###### Deferred Installs

As presented above, if a sign off form is not completed the characterization assumes that a percentage of T-LED bulbs purchased are not installed until Year 2 and Year 3 (see ISR assumption above). The Illinois Technical Advisory Committee has determined the following methodology for calculating the savings of these future installs.

Year 1 (Purchase Year) installs: Characterized using assumptions provided above or evaluated assumptions if available.

Year 2 and 3 installs: Characterized using delta watts assumption and hours of use from the Install Year, i.e., the actual deemed (or evaluated if available) assumptions active in Year 2 and 3 should be applied.

The NTG factor for the Purchase Year should be applied.

###### Summer Coincident Peak Demand Savings

ΔkW = ((Wattsbase-WattsEE)/1000) \* ISR \* WHFd \* CF

Where:

WHFd = Waste Heat Factor for Demand to account for cooling savings from efficient lighting in cooled buildings is provided in Referecne Table in Section 4.5. If unknown, use the Miscellaneous value.

CF = Summer Peak Coincidence Factor for measure is provided in the Reference Table in Section 4.5. If unknown, use the Miscellaneous value.

**For example,** a 9W LED omnidirectional lamp, 450 lumens, is installed in an office in 2014 and sign off form provided:

ΔkW = ((29-6.7)/1000)\* 1.0\*1.3\*0.66

= 0.019 kW

###### Fossil Fuel Savings

Heating Penalty if fossil fuel heated building (or if heating fuel is unknown):

ΔTherms = ((WattsBase-WattsEE)/1000) \* ISR \* Hours \* - IFTherms

Where:

IFTherms = Lighting-HVAC Integration Factor for gas heating impacts; this factor represents the increased gas space heating requirements due to the reduction of waste heat rejected by the efficient lighting. Values are provided in the Referecne Table in Section 4.5. If unknown, use the Miscellaneous value.

**For example,** a 9W LED omnidirectional lamp, 450 lumens, is installed in an office in 2014 and sign off form provided:

ΔTherms = ((29-6.7)/1000)\*1.0\*3088\* -0.016

= - 1.10 therms

###### Water Impact Descriptions and Calculation

N/A

###### Deemed O&M Cost Adjustment Calculation

For fixture measures, the individual component lifetimes and costs are provided in the reference table section below.[[22]](#footnote-22)

For lamps no O&M costs should be applied.

###### Reference Tables

**LED Bulb Assumptions**

Wherever possible, actual incremental costs should be used. If unavailable assume the following incremental costs:[[23]](#footnote-23)

| **Bulb Type** | **Year** | **LED** | **Incandescent** | **Incremental Cost** |
| --- | --- | --- | --- | --- |
| **Omnidirectional** | 2017 | $3.21 | $1.25 | $1.96 |
| 2018 | $3.21 | $1.96 |
| 2019 | $3.11 | $1.86 |
| 2020 | $2.70 | $1.45 |
| **Directional** | 2017 | $6.24 | $3.53 | $2.71 |
| 2018+ | $5.18 | $1.65 |
| **Decorative and Globe** | 2017 | $3.50 | $1.60 | $1.90 |
| 2018+ | $3.40 | $1.74 | $1.66 |

**LED Fixture Wattage, TOS Baseline and Incremental Cost Assumptions**[[24]](#footnote-24)

| **LED Category** | **EE Measure Description** | **WattsEE** | **Baseline Description** | **WattsBASE** | **Incremental Cost** |
| --- | --- | --- | --- | --- | --- |
| LED Downlight Fixtures  \* Impacted by EISA Backstop – apply 2 year measure life | LED Recessed, Surface, Pendant Downlights | 17.6 | Baseline Recessed, Surface, Pendant Downlights | 54.3 | $27 |
| LED Interior Directional | LED Track Lighting | 12.2 | Baseline Track Lighting | 60.4 | $59 |
| LED Wall-Wash Fixtures | 8.3 | Baseline Wall-Wash Fixtures | 17.7 | $59 |
| LED Display Case[[25]](#footnote-25) | LED Display Case Light Fixture | 4 per ft | Baseline Display Case Light Fixture | 36.2 per ft | $11/ft |
| LED Undercabinet Shelf-Mounted Task Light Fixtures | 4 per ft | Baseline Undercabinet Shelf-Mounted Task Light Fixtures | 36.2 per ft | $11/ft |
| LED Refrigerated Case Light, Horizontal or Vertical | 4 per ft | Baseline Refrigerated Case Light, Horizontal or Vertical (per foot) | 15.2 per ft | $11/ft |
| LED Freezer Case Light, Horizontal or Vertical | 4 per ft | Baseline Freezer Case Light, Horizontal or Vertical (per foot) | 18.7 per ft | $11/ft |
| LED Linear Replacement Lamps | T8 LED Replacement Lamp (TLED), < 1200 lumens | 8.9 | F17T8 Standard Lamp - 2 foot | 15.0 | $13 |
| T8 LED Replacement Lamp (TLED), 1200-2400 lumens | 15.8 | F32T8 Standard Lamp - 4 foot | 28.2 | $15 |
| T8 LED Replacement Lamp (TLED), > 2400 lumens | 22.9 | F32T8/HO Standard Lamp - 4 foot | 41.8 | $13 |
| LED Troffers | LED 2x2 Recessed Light Fixture, 2000-3500 lumens | 25.4 | 2-Lamp 32w T8 (BF < 0.89) | 57.0 | $53 |
| LED 2x2 Recessed Light Fixture, 3501-5000 lumens | 36.7 | 3-Lamp 32w T8 (BF < 0.88) | 84.5 | $69 |
| LED 2x4 Recessed Light Fixture, 3000-4500 lumens | 33.3 | 2-Lamp 32w T8 (BF < 0.89) | 57.0 | $55 |
| LED 2x4 Recessed Light Fixture, 4501-6000 lumens | 44.8 | 3-Lamp 32w T8 (BF < 0.88) | 84.5 | $76 |
| LED 2x4 Recessed Light Fixture, 6001-7500 lumens | 57.2 | 4-Lamp 32w T8 (BF < 0.88) | 112.6 | $104 |
| LED 1x4 Recessed Light Fixture, 1500-3000 lumens | 21.8 | 1-Lamp 32w T8 (BF <0.91) | 29.1 | $22 |
| LED 1x4 Recessed Light Fixture, 3001-4500 lumens | 33.7 | 2-Lamp 32w T8 (BF < 0.89) | 57.0 | $75 |
| LED 1x4 Recessed Light Fixture, 4501-6000 lumens | 43.3 | 3-Lamp 32w T8 (BF < 0.88) | 84.5 | $83 |
| LED Linear Ambient Fixtures | LED Surface & Suspended Linear Fixture, <= 3000 lumens | 19.5 | 1-Lamp 32w T8 (BF <0.91) | 29.1 | $10 |
| LED Surface & Suspended Linear Fixture, 3001-4500 lumens | 32.1 | 2-Lamp 32w T8 (BF < 0.89) | 57.0 | $52 |
| LED Surface & Suspended Linear Fixture, 4501-6000 lumens | 43.5 | 3-Lamp 32w T8 (BF < 0.88) | 84.5 | $78 |
| LED Surface & Suspended Linear Fixture, 6001-7500 lumens | 56.3 | T5HO 2L-F54T5HO - 4' | 120.0 | $131 |
| LED Surface & Suspended Linear Fixture, > 7500 lumens | 82.8 | T5HO 3L-F54T5HO - 4' | 180.0 | $173 |
| LED High & Low Bay Fixtures | LED Low-Bay Fixtures, <= 10,000 lumens | 61.6 | 3-Lamp T8HO Low-Bay | 157.0 | $44 |
| LED High-Bay Fixtures, 10,001-15,000 lumens | 99.5 | 4-Lamp T8HO High-Bay | 196.0 | $137 |
| LED High-Bay Fixtures, 15,001-20,000 lumens | 140.2 | 6-Lamp T8HO High-Bay | 294.0 | $202 |
| LED High-Bay Fixtures, 20,001-30,000 lumens | 193.8 | 8-Lamp T8HO High-Bay | 392.0 | $264 |
| LED High-Bay Fixtures, 30,001-40,000 lumens | 250 | 750 Watts Metal Halide | 850 | $400 |
| LED High-Bay Fixtures 40,001-50,000 lumens | 295 | 1000 Watts Metal Halide | 1080 | $425 |
| LED High-Bay Fixtures >50,000 lumens | 435 | 1500 Watts Metal Halide | 1610 | $550 |
| LED Agricultural Interior Fixtures | LED Ag Interior Fixtures, <= 2,000 lumens | 12.9 | 25% 73 Watt EISA Inc, 75% 1L T8 | 42.0 | $18 |
| LED Ag Interior Fixtures, 2,001-4,000 lumens | 29.7 | 25% 146 Watt EISA Inc, 75% 2L T8 | 81.0 | $48 |
| LED Ag Interior Fixtures, 4,001-6,000 lumens | 45.1 | 25% 217 Watt EISA Inc, 75% 3L T8 | 121.0 | $57 |
| LED Ag Interior Fixtures, 6,001-8,000 lumens | 59.7 | 25% 292 Watt EISA Inc, 75% 4L T8 | 159.0 | $88 |
| LED Ag Interior Fixtures, 8,001-12,000 lumens | 84.9 | 200W Pulse Start Metal Halide | 227.3 | $168 |
| LED Ag Interior Fixtures, 12,001-16,000 lumens | 113.9 | 320W Pulse Start Metal Halide | 363.6 | $151 |
| LED Ag Interior Fixtures, 16,001-20,000 lumens | 143.7 | 350W Pulse Start Metal Halide | 397.7 | $205 |
| LED Ag Interior Fixtures, > 20,000 lumens | 193.8 | (2) 320W Pulse Start Metal Halide | 727.3 | $356 |
| LED Exterior Fixtures | LED Exterior Fixtures, <= 5,000 lumens | 34.1 | 100W Metal Halide | 113.6 | $80 |
| LED Exterior Fixtures, 5,001-10,000 lumens | 67.2 | 175W Pulse Start Metal Halide | 198.9 | $248 |
| LED Exterior Fixtures, 10,001-15,000 lumens | 108.8 | 250W Pulse Start Metal Halide | 284.1 | $566 |
| LED Exterior Fixtures, 15,001-30,000 lumens | 183.9 | 400W Pulse Start Metal Halide | 454.5 | $946 |
| LED Exterior Fixtures, 30,001-40,000 lumens | 250 | 750 W Metal Halide | 850 | $700 |
| LED Exterior Fixtures, 40,001-50,000 lumens | 295 | 1000 W Metal Halide | 1080 | $850 |
| LED Exterior Fixtures, > 50,000 lumens | 435 | 1500 W Metal Halide | 1610 | $1100 |

**LED Fixture Component Costs & Lifetime[[26]](#footnote-26)**

|  |  | **EE Measure** | | | | **Baseline** | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **LED Category** | **EE Measure Description** | **Lamp Life (hrs)** | **Total Lamp Replacement Cost** | **LED Driver Life (hrs)** | **Total LED Driver Replacement Cost** | **Lamp Life (hrs)** | **Total Lamp Replacement Cost** | **Ballast Life (hrs)** | **Total Ballast Replacement Cost** |
| LED Downlight Fixtures | LED Recessed, Surface, Pendant Downlights | 50,000 | $30.75 | 70,000 | $47.50 | 2,500 | $8.86 | 40,000 | $14.40 |
| LED Interior Directional | LED Track Lighting | 50,000 | $39.00 | 70,000 | $47.50 | 2,500 | $12.71 | 40,000 | $11.00 |
| LED Wall-Wash Fixtures | 50,000 | $39.00 | 70,000 | $47.50 | 2,500 | $9.17 | 40,000 | $27.00 |
| LED Display Case | LED Display Case Light Fixture | 50,000 | $9.75/ft | 70,000 | $11.88/ft | 2,500 | $6.70 | 40,000 | $5.63 |
| LED Undercabinet Shelf-Mounted Task Light Fixtures | 50,000 | $9.75/ft | 70,000 | $11.88/ft | 2,500 | $6.70 | 40,000 | $5.63 |
| LED Refrigerated Case Light, Horizontal or Vertical | 50,000 | $8.63/ft | 70,000 | $9.50/ft | 15,000 | $1.13 | 40,000 | $8.00 |
| LED Freezer Case Light, Horizontal or Vertical | 50,000 | $7.88/ft | 70,000 | $7.92/ft | 12,000 | $0.94 | 40,000 | $6.67 |
| LED Linear Replacement Lamps | T8 LED Replacement Lamp (TLED), < 1200 lumens | 50,000 | $5.76 | 70,000 | $13.67 | 30,000 | $6.17 | 40,000 | $11.96 |
| T8 LED Replacement Lamp (TLED), 1200-2400 lumens | 50,000 | $8.57 | 70,000 | $13.67 | 24,000 | $6.17 | 40,000 | $11.96 |
| T8 LED Replacement Lamp (TLED), > 2400 lumens | 50,000 | $8.57 | 70,000 | $13.67 | 18,000 | $6.17 | 40,000 | $11.96 |
| LED Troffers | LED 2x2 Recessed Light Fixture, 2000-3500 lumens | 50,000 | $78.07 | 70,000 | $40.00 | 24,000 | $26.33 | 40,000 | $35.00 |
| LED 2x2 Recessed Light Fixture, 3501-5000 lumens | 50,000 | $89.23 | 70,000 | $40.00 | 24,000 | $39.50 | 40,000 | $35.00 |
| LED 2x4 Recessed Light Fixture, 3000-4500 lumens | 50,000 | $96.10 | 70,000 | $40.00 | 24,000 | $12.33 | 40,000 | $35.00 |
| LED 2x4 Recessed Light Fixture, 4501-6000 lumens | 50,000 | $114.37 | 70,000 | $40.00 | 24,000 | $18.50 | 40,000 | $35.00 |
| LED 2x4 Recessed Light Fixture, 6001-7500 lumens | 50,000 | $137.43 | 70,000 | $40.00 | 24,000 | $24.67 | 40,000 | $35.00 |
| LED 1x4 Recessed Light Fixture, 1500-3000 lumens | 50,000 | $65.43 | 70,000 | $40.00 | 24,000 | $6.17 | 40,000 | $35.00 |
| LED 1x4 Recessed Light Fixture, 3001-4500 lumens | 50,000 | $100.44 | 70,000 | $40.00 | 24,000 | $12.33 | 40,000 | $35.00 |
| LED 1x4 Recessed Light Fixture, 4501-6000 lumens | 50,000 | $108.28 | 70,000 | $40.00 | 24,000 | $18.50 | 40,000 | $35.00 |
| LED Linear Ambient Fixtures | LED Surface & Suspended Linear Fixture, <= 3000 lumens | 50,000 | $62.21 | 70,000 | $40.00 | 24,000 | $6.17 | 40,000 | $35.00 |
| LED Surface & Suspended Linear Fixture, 3001-4500 lumens | 50,000 | $93.22 | 70,000 | $40.00 | 24,000 | $12.33 | 40,000 | $35.00 |
| LED Surface & Suspended Linear Fixture, 4501-6000 lumens | 50,000 | $114.06 | 70,000 | $40.00 | 24,000 | $18.50 | 40,000 | $35.00 |
| LED Surface & Suspended Linear Fixture, 6001-7500 lumens | 50,000 | $152.32 | 70,000 | $40.00 | 30,000 | $26.33 | 40,000 | $60.00 |
| LED Surface & Suspended Linear Fixture, > 7500 lumens | 50,000 | $183.78 | 70,000 | $40.00 | 30,000 | $39.50 | 40,000 | $60.00 |
| LED High & Low Bay Fixtures | LED Low-Bay Fixtures, <= 10,000 lumens | 50,000 | $90.03 | 70,000 | $62.50 | 18,000 | $64.50 | 40,000 | $92.50 |
| LED High-Bay Fixtures, 10,001-15,000 lumens | 50,000 | $122.59 | 70,000 | $62.50 | 18,000 | $86.00 | 40,000 | $92.50 |
| LED High-Bay Fixtures, 15,001-20,000 lumens | 50,000 | $157.22 | 70,000 | $62.50 | 18,000 | $129.00 | 40,000 | $117.50 |
| LED High-Bay Fixtures, 20,001 – 30,000 lumens | 50,000 | $228.52 | 70,000 | $62.50 | 18,000 | $172.00 | 40,000 | $142.50 |
| LED High-Bay Fixtures, 30,001-40,000 lumens | 50,000 | $294.00 | 70,000 | $62.50 | 15,000 | $82.00 | 40,000 | $143.00 |
| LED High-Bay Fixtures, 40,001-50,000 lumens | 50,000 | $324.00 | 70,000 | $62.50 | 15,000 | $88.00 | 40,000 | $149.00 |
| LED High-Bay Fixtures, > 50,000 lumens | 50,000 | $382.00 | 70,000 | $62.50 | 15,000 | $96.00 | 40,000 | $200.00 |
| LED Agricultural Interior Fixtures | LED Ag Interior Fixtures, <= 2,000 lumens | 50,000 | $41.20 | 70,000 | $40.00 | 1,000 | $1.23 | 40,000 | $26.25 |
| LED Ag Interior Fixtures, 2,001-4,000 lumens | 50,000 | $65.97 | 70,000 | $40.00 | 1,000 | $1.43 | 40,000 | $26.25 |
| LED Ag Interior Fixtures, 4,001-6,000 lumens | 50,000 | $80.08 | 70,000 | $40.00 | 1,000 | $1.62 | 40,000 | $26.25 |
| LED Ag Interior Fixtures, 6,001-8,000 lumens | 50,000 | $105.54 | 70,000 | $40.00 | 1,000 | $1.81 | 40,000 | $26.25 |
| LED Ag Interior Fixtures, 8,001-12,000 lumens | 50,000 | $179.81 | 70,000 | $62.50 | 15,000 | $63.00 | 40,000 | $112.50 |
| LED Ag Interior Fixtures, 12,001-16,000 lumens | 50,000 | $190.86 | 70,000 | $62.50 | 15,000 | $68.00 | 40,000 | $122.50 |
| LED Ag Interior Fixtures, 16,001-20,000 lumens | 50,000 | $237.71 | 70,000 | $62.50 | 15,000 | $73.00 | 40,000 | $132.50 |
| LED Ag Interior Fixtures, > 20,000 lumens | 50,000 | $331.73 | 70,000 | $62.50 | 15,000 | $136.00 | 40,000 | $202.50 |
| LED Exterior Fixtures | LED Exterior Fixtures, <= 5,000 lumens | 50,000 | $73.80 | 70,000 | $62.50 | 15,000 | $58.00 | 40,000 | $102.50 |
| LED Exterior Fixtures, 5,001-10,000 lumens | 50,000 | $124.89 | 70,000 | $62.50 | 15,000 | $63.00 | 40,000 | $112.50 |
| LED Exterior Fixtures, 10,001-15,000 lumens | 50,000 | $214.95 | 70,000 | $62.50 | 15,000 | $68.00 | 40,000 | $122.50 |
| LED Exterior Fixtures, 15,000- 30,000 lumens | 50,000 | $321.06 | 70,000 | $62.50 | 15,000 | $73.00 | 40,000 | $132.50 |
| LED Exterior Fixtures, 30,001-40,000 lumens | 50,000 | $546.00 | 70,000 | $62.50 | 15,000 | $82.00 | 40,000 | $143.00 |
| LED Exterior Fixtures, 40,001-50,000 lumens | 50,000 | $722.00 | 70,000 | $62.50 | 15,000 | $88.00 | 40,000 | $149.00 |
| LED Exterior Fixtures, > 50,000 lumens | 50,000 | $870.00 | 70,000 | $62.50 | 15,000 | $96.00 | 40,000 | $200.00 |

###### Measure Code: CI-LTG-LEDB-V16-230101

###### Review Deadline: 1/1/2024

### 5.3.1 Centrally Ducted Air Source Heat Pump

###### Description

A heat pump provides heating or cooling by moving heat between indoor and outdoor air. This measure relates to a unitary central heat pump (split or packaged) with conditioned air delivered to the home via ductwork. This prescriptive measure does not apply to known installations where existing fuel-fired heating systems remain in place to provide back up heat at low temperatures (“hybrid systems”). Savings from such installations should be calculated on a custom basis if done outside of midstream type offerings where installation details are tracked.

This measure characterizes:

1. New Construction:
   1. The installation of a new residential sized (<= 65,000 Btu/hr) Air Source Heat Pump system meeting ENERGY STAR efficiency standards presented below in a new home.
   2. Note the baseline in this case should be determined via EM&V and the algorithms are provided to allow savings to be calculated from any baseline condition.
2. Time of Sale:
   1. The installation of a new residential sized (<= 65,000 Btu/hr) Air Source Heat Pump that is more efficient than required by federal standards. This relates to the replacement of an existing unit at the end of its useful life.
   2. Note the baseline in this case is an equivalent replacement system to that which exists currently in the home. Where unknown, the baseline should be determined via EM&V and the algorithms are provided to allow savings to be calculated from any baseline condition.
   3. The allocation of savings is dependent on whether an incentive for the installation has been provided by both a gas and electric utility, just an electric utility or just a gas utility.
3. Early Replacement:

The early removal of functioning electric or gas heating and/or cooling (SEER 10 or under if present) systems from service, prior to its natural end of life, and replacement with a new high efficiency air source heat pump unit.

Note the baseline in this case is the existing equipment being replaced. The allocation of savings is dependent on whether an incentive for the installation has been provided by both a gas and electric utility, just an electric utility or just a gas utility.

Early Replacement determination will be based on meeting the following conditions:

* + - The existing unit is operational when replaced, or
    - The existing unit requires minor repairs (<$276 per ton).[[27]](#footnote-27)
    - All other conditions will be considered Time of Sale.

The Baseline SEER of the existing unit replaced:

* + - If the SEER of the existing unit is known and <=10, the Baseline SEER is the actual SEER value of the unit replaced. If the SEER is >10, the Baseline SEER = 14 for standard sized units, or 12 for space constrained units.
    - If the SEER of the existing unit is unknown use assumptions in variable list below (SEER\_exist and HSPF\_exist).
    - If the operational status or repair cost of the existing unit is unknown, use time of sale assumptions.

A weighted average early replacement rate is provided for use in downstream programs when the actual baseline early replacement rates are unknown.

Deemed Early Replacement Rates For ASHP

|  |  |
| --- | --- |
|  | **Deemed Early Replacement Rate** |
| Early Replacement Rate for downstream ASHP participants | 36%[[28]](#footnote-28) |

Quality Installation:

Additional savings are attributed to the Quality Installation (QI) of the system. QI programs should follow industry standards such as those described in ENERGY STAR Verified HVAC Installation Program (ESVI), ANSI ACCA QI5 and QI9vp. This must include considerations of system design (including sizing, matching, ventilation calculations) and equipment installation (including static pressure, airflow, refrigerant charge) and may also consider distribution.

This measure was developed to be applicable to the following program types:  TOS, NC, EREP.  If applied to other program types, the measure savings should be verified.

###### Definition of Efficient Equipment

A new residential sized (<= 65,000 Btu/hr) air source heat pump with specifications to be determined by program.

The following conversion factors are recommended for use if the efficient equipment is not rated under the new testing procedure:[[29]](#footnote-29)

SEER = SEER2 / X

EER = EER2 / X

HSPF = HSPF2 / X

Where:

| **X** | **SEER** | **EER** | **HSPF** |
| --- | --- | --- | --- |
| Ducted | 0.95 | 0.95 | 0.91 |

###### Definition of Baseline Equipment

**New Construction:** To calculate savings with an electric baseline, the baseline equipment is assumed to be an Air Source Heat Pump meeting the Federal Standard efficiency level; 14 SEER, 8.2 HSPF and 11 EER for standard sized units, or 12SEER, 7.4 HSPF, 10.5EER for space constrained product.[[30]](#footnote-30) Note, the space constrained product baseline should only be used when the efficient unit is classified as space constrained.

To calculate savings with a furnace/central AC baseline, the baseline equipment is assumed to be an 80% AFUE Furnace and central AC meeting the Federal Standard efficiency level; 13 SEER, 10.5 EER for standard sized units, or 12SEER, 10.5EER for space constrained product.[[31]](#footnote-31)

Note: New Federal Standards affecting heat pumps become effective January 1, 2023. The new standards effective in 2023, require any residential heat pump manufactured in, or imported into, the United States to have a minimum efficiency rating meeting the following:[[32]](#footnote-32)

* Split system heat pump standard sized units – 14.3 SEER2 and 7.5 HSPF2
* Single-package heat pump standard sized units – 13.4 SEER2 and 6.7 HSPF2
* Space constrained heat pump units - 11.9 SEER2 and 6.3 HSPF2

These new federal standards will be adopted by the program, beginning 1/1/2024. For the 2023 program year, the baseline equipment efficiencies are detailed in this section by replacement scenario.

**Time of Sale:** The baseline for this measure is a new replacement unit of the same system type as the existing unit, meeting the baselines provided below[[33]](#footnote-33).

| **Unit Type** | **Efficiency Standard** |
| --- | --- |
| Standard sized ASHP | 14 SEER, 11 EER, 8.2 HSPF |
| Space constrained ASHP | 12 SEER, 10.5 EER, 7.4 HSPF |
| Electric Resistance | 3.412 HSPF |
| Natural Gas or LP Furnace | 80% AFUE |
| Natural Gas or LP Boiler | 84% AFUE |
| Oil Furnace | 83% AFUE |
| Oil Boiler | 86% AFUE |
| Standard sized Central AC | 13 SEER, 10.5 EER |
| Space constrained Central AC | 12 SEER, 10.5 EER |
| Unknown [[34]](#footnote-34) | 13.52 SEER, 10.75EER, 6.25 HSPF, 80.1% AFUE |

**Early replacement / Retrofit:** The baseline for this measure is the efficiency of the *existing* heating and cooling equipment for the assumed remaining useful life of the existing unit and a new baseline heating and cooling system for the remainder of the measure life (as provided in table above).

When unknown, default early replacement efficiency assumptions are 9.70 SEER, 7.83 EER, 5.24 HSPF and 80% AFUE. Consistent with TRM Volume 1 Section 2.3.1 for midstream programs or other cases where the existing condition is unknown, it may be appropriate to apply a deemed percent split of Time of Sale and Early Replacement assumptions based on evaluation results

###### Deemed Lifetime of Efficient Equipment

The expected measure life is assumed to be 16 years.[[35]](#footnote-35)

Remaining life of existing equipment is assumed to be 6 years for ASHP and Central AC, 7 years for furnace, 8 years for boilers[[36]](#footnote-36) and 16 years for electric resistance.[[37]](#footnote-37)

###### Deemed Measure Cost

New Construction and Time of Sale: The actual installed cost of the Air Source Heat Pump (including any necessary electrical or distribution upgrades required) should be used minus the assumed installation cost of the baseline equipment ($6562 + $600 per ton for a new baseline ASHP[[38]](#footnote-38), $2,011 for a new baseline 80% AFUE furnace or $4,053 for a new 84% AFUE boiler[[39]](#footnote-39) and $952 per ton for new baseline Central AC replacement[[40]](#footnote-40)).

Early Replacement: The actual full installation cost of the Air Source Heat Pump (including any necessary electrical or distribution upgrades required) should be used. The assumed deferred cost (after the appropriate number of years described above in the ‘Deemed Lifetime of Efficient Equipment’ section) of replacing existing equipment with a new baseline unit is assumed to be $7,527 + $688 per ton for a new baseline Air Source Heat Pump, or $2,296 for a new baseline 80% AFUE furnace or $4,627 for a new 84% AFUE boiler and $1,092 per ton for new baseline Central AC replacement.[[41]](#footnote-41) This future cost should be discounted to present value using the nominal societal discount rate.

If the install cost of the efficient Air Source Heat Pump is unknown, assume the following (note these costs are per ton of unit capacity);[[42]](#footnote-42)

| **Efficiency (SEER)** | **Full Efficient ASHP Cost (including labor)** |
| --- | --- |
| 14.5 | $6,685 + $600/ ton |
| 15 | $6,865 + $600/ ton |
| 16 | $7,000 + $600/ ton |
| 17 | $7,286 + $600/ ton |
| 18 | $7,495 + $600/ ton |
| 19 | $7,720 + $600/ ton |
| 20 | $7,946 + $600/ ton |

Fuel switch scenarios are likely to require additional installation work which may include adding new electrical circuits, capping existing gas lines and upgrading electrical panels. These costs are likely to range significantly and actual values should be used wherever possible. If unknown, assume an additional $2,000 for fuel switch installations.

Quality Installation: The additional design and installation work associated with quality installation has been estimated to cost an additional $150.[[43]](#footnote-43)

###### Loadshape

Loadshape R10 - Residential Electric Heating and Cooling

###### Coincidence Factor

The summer peak coincidence factor for cooling is provided in two different ways below. The first is used to estimate peak savings during the utility peak hour and is most indicative of actual peak benefits, and the second represents the *average* savings over the defined summer peak period and is presented so that savings can be bid into PJM’s Forward Capacity Market.

CFSSP SF = Summer System Peak Coincidence Factor for Heat Pumps in single-family homes (during utility peak hour)

= 72%[[44]](#footnote-44)

CFPJM SF  = PJM Summer Peak Coincidence Factor for Heat Pumps in single-family homes (average during PJM peak period)

= 46.6%[[45]](#footnote-45)

CFSSP, MF = Summer System Peak Coincidence Factor for Heat Pumps in multi-family homes (during system peak hour)

= 67%[[46]](#footnote-46)

CFPJM, MF = PJM Summer Peak Coincidence Factor for Heat Pumps in multi-family homes (average during peak period)

= 28.5%

Algorithm

###### Calculation of Savings

###### Electric Energy Savings and Fossil Fuel Savings

Non fuel switch measures:

ΔkWhNon Fuel Switch = ((CoolingLoad \* (1/(SEER\_base \* (1 – DeratingCoolBase)) - 1/(SEER\_ee \* SEERadj \* (1 – DeratingCoolEff)))) / 1000) + ((HeatLoad \* (1/(HSPF\_base \* HSPF\_ClimateAdj \* (1 – DeratingHeatBase)) - 1/(HSPF\_ee \* HSPF\_ClimateAdj \* HSPFadj \* (1 – DeratingHeatEff)))) / 1000)

Fuel switch measures:

Fuel switch measures must produce positive total lifecycle energy savings (i.e., reduction in Btus at the premises) in order to qualify. This is determined as follows:

SiteEnergySavings (MMBTUs) = FuelSwitchSavings + NonFuelSwitchSavings

FuelSwitchSavings = GasHeatReplaced – ASHPSiteHeatConsumed

NonFuelSwitchSavings = FurnaceFanSavings + ASHPSiteCoolingImpact

Where:

GasHeatReplaced = (HeatLoad \* 1/AFUEbase) / 1,000,000

FurnaceFanSavings = (FurnaceFlag \* HeatLoad \* 1/AFUEbase \* Fe) / 1,000,000

ASHPSiteHeatConsumed = ((HeatLoad \* (1/(HSPF\_ee \* HSPF\_ClimateAdj \* HSPFadj \* (1 – DeratingHeatEff)))) /1000 \* 3412)/ 1,000,000

ASHPSiteCoolingImpact = ((CoolingLoad \* (1/(SEER\_base \* (1 – DeratingCoolBase)) - 1/(SEER\_ee \* SEERadj \* (1 – DeratingCoolEff))))/1000 \* 3412)/ 1,000,000

If SiteEnergySavings calculated above is positive, the measure is eligible.

The appropriate savings claim is dependent on which utilities are supporting the measure as provided in a table below:

| **Measure supported by:** | **Electric Utility claims (kWh):** | **Gas Utility claims (therms):** |
| --- | --- | --- |
| Electric utility only | SiteEnergySavings \* 1,000,000/3,412 | N/A |
| Electric and gas utility  (Note utilities may make alternative agreements to how savings are allocated as long as total MMBtu savings remains the same). | %IncentiveElectric \* SiteEnergySavings \*  1,000,000/3,412 | %IncentiveGas \* SiteEnergySavings \* 10 |
| Gas utility only | N/A | SiteEnergySavings \* 10 |

Note for Early Replacement measures, the efficiency and Fe terms of the existing unit should be used for the remaining useful life of the existing equipment (6 years for ASHP and Central AC, 7 years for furnace, 8 years for boilers, 16 years for electric resistance), and the efficiency and Fe terms for a new baseline unit should be used for the remaining years of the measure. See assumptions below.

Programs where existing system unknown

In programs where the existing fuel or system type is unknown, savings should be apportioned between the Fuel Switch and Non- Fuel Switch scenarios, as follows:

Savings from Non-Fuel Switch (kWh) = (1 – %FuelSwitch) \* ΔkWhNon Fuel Switch

Plus

Savings from Fuel Switch (MMBtu converted to appropriate fuel as table above)

= %FuelSwitch \* SiteEnergySavings (MMBTUs)

Where:

%FuelSwitch = The percentage of replacements resulting in fuel-switching.

= 1 when fuel switching is known, 0 if non fuel switch

= when unknown, e.g. midstream program, determine via evaluation

CoolingLoad = Annual cooling load for the building

= FLH\_cooling \* Capacity\_ASHPcool

FLH\_cooling = Full load hours of air conditioning

= dependent on location:

| **Climate Zone**  **(City based upon)** | **FLH\_cooling (single family) [[47]](#footnote-47)** | **FLH\_cooling (multifamily)** [[48]](#footnote-48) |
| --- | --- | --- |
| 1 (Rockford) | 512 | 467 |
| 2 (Chicago) | 570 | 506 |
| 3 (Springfield) | 730 | 663 |
| 4 (Belleville) | 1,035 | 940 |
| 5 (Marion) | 903 | 820 |
| Weighted Average[[49]](#footnote-49)  ComEd  Ameren  Statewide | 567  810  632 | 504  734  565 |

Use Multifamily if: Building has shared HVAC or meets utility’s definition for multifamily

Capacity\_ASHPcool = Cooling Output Capacity of Air Source Heat Pump (Btu/hr)

= Actual (1 ton = 12,000Btu/hr)

SEER\_base = Seasonal Energy Efficiency Ratio of baseline unit (kBtu/kWh). For early replacment measures, the actual SEER rating where it is possible to measure or reasonably estimate should be used for the remaining useful life of the existing equipment (6 years for ASHP and Central AC). If using rated efficiencies, derate efficiency value by 1% per year (maximum of 30 years) to account for degradation over time,[[50]](#footnote-50) or if unknown assume default provided below:

| **Baseline/Existing Cooling System** | **SEER\_base** | | |
| --- | --- | --- | --- |
| **Early Replacement**  **(Remaining useful life of existing equipment)** | **Early Replacement (Remaining measure life)** | **Time of Sale or New Construction** |
| Air Source Heat Pump – Standard sized | 9.7[[51]](#footnote-51) | 14[[52]](#footnote-52) | |
| Air Source Heat Pump – Space constrained | 9.7 | 12 | |
| Central AC – Standard sized | 9.7[[53]](#footnote-53) | 13[[54]](#footnote-54) | |
| Central AC – Space constrained | 9.7 | 12 | |
| No central cooling | Make ‘1/SEER\_exist’ = 0 [[55]](#footnote-55) | 13[[56]](#footnote-56) | |
| Unknown [[57]](#footnote-57) | 9.7 | 13.52 | |

SEER\_ee = Rated Seasonal Energy Efficiency Ratio of ENERGY STAR unit (kBtu/kWh)

= Actual.

SEERadj = Adjustment percentage to account for in-situ performance of variable speed units**[[58]](#footnote-58)**

= [( if variable speed or unknown

= 1 if single speed

DeratingCoolEff = Efficent ASHP Cooling derating

= 0% if Quality Installation is performed

= 10% if Quality Installation is not performed or unknown[[59]](#footnote-59)

DeratingCoolBase = Baseline Cooling derating

= 10%

HeatLoad = Annual heat load for the building (Btus)

= FLH\_ASHPheat \* Capacity\_ASHPheat

FLH\_ASHPheat = Full load hours of heat pump heating

= Dependent on location and home type:

| **Climate Zone**  **(City based upon)** | **FLH\_heat**  **(single family and multifamily)[[60]](#footnote-60)** |
| --- | --- |
| 1 (Rockford) | 1,969 |
| 2 (Chicago) | 1,840 |
| 3 (Springfield) | 1,754 |
| 4 (Belleville) | 1,266 |
| 5 (Marion) | 1,288 |
| Weighted Average[[61]](#footnote-61)  ComEd  Ameren  Statewide | 1,846  1,612  1,821 |

Use Multifamily if: Building has shared HVAC or meets utility’s definition for multifamily

Capacity\_ASHPheat = Heating Output Capacity of Air Source Heat Pump (Btu/hr)

= Actual (1 ton = 12,000Btu/hr)

HSPF\_base = Heating Seasonal Performance Factor of baseline heating system (kBtu/kWh). For early replacement measures, use actual HSPF rating where it is possible to measure or reasonably estimate for the remaining useful life of the existing equipment (6 years for ASHP, 16 years for electric resistance). If using rated efficiencies, derate efficiency value by 1% per year (maximum of 30 years) to account for degradation over time,[[62]](#footnote-62) or if unknown assume default:

| **Baseline/ Existing Heating System** | **HSPF\_base** | | |
| --- | --- | --- | --- |
| **Early Replacement (Remaining useful life of existing equipment)** | **Early Replacement (Remaining measure life)** | **Time of Sale or New Construction** |
| Air Source Heat Pump – standard sized | 5.78[[63]](#footnote-63) | 8.2[[64]](#footnote-64) | |
| Air Source Heat Pump – space constrained | 5.78 | 7.4 | |
| Electric Resistance | 3.41[[65]](#footnote-65) | | |
| Unknown [[66]](#footnote-66) | 5.24 | 6.25 | |

HSPF\_ee = Heating Seasonal Performance Factor of efficient Air Source Heat Pump

(kBtu/kWh)

= Actual or 8.5 if unknown[[67]](#footnote-67)

HSPFadj = Adjustment percentage to account for the heating capacity ratio of the efficient variable speed unit[[68]](#footnote-68)

=

= Actual using AHRI lookup values for efficient unit heating capacities rated at 17°F and 47°F. If not available or if single speed, assume 1.**[[69]](#footnote-69)**

DeratingHeatEff = Efficent ASHP Heating derating

= 0% if Quality Installation is performed

= 10% if Quality Installation is not performed[[70]](#footnote-70)

DeratingHeatBase = Baseline Heating derating

= 10%

HSPF\_ClimateAdj = Adjustment factor to account for observed discrepency between seasonal heating performance relative to rated HSPF as provided by standard AHRI 210/240 rating conditions. Note, the adjustment is dependent on the test method use for the rating (i.e. HSPF or HSPF2 rating) [[71]](#footnote-71):

| **City (county based upon)** | **HSPF\_ClimateAdj**  **When using HSPF rating** | **HSPF\_ClimateAdj**  **When using HSPF2 rating** |
| --- | --- | --- |
| 1 (Rockford) | 70% | 77% |
| 2 (Chicago) | 70% | 77% |
| 3 (Springfield) | 83% | 91% |
| 4 (Belleville) | 83% | 91% |
| 5 (Marion) | 83% | 91% |
| Weighted Average[[72]](#footnote-72)  ComEd  Ameren  Statewide | 70%  81%  73% | 77%  89%  80% |

AFUEbase = Baseline Annual Fuel Utilization Efficiency Rating. For early replacement measures, use actual AFUE rating where it is possible to measure or reasonably estimate for the remaining useful life of the existing equipment (6 years for furnace, 8 years for boilers). If using rated efficiencies, derate efficiency value by 1% per year (maximum of 30 years) to account for degradation over time,[[73]](#footnote-73) or if unknown assume default:

| **Baseline/ Existing Heating System** | **AFUEbase** | | | |
| --- | --- | --- | --- | --- |
| **Early Replacement (Remaining useful life of existing equipment)[[74]](#footnote-74)** | **Early Replacement**  **(Remaining measure life)** | **Time of Sale or New Construction** |
| Furnace | 64.4% | 80% | 80% |
| Boiler | 61.6% | 84% | 84% |
| Unknown [[75]](#footnote-75) | 80% | 80.1% | 80.1% |

FurnaceFlag = 1 if system replaced is a fossil fuel furnace, 0 if not.

Fe = Furnace Fan energy consumption as a percentage of annual fuel consumption

For Early Replacement (1st 6 years) Fe\_Exist = 3.14%[[76]](#footnote-76)

For New Construction, Time of Sale and early replacement (remaining 10 years) Fe\_New = 1.88%[[77]](#footnote-77)

3412 = Btu per kWh

%IncentiveElectric = % of total incentive paid by electric utility

= Actual

%IncentiveGas = % of total incentive paid by gas utility

= Actual

**Non Fuel Switch Illustrative Examples**

Time of Sale using ASHP baseline:

For example, an ASHP is installed in a single-family home in Marion with the following nameplate information: 15 SEER, 12EER, 9 HSPF; Cooling capacity: 34,800 Btuh; Heating capacity at 47°F: 33,000 Btuh; Heating capacity at 17°F: 21,200 Btuh with Quality Installation;

ΔkWh = ((903 \* 34,800 \* (1/(14 \* (1 - 0.1)) - 1/(15 \* 1.011 \* (1 – 0)))) / 1000) + ((1,288 \* 33,000 \* (1/(8.2 \* 0.83 \* (1 – 0.1)) - 1/(9 \* 0.83 \* 1.001 \* (1-0)))) / 1000)

= 1,677 kWh

Early Replacement:

For example, a 15 SEER, 12EER, 9 HSPF Air Source Heat Pump with nameplate information as above replaces an existing working Air Source Heat Pump with unknown efficiency ratings in a single family home in Marion:

ΔkWH for remaining life of existing unit (1st 6 years):

= ((903 \* 34,800 \* (1/(9.3 \* (1-0.1)) - 1/(15 \* 1.011 \* (1-0)))) / 1000) + ((1,288 \* 33,000 \* (1/(5.54 \* 0.83 \* (1-0.1)) - 1/(9 \* 0.83 \* 1.001 \* (1-0)))) / 1000)

= 6,269 kWh

ΔkWH for remaining measure life (next 12 years):

= ((903 \* 34,800 \* (1/(14 \* (1 - 0.1)) - 1/(15 \* 1.011 \* (1 – 0)))) / 1000) + ((1,288 \* 33,000 \* (1/(8.2 \* 0.83 \* (1 – 0.1)) - 1/(9 \* 0.83 \*1.001 \* (1-0)))) / 1000)

= 1,677 kWh

**Fuel Switch Illustrative Examples**

*[for illustrative purposes, 50:50 Incentive is used for joint programs]*

New construction using gas furnace and central AC baseline:

For example a three ton (Cooling capacity of 34,800Btuh and Heating capacity of 33,000 Btuh), 15 SEER, 12EER, 9 HSPF Air Source Heat Pump installed in single-family home in Marion with Quality Installation, in place of a 81,000 Btuh natural gas furnace and 3 ton Central AC unit:

SiteEnergySavings (MMBTUs) = GasHeatReplaced + FurnaceFanSavings – ASHPSiteHeatConsumed + ASHPSiteCoolingImpact

GasHeatReplaced = ((HeatLoad \* 1/AFUEbase) / 1,000,000)

= ((1288 \* 33,000 \* 1/0.8) / 1000000)

= 53.1 MMBtu

FurnaceFanSavings = (FurnaceFlag \* HeatLoad \* 1/AFUEbase \* Fe\_New) / 1,000,000

= (1 \* 1288 \* 33,000 \* 1/0.8 \* 0.0188) / 1,000,000

= 1.0 MMBtu

ASHPSiteHeatConsumed = ((HeatLoad \* (1/(HSPF\_ee \* HSPF\_ClimateAdj \* HSPFadj \* (1 – DeratingHeatEff)))) /1000 \* 3412)/ 1,000,000

= ((1,288 \* 33,000 \* (1/(9 \* 0.83 \* 1.001 \* (1-0)))) / 1000 \* 3412)/ 1,000,000

= 19.4 MMBtu

**Fuel Switch Illustrative Example continued**

ASHPSiteCoolingImpact = ((CoolingLoad \* (1/(SEER\_base \* (1 – DeratingCoolBase)) - 1/(SEER\_ee \* SEERadj \* (1 – DeratingCoolEff))))/1000) \* 3412) / 1,000,000

= ((903 \* 34,800 \* (1/(13 \* (1-0.1)) - 1/(15 \* 1.011 \* (1-0)))) / 1000 \* 3412)/1,000,000

= 2.1 MMBtu

SiteEnergySavings (MMBTUs) = 53.1 + 1.0 – 19.4 + 2.1 = 36.8 MMBtu [Measure is eligible]

Savings would be claimed as follows:

|  |  |  |
| --- | --- | --- |
| **Measure supported by:** | **Electric Utility claims:** | **Gas Utility claims:** |
| Electric utility only | 36.8 \* 1,000,000/3412  = 10,785 kWh | N/A |
| Electric and gas utility | 0.5 \* 36.8 \* 1,000,000/3412  = 5,393 kWh | 0.5 \* 36.8 \* 10  = 184 Therms |
| Gas utility only | N/A | 36.8 \* 10  = 368 Therms |

Early Replacement fuel switch:

For example a three ton (Cooling capacity of 34,800Btuh and Heating capacity of 33,000 Btuh), 15 SEER, 12EER, 9 HSPF Air Source Heat Pump installed in single-family home in Marion with Quality Installation, replaces an existing working natural gas furnace and 3 ton Central AC unit with unknown efficiency ratings:

LifetimeSiteEnergySavings (MMBTUs) = LifetimeGasHeatReplaced + LifetimeFurnaceFanSavings – LifetimeASHPSiteHeatConsumed + LifetimeASHPSiteCoolingImpact

LifetimeGasHeatReplaced = [(HeatLoad \* 1/AFUEexist) / 1,000,000] \* 6 years + [(HeatLoad \* 1/AFUEbase) / 1,000,000] \* 10 years

= (((1288 \* 33000 \* 1/0.644) / 1000000) \* 6) + (((1288 \* 33000 \* 1/0.8) / 1000000) \* 10)

=927.3 MMBtu

LifetimeFurnaceFanSavings = ((FurnaceFlag \* HeatLoad \* 1/AFUEexist \* Fe\_Exist) / 1,000,000) \* 6 years + ((FurnaceFlag \* HeatLoad \* 1/AFUEbase \* Fe\_New) / 1,000,000) \* 10 years

= ((1 \* 1288 \* 33,000 \* 1/0.644 \* 0.0314) / 1,000,000) \* 6 + ((1 \* 1288 \* 33,000 \* 1/0.8 \* 0.0188)/ 1,000,000) \* 10

= 22.4 MMBtu

LifetimeASHPSiteHeatConsumed = ((HeatLoad \* (1/(HSPF\_ee \* HSPF\_ClimateAdj \* HSPFadj \* (1 – DeratingHeatEff)))) /1000 \* 3412)/ 1,000,000 \* 16 years

= ((1,288 \* 33,000 \* (1/(9 \* 0.83 \* 1.001 \* (1-0)))) / 1000 \* 3412)/1,000,000 \* 16

= 310.3 MMBtu

**Fuel Switch Illustrative Example continued**

LifetimeASHPSiteCoolingImpact = (((CoolingLoad \* (1/(SEER\_exist \* (1 – DeratingCoolBase)) - 1/(SEER\_ee \* SEERadj \* (1 – DeratingCoolEff))))/1000 \* 3412)/1,000,000 \* 6 years) + (((CoolingLoad \* (1/(SEER\_base \* (1 – DeratingCoolBase)) - 1/(SEER\_ee \* SEERadj \* (1 – DeratingCoolEff))))/1000 \* 3412)/1,000,000 \* 10 years)

= (((903 \* 34,800 \* (1/(9.3 \* (1-0.1)) - 1/(15 \* 1.011 \* (1-0)))) / 1000 \* 3412)/1,000,000 \* 6) + (((903 \* 34,800 \* (1/(13 \* (1-0.1)) - 1/(15 \* 1.011 \* (1-0)))) / 1000 \* 3412)/1,000,000 \* 10)

= 55.4 MMBtu

LifetimeSiteEnergySavings (MMBTUs) = 927.3 + 22.4 – 310.3 + 55.4 = 695 MMBtu [Measure is eligible]

First 6 years:

SiteEnergySavings\_FirstYear (MMBTUs) = GasHeatReplaced + FurnaceFanSavings – ASHPSiteHeatConsumed + ASHPSiteCoolingImpact

GasHeatReplaced = [(HeatLoad \* 1/AFUEExist) / 1,000,000]

= ((1288 \* 33,000 \* 1/0.644) / 1000000)

= 66.0 MMBtu

FurnaceFanSavings = (FurnaceFlag \* HeatLoad \* 1/AFUEExist \* Fe\_Exist) / 1,000,000

= (1 \* 1288 \* 33,000 \* 1/0.644 \* 0.0314) / 1,000,000

= 2.1 MMBtu

ASHPSiteHeatConsumed = ((HeatLoad \* (1/(HSPF\_ee \* HSPF\_ClimateAdj \* HSPFadj \* (1 – DeratingHeatEff)))) /1000 \* 3412)/ 1,000,000

= ((1,288 \* 33,000 \* (1/(9 \* 0.83 \* 1.001 \* (1-0)))) / 1000 \* 3412) / 1,000,000

= 19.4 MMBtu

ASHPSiteCoolingImpact = ((CoolingLoad \* (1/(SEER\_exist \* (1 – DeratingCoolBase)) - 1/(SEER\_ee \* SEERadj \* (1 – DeratingCoolEff))))/1000 \* (FirstYearHgrid \* (1 + ElectricT&D)) / 1,000,000

= ((903 \* 34,800 \* (1/(9.3 \* (1-0.1)) - 1/(15 \* 1.011 \* (1-0)))) / 1000 \* 3412)/1,000,000

= 5.7 MMBtu

SiteEnergySavings\_FirstYear (MMBTUs) = 66.0 + 2.1 – 19.4 + 5.7 = 54.4 MMBtu

Remaining 10 years:

SiteEnergySavings\_PostAdj (MMBTUs) = GasHeatReplaced + FurnaceFanSavings – ASHPSiteHeatConsumed + ASHPSiteCoolingImpact

GasHeatReplaced = ((1288 \* 33,000 \* 1/0.8) / 1000000)

= 53.1 MMBtu

FurnaceFanSavings = (FurnaceFlag \* HeatLoad \* 1/AFUEBase \* Fe\_New) / 1,000,000

= (1 \* 1288 \* 33,000 \* 1/0.8 \* 0.0188) / 1,000,000

= 1.2 MMBtu

###### Summer Coincident Peak Demand Savings

**Fuel Switch Illustrative Example continued**

ASHPSiteHeatConsumed = ((1,288 \* 33,000 \* (1/(9 \* 0.83 \* 1.001 \* (1-0)))) / 1000 \* 3412) / 1,000,000

= 19.4 MMBtu

ASHPSiteCoolingImpact = ((903 \* 34,800 \* (1/(13 \* (1-0.1)) - 1/(15 \* 1.011 \* (1-0)))) / 1000 \*3412)/1,000,000

= 2.1 MMBtu

SiteEnergySavings\_ PostAdj (MMBTUs) = 53.1 + 1.2 – 19.4 + 2.1 = 37.0 MMBtu

Savings would be claimed as follows:

|  |  |  |
| --- | --- | --- |
| **Measure supported by:** | **Electric Utility claims:** | **Gas Utility claims:** |
| Electric utility only | First 6 years:  54.4 \* 1,000,000/3412  = 15,944 kWh  Remaining 10 years:  37.0 \* 1,000,000/3412  = 10,844kWh | N/A |
| Electric and gas utility | First 6 years:  0.5 \* 54.4 \* 1,000,000/3412  = 7,972 kWh  Remaining 10 years:  0.5 \* 37.0 \* 1,000,000/3412  = 5,422 kWh | First 6 years:  0.5 \* 54.4 \* 10  = 272 Therms  Remaining 10 years:  0.5 \* 37.0 \* 10  = 185 Therms |
| Gas utility only | N/A | First 6 years:  54.4 \* 10  = 544 Therms  Remaining 10 years:  37.0 \* 10  = 370 Therms |

ΔkW = (Capacity\_cooling \* (1/(EER\_base \* (1 – DeratingCoolBase)) - 1/(EER\_ee \* (1 – DeratingCoolEff)))) / 1000 \* CF

Where:

EER\_base = Energy Efficiency Ratio of baseline unit (kBtu/kWh). For early replacment measures, the actual EER rating where it is possible to measure or reasonably estimate should be used for the remaining useful life of the existing equipment (6 years for ASHP and Central AC). If using rated efficiencies, derate efficiency value by 1% per year (maximum of 30 years) to account for degradation over time.[[78]](#footnote-78) If unknown, assume default provided below:

| **Baseline/Existing Cooling System** | **EER\_base** | | |
| --- | --- | --- | --- |
| **Early Replacement**  **(Remaining useful life of existing equipment)** | **Early Replacement (Remaining measure life)** | **Time of Sale or New Construction** |
| Air Source Heat Pump – standard sized | 7.83[[79]](#footnote-79) | 11.0[[80]](#footnote-80) | |
| Air Source Heat Pump – space constrained | 7.83 | 10.5 | |
| Central AC – standard sized | 7.83[[81]](#footnote-81) | 10.5[[82]](#footnote-82) | |
| Central AC – space constrained | 7.83 | 10.5 | |
| No central cooling | Make ‘1/EER\_exist’ = 0 [[83]](#footnote-83) | 10.5[[84]](#footnote-84) | |
| Unknown [[85]](#footnote-85) | 7.83 | 10.75 | |

EER\_ee = Energy Efficiency Ratio of efficient Air Source Heat Pump (kBtu/hr / kW)

= Actual. If unknown, assume 12.5 EER.[[86]](#footnote-86)

CFSSP SF = Summer System Peak Coincidence Factor for Heat Pumps in single-family homes (during system peak hour)

= 72%[[87]](#footnote-87)

CFPJM SF = PJM Summer Peak Coincidence Factor for Heat Pumps in single-family homes (average during peak period)

= 46.6%[[88]](#footnote-88)

CFSSP, MF = Summer System Peak Coincidence Factor for Heat Pumps in multi-family homes (during system peak hour)

= 67%[[89]](#footnote-89)

CFPJM, MF = PJM Summer Peak Coincidence Factor for Heat Pumps in multi-family homes (average during peak period)

= 28.5%

Use Multifamily if: Building has shared HVAC or meets utility’s definition for multifamily

Time of Sale:

For example, a three ton, 15 SEER, 12EER, 9 HSPF Air Source Heat Pump installed in single-family home in Marion with Quality Installation:

ΔkWSSP = (36,000 \* (1/(11 \* (1-0.1)) – 1/(12 \* (1-0)))) / 1000 \* 0.72

= 0.458 kW

ΔkWPJM = (36,000 \* (1/(11 \* (1-0.1)) – 1/(12 \* (1-0)))) / 1000 \* 0.466

= 0.297 kW

Early Replacement:

For example, a three ton, 15 SEER, 12EER, 9 HSPF Air Source Heat Pump replaces an existing working Air Source Heat Pump with unknown efficiency ratings in single-family home in Marion with Quality Installation:

ΔkWSSP for remaining life of existing unit (1st 6 years):

= (36,000 \* (1/(7.5 \* (1-0.1)) - 1/(12 \* (1-0)))) / 1000 \* 0.72

= 1.68 kW

ΔkWSSP for remaining measure life (next 10 years):

= (36,000 \* (1/(11 \* (1-0.1)) – 1/(12 \* (1-0)))) / 1000 \* 0.72

= 0.458 kW

ΔkWPJM for remaining life of existing unit (1st 6 years):

= (36,000 \* (1/(7.5 \* (1-0.1)) - 1/(12 \* (1-0)))) / 1000 \* 0.466

= 1.087 kW

ΔkWPJM for remaining measure life (next 10 years):

= (36,000 \* (1/(11 \* (1-0.1)) – 1/(12 \* (1-0)))) / 1000 \* 0.466

= 0.297 kW

###### Fossil Fuel Savings

Calculation provided together with Electric Energy Savings above.

###### Water Impact Descriptions and Calculation

N/A

###### Deemed O&M Cost Adjustment Calculation

N/A

###### Cost Effectiveness Screening and Load Reduction Forecasting when Fuel Switching

This measure can involve fuel switching from fossil fuel to electric.

For the purposes of forecasting load reductions due to fuel switch ASHP projects per Section 16-111.5B, changes in site energy use at the customer’s meter (using ΔkWh algorithm below), customer switching estimates, NTG, and any other adjustment factors deemed appropriate, should be used.

The inputs to cost effectiveness screening should reflect the actual impacts on the electric and fuel consumption at the customer meter and, for fuel switching measures, should therefore reflect the decrease in one fuel and increase in another, as opposed to the single savings value calculated in the “Electric and Fossil Fuel Energy Savings” section above. Therefore in addition to the calculation of savings claimed, the following values should be used to assess the cost effectiveness of the measure. For Early Replacement measures, the efficiency and Fe terms of the existing unit should be used for the remaining useful life of the existing equipment (6 years for ASHP and Central AC, 7 years for furnace, 8 years for boilers or GSHP, 16 years for electric resistance), and the efficiency and Fe terms for a new baseline unit should be used for the remaining years of the measure.

ΔTherms = [Heating Consumption Replaced]

= [(%FuelSwitch \* HeatLoad \* 1/AFUEbase) / 100,000]

ΔkWh = [FurnaceFanSavings] - [ASHP heating consumption] + [Cooling savings]

= %FuelSwitch \* [[FurnaceFlag \* HeatLoad \* 1/AFUEbase \* Fe \* 0.000293] - [(HeatLoad \* (1/(HSPF\_ee \* HSPF\_ClimateAdj \* HSPFadj \* (1 – DeratingHeatEff))))/1000] + [(CoolingLoad \* (1/(SEER\_base \* (1 – DeratingCoolBase)) - 1/(SEER\_ee \* SEERadj \* (1 – DeratingCoolEff))))/1000]]

###### Measure Code: RS-HVC-ASHP-V13-230101

###### Review Deadline: 1/1/2025

### 5.3.3 Central Air Conditioning

###### Description

This measure characterizes:

1. Time of Sale:
   1. The installation of a new residential sized (<= 65,000 Btu/hr) Central Air Conditioning ducted split system meeting ENERGY STAR SEER efficiency standards presented below. This could relate to the replacement of an existing unit at the end of its useful life, or the installation of a new system in a new home.
2. Early Replacement:

Early Replacement determination will be based on meeting the following conditions:

* + - The existing unit is operational when replaced, or
    - The existing unit requires minor repairs (<$190 per ton).[[90]](#footnote-90)
    - All other conditions will be considered Time of Sale.

The Baseline SEER of the existing Central Air Conditioning unit replaced:

* + 1. If the SEER of the existing unit is known and <=10, the Baseline SEER is the actual SEER value of the unit replaced. If the SEER is >10, the Baseline SEER = 13 for standard sized units or SEER = 12 for space constrained units.
    2. If the SEER of the existing unit is unknown, use assumptions in variable list below (SEER\_exist).
    3. If the operational status or repair cost of the existing unit is unknown, use time of sale assumptions.

A weighted average early replacement rate is provided for use in downstream programs when the actual baseline early replacement rate is unknown.[[91]](#footnote-91)

**Deemed Early Replacement Rates for CAC Units in Combined System Replacement (CSR) Projects**

|  |  |
| --- | --- |
| **Replacement Scenario for the CAC Unit** | **Deemed Early Replacement Rate** |
| Early Replacement Rate for downstream participants when a CAC unit when the CAC unit is the Primary unit in a CSR project | 14% |
| Early Replacement Rate for downstream participants when a CAC unit when the CAC unit is the Secondary unit in a CSR project | 40% |

Note: it is not appropriate to claim additional ECM fan savings (from 5.3.5 Furnace Blower Motor) due to installing new CAC units with an ECM, since the SEER/EER ratings already account for this electrical load.

Quality Installation:

Additional savings are attributed to the Quality Installation (QI) of the system. QI programs should follow industry standards such as those described in ENERGY STAR Verified HVAC Installation Program (ESVI), ANSI ACCA QI5 and QI9vp. This must include considerations of system design (including sizing, matching, ventilation calculations) and equipment installation (including static pressure, airflow, refrigerant charge) and may also consider distribution.

This measure was developed to be applicable to the following program types:  TOS, NC, EREP.  If applied to other program types, the measure savings should be verified.

###### Definition of Efficient Equipment

In order for this characterization to apply, the efficient equipment is assumed to be a ducted split central air conditioning unit meeting at least the minimum ENERGY STAR version 5.0 efficiency level standards; 15 SEER and 12.5 EER.

Note: New ENERGY STAR specifications affecting heat pump and central air conditioners, v6.1, becomes effective January 1, 2023. The new specifications require central air conditioners to meet the following minimum efficiency requirements:[[92]](#footnote-92)

* Split system central air conditioners – 15.2 SEER2 and 12.0 EER2
* Single package central air conditioners – 15.2 SEER2 and 11.5 EER2

The measure characterization recommends sourcing the efficiency specifications from the actually installed equipment. If those values are not known, the default equipment efficiency recommendations are conservatively based on ENERGY STAR version 5.0 specifications.

The following conversion factors are recommended for use if the efficient equipment is not rated under the new testing procedure:[[93]](#footnote-93)

SEER = SEER2 / X

EER = EER2 / X

Where:

| **X** | **SEER** | **EER** |
| --- | --- | --- |
| Ducted | 0.95 | 0.95 |
| Packaged | 0.95 | 0.95 |

###### Definition of Baseline Equipment

The baseline for the Time of Sale measure is based on the current Federal Standard efficiency level; 13 SEER and an estimate of expected peak rated efficiency of 10.5 EER for standard sized units or 12 SEER and 10.5EER for space constrained units. Note, the space constrained product baseline should only be used when the efficient unit is classified as space constrained. It is assumed that ‘Quality Installation’ did not occur.

The baseline for the early replacement measure is the efficiency of the existing equipment for the assumed remaining useful life of the unit and the new baseline as defined above for the remainder of the measure life.[[94]](#footnote-94) Consistent with TRM Volume 1 Section 2.3.1 for midstream programs or other cases where the existing condition is unknown, it may be appropriate to apply a deemed percent split of Time of Sale and Early Replacement assumptions based on evaluation results

Note: New Federal Standards affecting central air conditioners become effective January 1, 2023. The new standards effective in 2023, require any residential central air conditioner manufactured in, or imported into, the United States to have a minimum efficiency rating meeting the following:[[95]](#footnote-95)

* Standard sized Split system air conditioners – 13.4 SEER2
* Standard sized Single-package air conditioners – 13.4 SEER2
* Space constrained air conditioners – 11.7 SEER2

These new federal standards will be adopted by the program, beginning 1/1/2024. For the 2023 program year, the baseline equipment efficiencies are detailed in this section by replacement scenario.

###### Deemed Lifetime of Efficient Equipment

The expected measure life is assumed to be 18 years.[[96]](#footnote-96)

Remaining life of existing equipment is assumed to be 6 years.[[97]](#footnote-97)

###### Deemed Measure Cost

Time of sale: The incremental capital cost for this measure is dependent on efficiency. Assumed incremental costs are provided below:[[98]](#footnote-98)

|  |  |
| --- | --- |
| **Efficiency Level (SEER)** | **Incremental Cost** |
| 14 | $104 |
| 15 | $108 |
| 16 | $221 |
| 17 | $620 |
| 18 | $620 |

Early replacement: The full install cost for this measure is the actual cost of removing the existing unit and installing the new one. If this is unknown, assume defaults below.[[99]](#footnote-99)

| **Efficiency Level (SEER)** | **Full Retrofit Cost (including labor)** |
| --- | --- |
| 14 | $952 / ton + $104 |
| 15 | $952 / ton + $108 |
| 16 | $952 / ton + $221 |
| 17 | $952 / ton + $620 |
| 18 | $952 / ton + $620 |

Assumed deferred cost (after 6 years) of replacing existing equipment with new baseline unit is assumed to be $3,140.[[100]](#footnote-100) This cost should be discounted to present value using the nominal societal discount rate.

Quality Installation: The additional design and installation work associated with quality installation has been estimated to cost an additional $150.[[101]](#footnote-101)

###### Loadshape

Loadshape R08 – Residential Cooling

###### Coincidence Factor

The summer peak coincidence factor for cooling is provided in two different ways below. The first is used to estimate peak savings during the utility peak hour and is most indicative of actual peak benefits, and the second represents the *average* savings over the defined summer peak period and is presented so that savings can be bid into PJM’s capacity market.

CFSSP = Summer System Peak Coincidence Factor for Central A/C (during system peak hour)

= 68%[[102]](#footnote-102)

CFPJM = PJM Summer Peak Coincidence Factor for Central A/C (average during PJM peak period)

= 46.6%[[103]](#footnote-103)

**Algorithm**

###### Calculation of Savings

###### Electric Energy Savings

Time of sale:

ΔkWH = (FLHcool \* Capacity \* (1/(SEERbase \* (1 – DeratingCoolBase)) – 1/(SEERee \* SEERadj \* (1 – DeratingCoolEff))))/1000

Early replacement:[[104]](#footnote-104)

ΔkWH for remaining life of existing unit (1st 6 years):

=(FLHcool \* Capacity \* (1/(SEERexist \* (1 – DeratingCoolBase)) – 1/(SEERee \* SEERadj \* (1 – DeratingCoolEff))))/1000

ΔkWH for remaining measure life (next 12 years):

= (FLHcool \* Capacity \* (1/(SEERbase \* (1 – DeratingCoolBase)) – 1/(SEERee \* SEERadj \* (1 – DeratingCoolEff))))/1000

Where:

FLHcool = Full load cooling hours

= dependent on location and building type:[[105]](#footnote-105)

| **Climate Zone**  **(City based upon)** | **FLHcool (single family)** | **FLHcool (multifamily)** | **FLH\_cooling (weatherized multifamily)** [[106]](#footnote-106) |
| --- | --- | --- | --- |
| 1 (Rockford) | 512 | 467 | 299 |
| 2 (Chicago) | 570 | 506 | 324 |
| 3 (Springfield) | 730 | 663 | 425 |
| 4 (Belleville) | 1035 | 940 | 603 |
| 5 (Marion) | 903 | 820 | 526 |
| Weighted Average[[107]](#footnote-107)  ComEd  Ameren  Statewide | 567  810  632 | 504  734  565 | 323  470  362 |

Use Multifamily if the Building has shared HVAC or meets the utility’s definition for multifamily

Capacity = Size of new equipment in Btu/hr (note 1 ton = 12,000Btu/hr)

= Use actual when program delivery allows size of AC unit to be known. If unknown, assume 33,600 Btu/hr for single family homes, 28,000 Btu/hr for multifamily, or 24,000 Btu/hr for mobile homes.[[108]](#footnote-108) If building type is unknown, assume 31,864Btu/hr.[[109]](#footnote-109)

SEERbase = Seasonal Energy Efficiency Ratio of baseline unit (kBtu/kWh)

= 13 for standard sized units or 12 for space constrained units [[110]](#footnote-110)

SEERexist = Seasonal Energy Efficiency Ratio f existing unit (kBtu/kWh)

= Use actual SEER rating where it is possible to measure or reasonably estimate. If using rated efficiencies, derate efficiency value by 1% per year (maximum of 30 years) to account for degradation over time,[[111]](#footnote-111) or, if unknown, assume 9.3.[[112]](#footnote-112)

SEERee = Rated Seasonal Energy Efficiency Ratio of ENERGY STAR unit (kBtu/kWh)

= Actual, or 15 if unknown.

SEERadj = Adjustment percentage to account for in-situ performance of the unit**[[113]](#footnote-113)**

= [(

DeratingCoolEff = Efficent Central Air Conditioner Cooling derating

= 0% if Quality Installation is performed

= 10% if Quality Installation is not performed or unknown[[114]](#footnote-114)

DeratingCoolBase = Baseline Central Air Conditioner Cooling derating

= 10%

**Time of sale example**: a 3 ton unit with SEER rating of 17, EER rating of 12.5 in unknown location without Quality Install:

SEERadj = (0.805 \* (12.5/17) + 0.367)

= 0.959

ΔkWH = (629 \* 36,000 \* (1/(13 \* (1-0.1)) – 1 / (17 \* 0.959 \* (1-0.1)))) / 1000

= 392 kWh

Time of sale example: a 3 ton unit with SEER rating of 17, EER rating of 12.5 in unknown location with Quality Install:

ΔkWH = (629 \* 36,000 \* (1/(13 \* (1-0.1)) – 1 / (17 \* 0.959 \* (1-0)))) / 1000

= 546 kWh

**Early replacement example**: a 3 ton unit, with SEER rating of 17, EER rating of 12.5 replaces an existing unit in unknown location with quality installation:

ΔkWH(for first 6 years) = (629 \* 36,000 \* (1/(9.3 \* (1-0.1)) - 1/(17\* 0.959 \* (1-0))))/1000

= 1,316 kWh

ΔkWH(for next 12 years) = (629 \* 36,000 \* (1/(13 \* (1-0.1)) - 1/(17\* 0.959 \* (1-0))))/1000

= 546 kWh

Therefore savings adjustment of 41% (546/1316) after 6 years.

###### Summer Coincident Peak Demand Savings

Time of sale:

ΔkW = (Capacity \* (1/(EERbase \* (1 – DeratingCoolBase)) - 1/(EERee \* (1 – DeratingCoolEff))))/1000 \* CF

Early replacement:[[115]](#footnote-115)

ΔkW for remaining life of existing unit (1st 6 years):

= (Capacity \* (1/(EERexist \* (1 – DeratingCoolBase)) - 1/(EERee\* (1 – DeratingCoolEff))))/1000 \* CF

ΔkW for remaining measure life (next 12 years):

= (Capacity \* (1/(EERbase \* (1 – DeratingCoolBase)) - 1/(EERee\* (1 – DeratingCoolEff))))/1000 \* CF

Where:

EERbase = EER Efficiency of baseline unit

= 10.5 [[116]](#footnote-116)

EERexist = EER Efficiency of existing unit

= Use actual EER rating where it is possible to measure or reasonably estimate. If using rated efficiencies, derate efficiency value by 1% per year (maximum of 30 years) to account for degradation over time.[[117]](#footnote-117) If unknown, assume 7.5.[[118]](#footnote-118)

EERee = EER Efficiency of ENERGY STAR unit

= Actual installed or 12 if unknown

CFSSP = Summer System Peak Coincidence Factor for Central A/C (during system peak hour)

= 68%[[119]](#footnote-119)

CFPJM    = PJM Summer Peak Coincidence Factor for Central A/C (average during peak period)

= 46.6%[[120]](#footnote-120)

**Time of sale example**: a 3 ton unit with EER rating of 12 with Quality Install:

ΔkW SSP = (36,000 \* (1/(10.5 \* (1-0.1)) – 1/(12 \* (1-0)))) / 1000 \* 0.68

= 0.550 kW

ΔkW PJM = (36,000 \* (1/(10.5 \* (1-0.1)) – 1/(12 \* (1-0)))) / 1000 \* 0.466

= 0.377 kW

**Early replacement example**: a 3 ton unit with EER rating of 12 replaces an existing unit with Quality Install:

ΔkW SSP (for first 6 years) = (36,000 \* (1/(7.5 \* (1-0.1)) – 1/(12 \* (1-0)))) / 1000 \* 0.68

= 1.587 kW

ΔkW SSP (for next 12 years) = (36,000 \* (1/(10.5 \* (1-0.1)) – 1/(12 \* (1-0)))) / 1000 \* 0.68

= 0.550 kW

ΔkW PJM (for first 6 years) = (36,000 \* (1/(7.5 \* (1-0.1)) – 1/(12 \* (1-0)))) / 1000 \* 0.466

= 1.087 kW

ΔkW PJM (for next 12 years)= (36,000 \* (1/(10.5 \* (1-0.1)) – 1/(12 \* (1-0)))) / 1000 \* 0.466

= 0.377 kW

###### Fossil Fuel Savings

N/A

###### Water Impact Descriptions and Calculation

N/A

###### Deemed O&M Cost Adjustment Calculation

N/A

###### Measure Code: RS-HVC-CAC1-V11-230101

###### Review Deadline: 1/1/2024

### Ductless Heat Pumps

###### Description

A heat pump provides heating or cooling by moving heat between indoor and outdoor air. This measure relates to a split heat pump with an outdoor unit and single or multi indoor units providing conditioned air.

This measure is designed to calculate electric savings for the installation of a ductless mini-split heat pump (DMSHP). DMSHPs save energy in heating mode because they provide heat more efficiently than electric resistance heat and central ASHP systems. Additionally, DMSHPs use less fan energy to move heat and don’t incur heat loss through a duct distribution system.

For cooling, the proposed savings calculations are aligned with those of typical replacement systems. DMSHPs save energy in cooling mode because they provide cooling capacity more efficiently than other types of unitary cooling equipment. A DMSHP installed in a home with a central ASHP system will save energy by offsetting some of the cooling energy of the ASHP. In order for this measure to apply, the control strategy for the heat pump is assumed to be chosen to maximize savings per installer recommendation.[[121]](#footnote-121)

This measure characterizes the following scenarios:

1. New Construction:
   1. The installation of a new DMSHP meeting efficiency standards required by the program in a new home.
   2. Note the baseline in this case should be determined via EM&V and the algorithms are provided to allow savings to be calculated from any baseline condition.
2. Time of Sale:
   1. The planned installation of a new DMSHP meeting efficiency standards required by the program to replace an existing system(s) that does not meet the criteria for early replacement described in section c below.
   2. Note the baseline in this case is an equivalent replacement system to that which exists currently in the home. Where unknown, the baseline should be determined via EM&V and the algorithms are provided to allow savings to be calculated from any baseline condition. The calculation of savings is dependent on whether an incentive for the installation has been provided by both a gas and electric utility, just an electric utility or just a gas utility.
3. Early Replacement/Retrofit:
   1. The early removal or displacement of functioning either electric or gas space heating and/or cooling systems from service, prior to the natural end of life, and replacement with a new DMSHP.
   2. Note the baseline in this case is the existing equipment being replaced/displaced. The calculation of savings is dependent on whether an incentive for the installation has been provided by both a gas and electric utility, just an electric utility or just a gas utility.
   3. Early Replacement determination will be based on meeting the following conditions:
      * The existing unit is operational when replaced/displaced, or
      * The existing unit requires minor repairs, defined as costing less than:[[122]](#footnote-122)

| **Existing System** | **Maximum repair cost** |
| --- | --- |
| Air Source Heat Pump | $276 per ton |
| Central Air Conditioner | $190 per ton |
| Boiler | $709 |
| Furnace | $528 |
| Ground Source Heat Pump | <$249 per ton |

* + - All other conditions will be considered Time of Sale.
  1. The Baseline efficiency of the existing unit replaced:
     1. If the efficiency of the existing unit is less than the maximum shown below, the Baseline efficiency is the actual efficiency value of the unit replaced. If the efficiency is greater than the maximum, the Baseline efficiency is shown in the “New Baseline” column below:

| **Existing System** | **Maximum efficiency for Actual** | **New Baseline[[123]](#footnote-123)** |
| --- | --- | --- |
| Air Source Heat Pump | 10 SEER | 14 SEER, 11 EER, 8.2 HSPF if standard sized  12 SEER, 10.5 EER, 7.4 HSPF if space constrained |
| Central Air Conditioner | 10 SEER | 13 SEER, 10.5 EER if standard sized  12 SEER, 10.5 EER if space constrained |
| Natural Gas or LP Boiler | 75% AFUE | 84% AFUE |
| Natural Gas or LP Furnace | 75% AFUE | 80% AFUE |
| Oil Furnace | 75% AFUE | 83% AFUE |
| Oil Boiler | 75% AFUE | 86% AFUE |
| Ground Source Heat Pump | 10 SEER | 14 SEER, 11 EER, 8.2 HSPF |

* + 1. If the efficiency of the existing unit is unknown, use assumptions in variable list below (SEER, HSPF or AFUE exist).
    2. If the operational status or repair cost of the existing unit is unknown use time of sale assumptions.

A weighted average early replacement rate is provided for use in downstream programs when the actual baseline early replacement rates are unknown.

Deemed Early Replacement Rates For DMSHP

|  |  |
| --- | --- |
|  | **Deemed Early Replacement Rate** |
| Early Replacement Rate for DMSHP participants | 27%[[124]](#footnote-124) |

This measure was developed to be applicable to the following program types:  RF, TOS, NC, EREP.

If applied to other program types, the measure savings should be verified.

###### Definition of Efficient Equipment

In order for this characterization to apply, the new equipment must be a high-efficiency, variable-capacity (typically “inverter-driven” DC motor) ductless heat pump system that exceeds the program minimum efficiency requirements.

The following conversion factors are recommended for use if the efficient equipment is not rated under the new testing procedure:[[125]](#footnote-125)

SEER = SEER2 / X

EER = EER2 / X

HSPF = HSPF2 / X

Where:

| **X** | **SEER** | **EER** | **HSPF** |
| --- | --- | --- | --- |
| Ductless | 1.00 | 1.00 | 0.95 |

###### Definition of Baseline Equipment

For these products, baseline equipment includes Air Conditioning and Space Heating:

New Construction:

To calculate savings with an electric baseline, the baseline equipment is assumed to be an Air Source Heat Pump meeting the Federal Standard efficiency level; 14 SEER, 8.2 HSPF and 11 EER for standard sized units, or 12SEER, 7.4 HSPF, 10.5EER for space constrained product.[[126]](#footnote-126) Note, the space constrained product baseline should only be used when it is demonstrated that the alternative ducted system would need to be a space constrained unit.

To calculate savings with a furnace/central AC baseline, the baseline equipment is assumed to be an 80% AFUE Furnace and central AC meeting the Federal Standard efficiency level; 13 SEER, 10.5 EER for standard sized units, or 12SEER, 10.5EER for space constrained product. [[127]](#footnote-127)

Time of Sale: The baseline for this measure is a new replacement unit of the same system type as the existing unit, meeting the baselines provided below. Note, the space constrained product baseline should only be used when the existing unit being replaced is classified as space constrained.

| **Unit Type** | **Efficiency Standard** |
| --- | --- |
| Standard sized ASHP | 14 SEER, 11 EER, 8.2 HSPF |
| Space constrained ASHP | 12 SEER, 10.5 EER, 7.4 HSPF |
| Electric Resistance | 3.412 HSPF |
| Natural Gas or LP Furnace | 80% AFUE |
| Natural Gas or LP Boiler | 84% AFUE |
| Oil Furnace | 83% AFUE |
| Oil Boiler | 86% AFUE |
| Standard sized Central AC | 13 SEER, 10.5 EER |
| Space constrained Central AC | 12 SEER, 10.5 EER |
| Unknown [[128]](#footnote-128) | 13.28 SEER, 11.35EER, 5.53 HSPF, 81.1% AFUE |

Early replacement / Retrofit: The baseline for this measure is the efficiency of the *existing* heating and cooling equipment for the assumed remaining useful life of the existing unit and a new baseline heating and cooling system for the remainder of the measure life (as provided in table above). Note that in order to claim cooling savings, there must be an existing air conditioning system.

Where unknown, early replacement efficiency assumptions are 9.95 SEER, 9.01 EER, 5.07 HSPF and 63% AFUE. Consistent with TRM Volume 1 Section 2.3.1 for midstream programs or other cases where the existing condition is unknown, it may be appropriate to apply a deemed percent split of Time of Sale and Early Replacement assumptions based on evaluation results

For multifamily buildings, each residence must have existing individual heating equipment. Multifamily residences with central heating do not qualify for this characterization.

Note: New Federal Standards affecting heat pumps become effective January 1, 2023. The new standards effective in 2023, require any residential heat pump manufactured in, or imported into, the United States to have a minimum efficiency rating meeting the following:[[129]](#footnote-129)

* Split system heat pump standard sized units – 14.3 SEER2 and 7.5 HSPF2
* Single-package heat pump standard sized units – 13.4 SEER2 and 6.7 HSPF2
* Space constrained heat pump units - 11.9 SEER2 and 6.3 HSPF2

These new federal standards will be adopted by the program, beginning 1/1/2024. For the 2023 program year, the baseline equipment efficiencies are detailed in this section by replacement scenario.

###### Deemed Lifetime of Efficient Equipment

The expected measure life is assumed to be 15 years.[[130]](#footnote-130)

For early replacement, the remaining life of existing equipment is assumed to be 6 years for ASHP and Central AC, 7 years for furnace and unknown, 8 years for boilers[[131]](#footnote-131) and 15 years for electric resistance.[[132]](#footnote-132)

###### Deemed Measure Cost

New Construction and Time of Sale: The actual installed cost of the DMSHP (including any necessary electrical or distribution upgrades required) should be used (defaults are provided below), minus the assumed installation cost of the baseline equipment ($6562 + $600 per ton for ASHP,[[133]](#footnote-133) or $2,011 for a new baseline 80% AFUE furnace, or $4,053 for a new 84% AFUE boiler,[[134]](#footnote-134) and $952 per ton for new baseline Central AC replacement [[135]](#footnote-135)).

Default full cost of the DMSHP is provided below. Note, for smaller units a minimum cost of $2,000 should be applied:[[136]](#footnote-136)

| **Unit HSPF** | **Full Install Cost ($/ton)[[137]](#footnote-137)** |
| --- | --- |
| 9-9.9 | $1,443 |
| 10-10.9 | $1,605 |
| 11-12.9 | $1,715 |
| 13+ | $2,041 |

The incremental cost of the DSMHP compared to a baseline minimum efficiency DSMHP is provided in the table below:[[138]](#footnote-138)

| **Efficiency (HSPF)** | **Incremental Cost ($/ton) over an HSPF 8.0 DHP** |
| --- | --- |
| 9-9.9 | $62 |
| 10-10.9 | $224 |
| 11-12.9 | $334 |
| 13+ | $660 |

Early Replacement/retrofit (replacing existing equipment): The actual full installation cost of the DMSHP (including any necessary electrical or distribution upgrades required) should be used. The assumed deferred cost (after 8 years) of replacing existing equipment with a new baseline unit is assumed to be $7,527 + $688 per ton for a new baseline Air Source Heat Pump, or $2,296 for a new baseline 80% AFUE furnace or $4,627 for a new 84% AFUE boiler and $1,047 per ton for new baseline Central AC replacement.[[139]](#footnote-139) If replacing electric resistance heat, there is no deferred replacement cost. This future cost should be discounted to present value using the nominal societal discount rate.

Where the DMSHP is a supplemental HVAC system, the full installation cost of the DMSHP (including any necessary electrical or distribution upgrades required) should be used without a deferred replacement cost.

If the install cost is unknown a default is provided above. Fuel switch scenarios are likely to require additional installation work which may include adding new electrical circuits, capping existing gas lines and upgrading electrical panels. These costs are likely to range significantly and actual values should be used wherever possible. If unknown, assume an additional $300 for fuel switch installations.

###### Loadshape

Loadshape R10 - Residential Electric Heating and Cooling (if replacing gas heat and central AC)[[140]](#footnote-140)

Loadshape R09 - Residential Electric Space Heat (if replacing electric heat with no cooling)

Loadshape R10 - Residential Electric Heating and Cooling (if replacing ASHP)

Note for purpose of cost effectiveness screening a fuel switch scenario, the heating kWh increase and cooling kWh decrease should be calculated separately such that the appropriate loadshape (i.e., Loadshape R09 - Residential Electric Space Heat and Loadshape R08 – Residential Cooling respectively) can be applied.

###### Coincidence Factor

The summer peak coincidence factor for cooling is provided in four different ways below. The first two relate to the use of DMSHP to supplement existing cooling or provide limited zonal cooling, the second two relate to use of the DMSHP to provide whole house cooling. In each pair, the first is used to estimate peak savings during the utility peak hour and is most indicative of actual peak benefits, and the second represents the *average* savings over the defined summer peak period and is presented so that savings can be bid into PJM’s capacity market. Both values provided are based on metering data for 40 DMSHPs in Ameren Illinois service territory.[[141]](#footnote-141)

For Single Zone DMSHPs providing supplemental or limited zonal cooling:

CFSSP = Summer System Peak Coincidence Factor for DMSHP (during utility peak hour)

= 43.1%[[142]](#footnote-142)

CFPJM = PJM Summer Peak Coincidence Factor for DMSHP (average during PJM peak period)

= 28.0%[[143]](#footnote-143)

For Multi-Zone DMSHPs providing whole house cooling:

CFSSP = Summer System Peak Coincidence Factor for Heat Pumps (during utility peak hour)

= 72%[[144]](#footnote-144)

CFPJM   = PJM Summer Peak Coincidence Factor for Heat Pumps (average during PJM peak period)

= 46.6%[[145]](#footnote-145)

**Algorithms**

###### Calculation of Savings

###### Electric Energy and Fossil Fuel Savings

Non fuel switch measures:

ΔkWhNonFuelSwitch = [Cooling Savings] + [Heating Savings]

= [(CoolingLoad \* (1/SEERBase - 1/SEERee))/1000] + [(HeatLoad \* HeatLoadFactorelec \* (1/(HSPFBase \* HSPF\_ClimateAdj) - 1/(HSPFee \* HSPF\_ClimateAdj )) / 1000]

Fuel switch measures:

Fuel switch measures must produce positive total lifecycle energy savings (i.e., reduction in Btus at the premises) in order to qualify. This is determined as follows (note for early replacement measures the lifetime savings should be calculated by calculating savings for the remaining useful life of the existing equipment and for the remaining measure life):

SiteEnergySavings (MMBTUs) = FuelSwitchSavings + NonFuelSwitchSavings

FuelSwitchSavings = GasHeatReplaced – DMSHPSiteHeatConsumed

NonFuelSwitchSavings = FurnaceFanSavings + DMSHPSiteCoolingImpact

GasHeatReplaced = (HeatLoad \* HeatLoadFactorgas \* 1/AFUEbase) / 1,000,000

FurnaceFanSavings = (FurnaceFlag \* HeatLoad \* HeatLoadFactorgas \* 1/AFUEbase \* Fe) / 1,000,000

DMSHPSiteHeatConsumed = ((HeatLoad \* HeatLoadFactorelec \* (1/HSPFee \* HSPF\_ClimateAdj))/1000 \* 3412)/ 1,000,000

DMSHPSiteCoolingImpact = ((CoolingLoad \* (1/SEERBase - 1/SEERee))/1000 \* 3412)/ 1,000,000

If SiteEnergySavings calculated above is positive, the measure is eligible.

The appropriate savings claim is dependent on which utilities are supporting the measure as provided in a table below:

| **Measure supported by:** | **Electric Utility claims (kWh):** | **Gas Utility claims (therms):** |
| --- | --- | --- |
| Electric utility only | SiteEnergySavings \* 1,000,000/3,412 | N/A |
| Electric and gas utility  (Note utilities may make alternative agreements to how savings are allocated as long as total MMBtu savings remains the same). | %IncentiveElectric \* SiteEnergySavings \*  1,000,000/3,412 | %IncentiveGas \* SiteEnergySavings \* 10 |
| Gas utility only | N/A | SiteEnergySavings \* 10 |

Note for Early Replacement measures, the efficiency and Fe terms of the existing unit should be used for the remaining useful life of the existing equipment (6 years for ASHP and Central AC, 6 years for furnace, 8 years for boilers, 15 years for electric resistance), and the efficiency and Fe terms for a new baseline unit should be used for the remaining years of the measure. See assumptions below.

Programs where existing system unknown

In programs where the existing fuel or system type is unknown, savings should be apportioned between the Fuel Switch and Non- Fuel Switch scenarios, as follows:

Savings from Non-Fuel Switch (kWh) = (1 – %FuelSwitch) \* ΔkWhNon Fuel Switch

Plus

Savings from Fuel Switch (MMBtu converted to appropriate fuel as table above)

= %FuelSwitch \* SiteEnergySavings (MMBTUs)

Where:

%FuelSwitch = The percentage of replacements resulting in fuel-switching.

= 1 when fuel switching is known

= where unknown, such as in a midstream program, determine through evaluation.

CoolingLoad = Annual cooling load being displaced

= Capacitycool \*EFLHcool

Capacitycool = the total cooling output capacity of all the ductless heat pump units installed in Btu/hr[[146]](#footnote-146)

= Actual installed

EFLHcool = Equivalent Full Load Hours for cooling. Depends on location. See table below:[[147]](#footnote-147)

| **Climate Zone**  **(City based upon)** | **EFLHcool** |
| --- | --- |
| 1 (Rockford) | 323 |
| 2 (Chicago) | 308 |
| 3 (Springfield) | 468 |
| 4 (Belleville) | 629 |
| 5 (Marion) | 549 |
| Weighted Average[[148]](#footnote-148)  ComEd  Ameren  Statewide | 309  496  359 |

SEERbase  = Seasonal Energy Efficiency Ratio of baseline unit (kBtu/kWh). For early replacment measures, the actual SEER rating where it is possible to measure or reasonably estimate should be used for the remaining useful life of the existing equipment (6 years for ASHP and Central AC). If using rated efficiencies, derate efficiency value by 1% per year (maximum of 30 years) to account for degradation over time,[[149]](#footnote-149) or if unknown assume default provided below:

| **Baseline/Existing Cooling System** | **SEERbase** | | | |
| --- | --- | --- | --- | --- |
| **Early Replacement**  **(Remaining useful life of existing equipment)** | **Early Replacement (Remaining measure life)** | **Time of Sale or New Construction** |
| Air Source Heat Pump – Standard sized | 9.7[[150]](#footnote-150) | 14[[151]](#footnote-151) | |
| Air Source Heat Pump – Space constrained | 9.7 | 12 | |
| Central AC – Standard sized | 9.7[[152]](#footnote-152) | 13[[153]](#footnote-153) | |
| Central AC – Space constrained | 9.7 | 12 | |
| Room AC | 8.0[[154]](#footnote-154) | 13 | |
| No central cooling | Make ‘1/SEER\_exist’ = 0 [[155]](#footnote-155) | 13[[156]](#footnote-156) | |
| Unknown [[157]](#footnote-157) | 9.95 | 13.28 | |

SEERee = SEER rating of new equipment (kbtu/kwh)

= Actual installed[[158]](#footnote-158)

HeatLoad = Calculated heat load being displaced

= EFLHheat\_DMSHP \* Capacity\_DMSHPheat

EFLHheat\_DMSHP= Ductless heat pump equivalent Full Load Hours for heating. Depends on location. See table below:

|  |  |
| --- | --- |
| **Climate Zone**  **(City based upon)** | **EFLHheat[[159]](#footnote-159)** |
| 1 (Rockford) | 1,520 |
| 2 (Chicago) | 1,421 |
| 3 (Springfield) | 1,347 |
| 4 (Belleville) | 977 |
| 5 (Marion) | 994 |
| Weighted Average[[160]](#footnote-160)  ComEd  Ameren  Statewide | 1,425  1,243  1,374 |

Capacity\_DMSHPheat = the total rated 47°F heating output capacity of all the ductless heat pump units installed in Btu/hr

= Actual

HeatLoadFactor = adjustment to reflect the heat load carried by the DMSHP in each use case, considering assumed operational strategy and switchover temperature, as well as DMSHP rated capacity.[[161]](#footnote-161) If new DMSHP displaces all existing heating systems, assume 1. “Partial Displacement” application refers to the condition where an existing heating system remains in place to meet heating load not provided by the heat pump.

Use factor from table below. For programs where displacement scenario and switchover temperature is unknown, evaluation should determine appropriate weightings of the various scenarios including full displacement, partial displacement and cooling/heating only.

If Partial Displacement and Simultaneous Operation[[162]](#footnote-162) with existing heat type, HeatLoadFactor:

| **Climate Zone** | **≤15 kBtu** | **>15 and ≤21 kBtu** | **>21 and ≤27 kBtu** | **>27 and ≤33 kBtu** | **>33 and ≤39 kBtu** | **>39 and ≤45 kBtu** | **>45 kBtu** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 1 (Rockford) | 2.12 | 1.80 | 1.52 | 1.30 | 1.12 | 0.98 | 0.87 |
| 2 (Chicago) | 2.25 | 1.89 | 1.58 | 1.33 | 1.14 | 0.99 | 0.87 |
| 3 (Springfield) | 2.01 | 1.68 | 1.40 | 1.18 | 1.00 | 0.87 | 0.77 |
| 4 (Belleville) | 2.89 | 2.34 | 1.90 | 1.58 | 1.34 | 1.16 | 1.02 |
| 5 (Marion) | 2.50 | 1.93 | 1.53 | 1.25 | 1.05 | 0.90 | 0.79 |
| ComEd Weighted Average | 2.03 | 1.72 | 1.46 | 1.24 | 1.07 | 0.94 | 0.83 |
| Ameren Weighted Average | 2.15 | 1.81 | 1.51 | 1.27 | 1.09 | 0.95 | 0.84 |
| Statewide Weighted Average | 2.06 | 1.74 | 1.47 | 1.25 | 1.07 | 0.94 | 0.83 |

If Partial Displacement and Switchover[[163]](#footnote-163) at >24°F, HeatLoadFactor:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Climate Zone** | **≤15 kBtu** | **>15 and ≤21 kBtu** | **>21 and ≤27 kBtu** | **>27 and ≤33 kBtu** | **>33 and ≤39 kBtu** | **>39 and ≤45 kBtu** | **>45 kBtu** |
| 1 (Rockford) | 0.93 | 0.67 | 0.50 | 0.40 | 0.34 | 0.29 | 0.25 |
| 2 (Chicago) | 1.06 | 0.77 | 0.58 | 0.46 | 0.39 | 0.33 | 0.29 |
| 3 (Springfield) | 0.92 | 0.66 | 0.49 | 0.39 | 0.33 | 0.28 | 0.25 |
| 4 (Belleville) | 1.71 | 1.24 | 0.93 | 0.74 | 0.62 | 0.53 | 0.46 |
| 5 (Marion) | 1.54 | 1.07 | 0.80 | 0.64 | 0.53 | 0.46 | 0.40 |
| ComEd Weighted Average | 0.89 | 0.64 | 0.48 | 0.39 | 0.32 | 0.28 | 0.24 |
| Ameren Weighted Average | 0.99 | 0.72 | 0.54 | 0.43 | 0.36 | 0.31 | 0.27 |
| Statewide Weighted Average | 0.92 | 0.66 | 0.50 | 0.40 | 0.33 | 0.28 | 0.25 |

If Partial Displacement and Switchover at ≤24°F, HeatLoadFactor

| **Climate Zone** | **≤15 kBtu** | **>15 and ≤21 kBtu** | **>21 and ≤27 kBtu** | **>27 and ≤33 kBtu** | **>33 and ≤39 kBtu** | **>39 and ≤45 kBtu** | **>45 kBtu** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 1 (Rockford) | 1.99 | 1.67 | 1.39 | 1.17 | 0.99 | 0.86 | 0.75 |
| 2 (Chicago) | 2.14 | 1.78 | 1.47 | 1.22 | 1.03 | 0.89 | 0.78 |
| 3 (Springfield) | 1.91 | 1.58 | 1.31 | 1.08 | 0.91 | 0.79 | 0.69 |
| 4 (Belleville) | 2.79 | 2.24 | 1.80 | 1.48 | 1.25 | 1.07 | 0.94 |
| 5 (Marion) | 2.47 | 1.90 | 1.50 | 1.22 | 1.02 | 0.88 | 0.77 |
| ComEd Weighted Average | 1.90 | 1.60 | 1.33 | 1.12 | 0.95 | 0.82 | 0.72 |
| Ameren Weighted Average | 2.04 | 1.70 | 1.40 | 1.17 | 0.99 | 0.85 | 0.74 |
| Statewide Weighted Average | 1.94 | 1.62 | 1.35 | 1.13 | 0.96 | 0.83 | 0.72 |

HSPFbase =Heating Seasonal Performance Factor of baseline heating system (kBtu/kWh) For early replacement measures, use actual HSPF rating where it is possible to measure or reasonably estimate for the remaining useful life of the existing equipment (6 years for ASHP, 15 years for electric resistance). If using rated efficiencies, derate efficiency value by 1% per year (maximum of 30 years) to account for degradation over time,[[164]](#footnote-164) or if unknown assume default:

| **Baseline/ Existing Heating System** | **HSPFBase** | | |
| --- | --- | --- | --- |
| **Early Replacement (Remaining useful life of existing equipment)** | **Early Replacement (Remaining measure life)** | **Time of Sale or New Construction** |
| Air Source Heat Pump | 5.78[[165]](#footnote-165) | 8.2[[166]](#footnote-166) | |
| Electric Resistance | 3.41[[167]](#footnote-167) | | |
| Unknown [[168]](#footnote-168) | 5.07 | 5.53 | |

HSPF\_ClimateAdj = Adjustment factor to account for observed discrepency between seasonal heating performance relative to rated HSPF as provided by standard AHRI 210/240 rating conditions. Note, the adjustment is dependent on the displacement scenario and test method use for the rating (i.e. HSPF or HSPF2 rating) [[169]](#footnote-169):

| **Displacement Scenario** | **City (county based upon)** | **HSPF\_ClimateAdj**  **When using HSPF rating** | **HSPF\_ClimateAdj**  **When using HSPF2 rating** |
| --- | --- | --- | --- |
| Partial Displacement | All | 100% | |
| Whole Heat Load Displacement | 1 (Rockford) | 70% | 74% |
| 2 (Chicago) | 70% | 74% |
| 3 (Springfield) | 83% | 87% |
| 4 (Belleville) | 83% | 87% |
| 5 (Marion) | 83% | 87% |
| Weighted Average[[170]](#footnote-170)  ComEd  Ameren  Statewide | 70%  81%  73% | 74%  85%  77% |

AFUEbase = Baseline Annual Fuel Utilization Efficiency Rating. For early replacement measures, use actual AFUE rating where it is possible to measure or reasonably estimate for the remaining useful life of the existing equipment (6 years for furnace, 8 years for boilers). If using rated efficiencies, derate efficiency value by 1% per year (maximum of 30 years) to account for degradation over time,[[171]](#footnote-171) or if unknown assume default:

| **Baseline/ Existing Heating System** | **AFUEbase** | | | |
| --- | --- | --- | --- | --- |
| **Early Replacement (Remaining useful life of existing equipment)[[172]](#footnote-172)** | **Early Replacement**  **(Remaining measure life)** | **Time of Sale or New Construction** |
| Furnace | 64.4% | 80% | 80% |
| Boiler | 61.6% | 84% | 84% |
| Unknown [[173]](#footnote-173) | 63% | 81.1% | 81.1% |

HSPFee = HSPF rating of new equipment (kbtu/kwh)

= Actual installed

FurnaceFlag = 1 if system replaced is a gas furnace, 0 if not.

= 0.44 for unknown baseline/existing heating systems[[174]](#footnote-174)

Fe = Furnace Fan energy consumption as a percentage of annual fuel consumption

For Early Replacement (1st 6 years) Fe\_Exist = 3.14%[[175]](#footnote-175)

For New Construction, Time of Sale and early replacement (remaining 10 years) Fe\_New = 1.88%[[176]](#footnote-176)

3412 = Btu per kWh

%IncentiveElectric = % of total incentive paid by electric utility

= Actual

%IncentiveGas = % of total incentive paid by gas utility

= Actual

**Non Fuel Switch Illustrative Examples**

Installing a 1.5-ton (heating and cooling capacity) ductless heat pump unit rated at 8 HSPF and 14 SEER in a single-family home in Chicago to partially displace electric baseboard heat with switchover at 20°F and replace a window air conditioner of unknown efficiency, savings are:

ΔkWhheat = (18000 \* 1421 \* 1.78 \* (1/3.412 – 1/8))/1000 = 7,653 kWh

ΔkWhcool = (18000 \* 308 \*(1/8.0 – 1/14)) /1000 = 297 kWh

ΔkWh = 7,653 + 297 = 7,950 kWh

**Fuel Switch Illustrative Examples**

*[for illustrative purposes 50:50 incentive is used for joint programs]*

Installing a 1.5-ton (heating and cooling capacity) ductless heat pump unit rated at 9 HSPF and 16 SEER in a single-family home in Chicago to partially displace gas furnace heat with switchover at 28°F and replace a central air conditioner of unknown efficiency, savings are:

LifetimeSiteEnergySavings (MMBTUs) = LifetimeGasHeatReplaced + LifetimeFurnaceFanSavings – LifetimeDMSHPSiteHeatConsumed + LifetimeDMSHPSiteCoolingImpact

LifetimeGasHeatReplaced = ((HeatLoad \* 1/AFUEexist) / 1,000,000 \* 6 years) + ((HeatLoad \* 1/AFUEbase) / 1,000,000 \* 9 years)

= ((1421 \* 18,000 \* 0.77 \* 1/0.644) / 1,000,000 \* 6) + ((1421 \* 18,000 \* 0.77 \* 1/0.8) / 1,000,000 \* 9)

= 405.1 MMBtu

LifetimeFurnaceFanSavings = ((FurnaceFlag \* HeatLoad \* 1/AFUEexist \* Fe\_Exist) / 1,000,000 \* 6 years) + ((FurnaceFlag \* HeatLoad \* 1/AFUEbase \* Fe\_New) / 1,000,000 \* 9 years)

= ((1 \* 1421 \* 18,000 \* 0.77 \* 1/0.644 \* 0.0314) / 1,000,000 \* 6) + ((1 \* 1421 \* 18,000 \* 0.77 \* 1/0.8 \* 0.0188) / 1,000,000 \* 9)

= 9.9 MMBtu

LifetimeDMSHPSiteHeatConsumed = ((HeatLoad \* (1/HSPFee))/1000 \* 3412)/ 1,000,000 \* 15 years

= ((1421 \* 18,000 \* 0.77 \* (1/9)) / 1000 \* 3412)/1,000,000 \* 15

= 112.0 MMBtu

LifetimeDMSHPSiteCoolingImpact = (((Capacitycool\* EFLHcool \* (1/SEERExist - 1/SEERee))/1000 \* 3412)/ 1,000,000 \* 6 years) + (((Capacitycool\* EFLHcool \* (1/SEERBase - 1/SEERee))/1000 \* 3412)/ 1,000,000 \* 9 years)

= ((((308 \* 18,000 \* (1/9.3 – 1/16))/1000 \* 3412)/1,000,000 \* 6) + (((308 \* 18,000 \* (1/13 –1/16))/1000 \* 3412) /1,000,000 \* 9)

= 7.6 MMBtu

LifetimeSiteEnergySavings (MMBTUs) = 405.1 + 9.9 – 112.0 + 7.6

= 310.6 MMBtu (Measure is eligible)

**Fuel Switch Illustrative Examples continued**

First 6 years:

SiteEnergySavings\_FirstYear (MMBTUs) = GasHeatReplaced + FurnaceFanSavings – DMSHPSiteHeatConsumed + DMSHPSiteCoolingImpact

GasHeatReplaced = (HeatLoad \* 1/AFUEExist) / 1,000,000

= (1421 \* 18,000 \* 0.77 \* 1/0.644) / 1,000,000

= 30.6 MMBtu

FurnaceFanSavings = (FurnaceFlag \* HeatLoad \* 1/AFUEExist \* Fe\_Exist) / 1,000,000

= (1 \* 1421 \* 18,000 \* 0.77 \* 1/0.644 \* 0.0314) / 1,000,000

= 0.9 MMBtu

DMSHPSiteHeatConsumed = ((HeatLoad \* (1/HSPFee))/1000 \* 3412)/ 1,000,000

= ((1421 \* 18,000 \* 0.77 \* (1/9)) / 1000 \* 3412)/1,000,000

= 7.5 MMBtu

DMSHPSiteCoolingImpact = ((Capacitycool\* EFLHcool \* (1/SEERExist - 1/SEERee))/1000 \* 3412)/ 1,000,000

= ((308 \* 18,000 \* (1/9.3 – 1/16))/1000 \* 3412)/1,000,000

= 0.9 MMBtu

SiteEnergySavings\_FirstYear (MMBTUs) = 30.6 + 0.9 – 7.5 + 0.9 = 24.9 MMBtu

Remaining 9 years:

SiteEnergySavings\_PostAdj (MMBTUs) = GasHeatReplaced + FurnaceFanSavings – DMSHPSiteHeatConsumed + DMSHPSiteCoolingImpact

GasHeatReplaced = (1421 \* 18,000 \* 0.77 \* 1/0.8) / 1,000,000

= 24.6 MMBtu

FurnaceFanSavings = (1 \* 1421 \* 18,000 \* 0.77 \* 1/0.8 \* 0.0188) / 1,000,000

= 0.5 MMBtu

DMSHPSiteHeatConsumed = ((1421 \* 18,000 \* 0.77 \* (1/9)) / 1000 \* 3412)/1,000,000

= 7.5 MMBtu

DMSHPSiteCoolingImpact = (((308 \* 18,000 \* (1/13 – 1/16))/1000 \* 3412)/1,000,000

= 0.3 MMBtu

SiteEnergySavings\_PostAdj (MMBTUs) = 24.6 + 0.5 – 7.5+ 0.3 = 17.9 MMBtu

###### Summer Coincident Peak Demand Savings

**Fuel Switch Illustrative Example continued**

Savings would be claimed as follows:

|  |  |  |
| --- | --- | --- |
| **Measure supported by:** | **Electric Utility claims:** | **Gas Utility claims:** |
| Electric utility only | First 6 years:  24.9 \* 1,000,000/3412  = 7298 kWh  Remaining 10 years:  17.9 \* 1,000,000/3412  = 5246 kWh | N/A |
| Electric and gas utility | First 6 years:  24.9 \* 0.5 \* 1,000,000/3412  = 3649 kWh  Remaining 10 years:  17.9 \* 0.5 \* 1,000,000/3412  = 2623 kWh | First 6 years:  24.9 \* 0.5 \* 10  = 124.5 Therms  Remaining 10 years:  17.9 \* 0.5 \* 10  = 89.5 Therms |
| Gas utility only | N/A | First 6 years:  24.9 \* 10  = 249 Therms  Remaining 10 years:  17.9 \* 10  = 179 Therms |

ΔkW = ((Capacitycool \* (1/EERbase - 1/EERee)) / 1000) \* CF

Where:

EER\_base = Energy Efficiency Ratio of baseline unit (kBtu/kWh). For early replacment measures, the actual EER rating where it is possible to measure or reasonably estimate should be used for the remaining useful life of the existing equipment (6 years for ASHP and Central AC). If using rated efficiencies, derate efficiency value by 1% per year (maximum of 30 years) to account for degradation over time.[[177]](#footnote-177) If unknown assume default provided below:

| **Baseline/Existing Cooling System** | **EER\_base** | | |
| --- | --- | --- | --- |
| **Early Replacement**  **(Remaining useful life of existing equipment)** | **Early Replacement (Remaining measure life)** | **Time of Sale or New Construction** |
| Air Source Heat Pump – Standard sized | 7.83[[178]](#footnote-178) | 11[[179]](#footnote-179) | |
| Air Source Heat Pump – space constrained | 7.83 | 10.5 | |
| Central AC – Standard sized | 7.83[[180]](#footnote-180) | 10.5[[181]](#footnote-181) | |
| Central AC – space constrained | 7.83 | 10.5 | |
| Room AC | 7.7[[182]](#footnote-182) | 10.5 | |
| No central cooling | Make ‘1/EER\_exist’ = 0 [[183]](#footnote-183) | 10.5[[184]](#footnote-184) | |
| Unknown [[185]](#footnote-185) | 7.77 | 10.5 | |

EER\_ee = Energy Efficiency Ratio of new ductless Air Source Heat Pump (kBtu/hr / kW)

= Actual, If not provided convert SEER to EER using this formula: [[186]](#footnote-186)

= (-0.02 \* SEER2) + (1.12 \* SEER)

For Single Zone DMSHPs providing supplemental or limited zonal cooling:

CFSSP = Summer System Peak Coincidence Factor for DMSHP (during utility peak hour)

= 43.1%[[187]](#footnote-187)

CFPJM = PJM Summer Peak Coincidence Factor for DMSHP (average during PJM peak period)

= 28.0%[[188]](#footnote-188)

For Multi Zone DMSHPs providing whole house cooling:

CFSSP = Summer System Peak Coincidence Factor for Heat Pumps (during utility peak hour)

= 72%[[189]](#footnote-189)

CFPJM   = PJM Summer Peak Coincidence Factor for Heat Pumps (average during PJM peak period)

= 46.6%[[190]](#footnote-190)

###### Fossil Fuel Savings

Calculation provided together with Electric Energy Savings above.

###### Water Impact Descriptions and Calculation

N/A

###### Deemed O&M Cost Adjustment Calculation

N/A

###### Cost Effectiveness Screening and Load Reduction Forecasting when Fuel Switching

This measure can involve fuel switching from gas to electric.

For the purposes of forecasting load reductions due to fuel switch DMSHP projects per Section 16-111.5B, changes in site energy use at the customer’s meter (using ΔkWh algorithm below), customer switching estimates, NTG, and any other adjustment factors deemed appropriate, should be used.

The inputs to cost effectiveness screening should reflect the actual impacts on the electric and fuel consumption at the customer meter and, for fuel switching measures, should therefore reflect the decrease in one fuel and increase in another, as opposed to the single savings value calculated in the “Electric and Fossil Fuel Energy Savings” section above. Therefore in addition to the calculation of savings claimed, the following values should be used to assess the cost effectiveness of the measure. For Early Replacement measures, the efficiency terms of the existing unit should be used for the remaining useful life of the existing equipment (6 years for ASHP and Central AC, 6 years for furnace, 8 years for boilers or GSHP, 15 years for electric resistance), and the efficiency terms for a new baseline unit should be used for the remaining years of the measure.

ΔTherms = [Heating Consumption Replaced]

= [(HeatLoad \* HeatLoadFactorgas \* 1/AFUEbase) / 100,000]

ΔkWh = [FurnaceFanSavings] - [DMSHP heating consumption] + [Cooling savings]

= [FurnaceFlag \* HeatLoad \* HeatLoadFactorgas \* 1/AFUEbase \* Fe \* 0.000293] - [(HeatLoad \* HeatLoadFactorelec \* 1/(HSPFee \* HSPF\_ClimateAd))/1000] + [(Capacitycool\* EFLHcool \* (1/SEERBase- 1/SEERee)) / 1000]

###### Measure Code: RS-HVC-DHP-V11-230101

###### Review Deadline: 1/1/2025

### LED Specialty Lamps

###### Description

Please note that this measure characterization contains specific assumptions that were negotiated as a compromise between the utilities and stakeholders and also reflects input from community-based organizations.  The compromise is designed to allow for a gradual change in lncome Qualified programming and to address the unique challenges that an abrupt change makes within the context of the Illinois CPAS savings goal structure. Such compromise shall not be taken as precedent for future non-consensus discussions.

This measure describes savings from a variety of specialty LED lamp types (including globe, decorative and downlights). This characterization assumes that the LED lamp is installed in a residential location. Where the implementation strategy does not allow for the installation location to be known (e.g., an upstream retail program not in a store ‘easily accessed by income qualified communities’ (see discussion below)) a deemed split of 96% Residential and 4% Commercial assumptions should be used.[[191]](#footnote-191) For stores easily accessed by income qualified communities, 100% of sales are assumed to be Income Qualified (IQ) residential.

This measure was developed to be applicable to the following program types:  TOS, NC, EREP, KITS.

If applied to other program types, the measure savings should be verified.

###### Definition of Efficient Equipment

To qualify for this measure the installed equipment must be an ENERGY STAR LED lamp or fixture or equivalent to the most recent version of ENERGY STAR specifications. Note a new ENERGY STAR specification v2.1 becomes effective on 1/2/2017.

###### Definition of Baseline Equipment

Specialty and Directional lamps were not included in the original definition of General Service Lamps in the Energy Independence and Security Act of 2007 (EISA). Therefore, the initial baseline is an incandescent / halogen lamp described in the table below.

A DOE Final Rule released on 1/19/2017 updated the EISA regulations to remove the exemption for these lamp types such that they become subject to the backstop provision defined within the original legislation. In September 2019 this decision was revoked in a new DOE Final Rule. However, in May 2022 DOE reversed this decision by issuing a Final rule for both the broadened General Service Lamp definition as well as the implementation of the 45 lumen per watt backstop. DOE stated that it will use its enforcement discretion to minimize impacts on the supply chain and effectively allow companies to continue the manufacture and import of noncompliant bulbs through the remainder of 2022, and allow retailers to continue selling them with limited enforcement until July 2023.

**Non-Income Qualified Programs**

This TRM assumes that non-income qualified participants would continue to have access to baseline / noncompliant bulbs through retail until 6/30/2023 after which the baseline for new purchases becomes an LED (since only CFL and LED are able to meet the 45 lu/watt standard and CFLs now make up <1% of the market). For purchases made before this date it is assumed that stockpiles would remain through the remainder of 2023 such that the measure life for 2023 purchases is reduced to 2 years.

Direct Install programs where it can be shown that the LED is replacing working inefficient lighting should continue to use the existing inefficient lighting as baseline and also assume a measure life of 2 years.

**Income Qualified Programs**

Through 2025, Retail programs in stores ‘easily accessed by income qualified communities’ (as defined below), and Kit, School and Foodbank programs, will continue to assume a halogen baseline and apply a measure life of 8 years.

A store is considered easily accessed by income qualified communities[[192]](#footnote-192):

* 1. For Ameren:
     1. if it is a retail store that is closest to a community with a zip code that has 65% of family households with an income less than or equal to 299% of the Federal poverty level for their household size (Applies to big box (e.g., Walmart), club (e.g., Costco), DIY (e.g., Home Depot), hardware and grocery stores); or
     2. If it is a "dollar store" in the AIC service area; or
     3. If it is a "thrift store" in the AIC service area.
  2. For ComEd:
     1. if it is a retail store is within a zip code where at least 60% or more of the households are at or below 80% Area Median Income (AMI); or
     2. If it is a "dollar store" in the ComEd service area; or
     3. If it is a "thrift store" in the ComEd service area.

100% of sales from such stores as defined above will count as IQ lighting.

Direct Install programs where it can be shown that the LED is replacing working inefficient lighting should continue to use the existing inefficient lighting as baseline and also assume a measure life of 8 years.

New Construction Programs

Since IECC 2015 energy code, there has been mandatory requirements for lighting in New Construction: *“Not less than 75 percent (90 percent in IECC 2018 and 100 percent in IECC 2021) of the lamps in permanently installed lighting fixtures shall be high-efficacy lamps or not less than 75 percent (90 percent in IECC 2018 and 100 percent in IECC 2021) of the permanently installed lighting fixtures shall contain only high-efficacy lamps”*. To meet the ‘high efficacy’ requirements, lamps need to be CFL or LED, however since CFLs are no longer commonly purchased (only 1% baseline forecast) it is assumed that 75% (IECC 2015) or 90% (IECC 2018) or 100% (IECC 2021) of the New Construction baseline is an LED and therefore savings are reduced by that percentage for bulbs provided in New Construction projects. Any New Construction project utilitizing IECC 2021 code should therefore not include savings from this measure.

###### Deemed Lifetime of Efficient Equipment

The average rated life for Decorative lamps on the ENERGY STAR Qualified Products list (accessed 6/16/2020) is approximately 17,000 hours, and for Directional Lamps is approximately 25,000 hours.

However, for all purchases through 2025 the measure life is assumed to be two years for non-income eligible populations and eight years for income eligible populations.

###### Deemed Measure Cost

The price of LED lamps is falling quickly. Where possible, the actual cost should be used and compared to the baseline cost provided below. If the incremental cost is unknown, assume the following:[[193]](#footnote-193)

| **Bulb Type** | **Year** | **Incandescent** | **LED** | **Incremental Cost** | **Incremental Cost for New Construction** | **Incremental Cost for New Construction** |
| --- | --- | --- | --- | --- | --- | --- |
| **(IECC 2015)** | **(IECC 2015)** |
| Directional | 2019 and on | $3.53 | $5.18 | $1.65 | $0.41 | $0.17 |
| Decorative and Globe | 2019 and on | $1.74 | $3.40 | $1.66 | $0.42 | $0.17 |

###### Loadshape

|  |
| --- |
| Loadshape R06 - Residential Indoor Lighting |
| Loadshape R07 - Residential Outdoor Lighting |

###### Coincidence Factor

The summer peak coincidence factor is assumed to be 0.109 for residential and in-unit multifamily bulbs,[[194]](#footnote-194), 0.273 for exterior bulbs[[195]](#footnote-195) and 0.117 for unknown[[196]](#footnote-196). Use Multifamily if the building meets the utility’s definition for multifamily.

**Algorithm**

###### Calculation of Savings

###### Electric Energy Savings

∆kWh = ((WattsBase - WattsEE) / 1000) \* ISR \* (1-Leakage) \* Hours \* WHFe

Where:

Wattsbase = Input wattage of the existing or baseline system. Reference the table below for default values.[[197]](#footnote-197)

WattsEE = Actual wattage of LED purchased / installed. If unknown, use default provided below.

**Decorative Lamps – ENERGY STAR Minimum Luminous Efficacy = 65Lm/W for all lamps**

| **Bulb Type** | **Minimum Lumens** | **Maximum Lumens** | **LED Wattage (WattsEE)** | **Baseline (WattsBase)** | **Baseline for New Construction**  **(WattsBase)** | | **Delta Watts  (WattsEE)** | **Delta Watts for New Construction  (WattsEE)** | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **IECC 2015** | **IECC 2018** | **IECC 2015** | **IECC 2018** |
| **Omni-Directional**  **3-Way** | 1,100 | 1,999 | 14.7 | 100 | 36.0 | 23.2 | 85.3 | 21.3 | 8.5 |
| 2,000 | 2,700 | 22.6 | 150 | 54.5 | 35.3 | 127.4 | 31.9 | 12.7 |
| **Globe (medium and intermediate bases less than 750 lumens)** | 310 | 349 | 3.0 | 25 | 8.5 | 5.2 | 22 | 5.5 | 2.2 |
| 350 | 499 | 4.7 | 40 | 13.5 | 8.2 | 35.3 | 8.8 | 3.5 |
| 500 | 574 | 5.7 | 60 | 19.3 | 11.1 | 54.3 | 13.6 | 5.4 |
| 575 | 649 | 6.5 | 75 | 23.6 | 13.4 | 68.5 | 17.1 | 6.9 |
| 650 | 1,000 | 8.2 | 100 | 31.2 | 17.4 | 91.8 | 23.0 | 9.2 |
| **Globe (candelabra bases less than 1050 lumens)** | 310 | 349 | 3.5 | 25 | 8.9 | 5.7 | 21.5 | 5.4 | 2.2 |
| 350 | 499 | 4.4 | 40 | 13.3 | 8.0 | 35.6 | 8.9 | 3.6 |
| 500 | 574 | 5.5 | 60 | 19.1 | 11.0 | 54.5 | 13.6 | 5.5 |
| **Decorative (Shapes B, BA, C, CA, DC, F, G, medium and intermediate bases less than 750 lumens)** | 310 | 499 | 4.3 | 40 | 13.2 | 7.9 | 35.7 | 8.9 | 3.6 |
| 500 | 800 | 5.8 | 60 | 19.4 | 11.2 | 54.2 | 13.6 | 5.4 |
| **Decorative (Shapes B, BA, C, CA, DC, F, G, candelabra bases less than 1050 lumens)** | 310 | 499 | 4.2 | 40 | 13.2 | 7.8 | 35.8 | 9.0 | 3.6 |
| 500 | 650 | 5.5 | 60 | 19.1 | 11.0 | 54.5 | 13.6 | 5.5 |
| **Decorative**  **(Shape ST)** | 310 | 499 | 6.5 | 40 | 14.9 | 9.9 | 33.5 | 8.4 | 3.4 |
| 500 | 999 | 8.8 | 60 | 21.6 | 13.9 | 51.2 | 12.8 | 5.1 |
| 1000 | 1500 | 10.0 | 100 | 32.5 | 19.0 | 90.0 | 22.5 | 9.0 |
| **Decorative (Shape S)** | 310 | 340 | 2.25 | 25 | 7.9 | 4.5 | 22.8 | 5.7 | 2.3 |

**Directional Lamps -** ENERGY STAR Minimum Luminous Efficacy = 70Lm/W for <90 CRI lamps and 61 Lm/W for >=90CRI lamps.

For Directional R, BR, and ER lamp types:[[198]](#footnote-198)

| **Bulb Type** | **Minimum Lumens** | **Maximum Lumens** | **LED Wattage (WattsEE)** | **Baseline (WattsBase)** | **Baseline for New Construction**  **(WattsBase)** | | **Delta Watts  (WattsEE)** | **Delta Watts for New Construction  (WattsEE)** | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **IECC 2015** | **IECC 2018** | **IECC 2015** | **IECC 2018** |
| Reflector lamp types with medium screw bases (PAR20, PAR30(S,L), PAR38, R40, etc.) w/ diameter >2.25"  (\*see exceptions below) | 400 | 649 | 7.0 | 50 | 17.8 | 11.3 | 43 | 10.8 | 4.3 |
| 650 | 899 | 10.7 | 75 | 26.8 | 17.1 | 64.3 | 16.1 | 6.4 |
| 900 | 1,049 | 13.9 | 90 | 32.9 | 21.5 | 76.1 | 19.0 | 7.6 |
| 1,050 | 1,199 | 13.8 | 100 | 35.4 | 22.4 | 86.2 | 21.6 | 8.6 |
| 1,200 | 1,499 | 15.9 | 120 | 41.9 | 26.3 | 104.1 | 26.0 | 10.4 |
| 1,500 | 1,999 | 18.9 | 150 | 51.7 | 32.0 | 131.1 | 32.8 | 13.1 |
| 2,000 | 3,299 | 27.3 | 250 | 83.0 | 49.6 | 222.7 | 55.7 | 22.3 |
| Reflector lamp types with medium screw bases (PAR16, R14, R16, etc.) w/ diameter <2.25"  (\*see exceptions below) | 310 | 374 | 4.6 | 35 | 12.2 | 7.6 | 30.4 | 7.6 | 3.0 |
| 375 | 600 | 6.4 | 50 | 17.3 | 10.8 | 43.6 | 10.9 | 4.4 |
| \*BR30, BR40, or ER40 | 650 | 949 | 9.3 | 65 | 23.2 | 14.9 | 55.7 | 13.9 | 5.6 |
| 950 | 1,099 | 12.7 | 75 | 28.3 | 18.9 | 62.3 | 15.6 | 6.2 |
| 1,100 | 1,399 | 14.4 | 85 | 32.1 | 21.5 | 70.6 | 17.7 | 7.1 |
| 1,400 | 1,600 | 16.6 | 100 | 37.5 | 24.9 | 83.4 | 20.9 | 8.3 |
| 1,601 | 1,800 | 22.2 | 120 | 46.7 | 32.0 | 97.8 | 24.5 | 9.8 |
| \*R20 | 450 | 524 | 6.0 | 40 | 14.5 | 9.4 | 34.0 | 8.5 | 3.4 |
| 525 | 750 | 7.1 | 45 | 16.6 | 10.9 | 37.9 | 9.5 | 3.8 |
| \*MR16 | 310 | 324 | 3.8 | 20.0 | 7.9 | 5.4 | 16.2 | 4.1 | 1.6 |
| 325 | 369 | 4.8 | 25.0 | 9.9 | 6.8 | 20.2 | 5.1 | 2.0 |
| 370 | 400 | 4.9 | 25.0 | 9.9 | 6.9 | 20.1 | 5.0 | 2.0 |

For PAR, MR, and MRX Lamps Types:

For these highly focused directional lamp types, it is necessary to have Center Beam Candle Power (CBCP) and beam angle measurements to accurately estimate the equivalent baseline wattage. The formula below is based on the ENERGY STAR Center Beam Candle Power tool.[[199]](#footnote-199) If CBCP and beam angle information are not available or if the equation below returns a negative value (or undefined), use the manufacturer’s recommended baseline wattage equivalent.[[200]](#footnote-200)

Where:

D = Bulb diameter (e.g. for PAR20 D = 20)

BA = Beam angle

CBCP = Center beam candle power

The result of the equation above should be rounded DOWN to the nearest wattage established by ENERGY STAR:

| **Diameter** | **Permitted Wattages** |
| --- | --- |
| 16 | 20, 35, 40, 45, 50, 60, 75 |
| 20 | 50 |
| 30S | 40, 45, 50, 60, 75 |
| 30L | 50, 75 |
| 38 | 40, 45, 50, 55, 60, 65, 75, 85, 90, 100, 120, 150, 250 |

Additional EISA non-exempt bulb types:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Bulb Type** | **Minimum Lumens** | **Maximum Lumens** | **LED Wattage (WattsEE)** | **Baseline (WattsBase)** | **Baseline for New Construction**  **(WattsBase)** | | **Delta Watts  (WattsEE)** | **Delta Watts for New Construction  (WattsEE)** | |
| **IECC 2015** | **IECC 2018** |  | **IECC 2015** | **IECC 2018** |
| Dimmable Twist, Globe (less than 5" in diameter and > 749 lumens), candle (shapes B, BA, CA > 749 lumens), Candelabra Base Lamps (>1049 lumens), Intermediate Base Lamps (>749 lumens) | 310 | 399 | 4.0 | 25 | 9.3 | 6.1 | 21.0 | 5.3 | 2.1 |
| 400 | 749 | 6.6 | 29 | 12.2 | 8.8 | 22.4 | 5.6 | 2.2 |
| 750 | 899 | 9.6 | 43 | 18.0 | 12.9 | 33.4 | 8.4 | 3.3 |
| 900 | 1,399 | 13.1 | 53 | 23.1 | 17.1 | 39.9 | 10.0 | 4.0 |
| 1,400 | 1,999 | 16.0 | 72 | 30.0 | 21.6 | 56.0 | 14.0 | 5.6 |

ISR = In Service Rate or the percentage of lamps rebated that get installed

| **Program** | | **In Service Rate (ISR) [[201]](#footnote-201)** |
| --- | --- | --- |
| Retail (Time of Sale) | | 97.9%[[202]](#footnote-202) |
| Direct Install | | 94.5%[[203]](#footnote-203) |
| Virtual Assessment followed by Unverified Self-Install | | 97.9%[[204]](#footnote-204) |
| Efficiency Kits[[205]](#footnote-205) | LED Distribution[[206]](#footnote-206) | 82.8% |
| School Kits[[207]](#footnote-207) | 83.8% |
| Direct Mail Kits[[208]](#footnote-208) | 91.8% |
| Direct Mail Kits, Income Qualified[[209]](#footnote-209) | 64.8% |
| Community Distributed Kits[[210]](#footnote-210) | 95.0% |

Leakage = Adjustment to account for the percentage of program bulbs that move out (and in if deemed appropriate)[[211]](#footnote-211) of the Utility Jurisdiction.

KITS programs = Determined through evaluation

Upstream (TOS) Lighting programs = Use deemed assumptions below:[[212]](#footnote-212)

ComEd: 1.1%

Ameren: 13.1%

All other programs = 0

Hours = Average hours of use per year

| **Installation Location** | **Annual hours of use (HOU)** |
| --- | --- |
| Residential and In-Unit Multi Family | 763[[213]](#footnote-213) |
| Exterior | 2,475[[214]](#footnote-214) |
| Unknown | 1,020[[215]](#footnote-215) |

WHFe = Waste heat factor for energy to account for cooling savings from efficient lighting

| **Bulb Location** | **WHFe** |
| --- | --- |
| Interior single family | 1.06 [[216]](#footnote-216) |
| Multifamily in unit | 1.04 [[217]](#footnote-217) |
| Exterior or uncooled location | 1.0 |
| Unknown location | 1.046[[218]](#footnote-218) |

Use Multifamily if: Building meets utility’s definition for multifamily

For example, a 13W PAR20 LED is purchased through a ComEd upstream program and installed in place of a 750 lumen PAR20 incandescent screw-in lamp with medium screw base, diameter >2.5" in a single family interior location:

ΔkWh = ((75 - 13) / 1000) \* 0.840 \* (1 – 0.011) \* 763 \* 1.06

= 41.6 kWh

**Heating Penalty**

If electric heated home (if heating fuel is unknown assume gas, see Fossil Fuel section):

∆kWh[[219]](#footnote-219)  = - (((WattsBase - WattsEE) / 1000) \* ISR \* (1-Leakage) \* Hours \* HF) / ηHeat

Where:

HF = Heating Factor or percentage of light savings that must be heated

= 49% for interior location [[220]](#footnote-220)

= 0% for exterior location

= 42% for unknown location [[221]](#footnote-221)

ηHeat = Efficiency in COP of Heating equipment

= Actual. If not available use: [[222]](#footnote-222)

|  |  |  |  |
| --- | --- | --- | --- |
| **System Type** | **Age of Equipment** | **HSPF Estimate** | **COPHEAT**  **(COP Estimate)**  **= (HSPF/3.413)\*0.85** |
| Heat Pump | Before 2006 | 6.8 | 1.7 |
| After 2006 - 2014 | 7.7 | 1.92 |
| 2015 on | 8.2 | 2.04 |
| Resistance | N/A | N/A | 1.00 |
| Unknown[[223]](#footnote-223) | N/A | N/A | 1.28 |

**For example**, a 13W PAR20 LED is purchased through a ComEd upstream program and installed in place of a 750 lumen PAR20 incandescent screw-in lamp with medium screw base, diameter >2.5" in a single family interior location with a 2016 heat pump:

ΔkWh = - (((75 - 13) / 1000) \* 0.840 \* (1 – 0.011) \* 763 \* 0.49) / 2.04

= - 9.4 kWh

**Summer Coincident Peak Demand Savings**

∆kW = ((WattsBase - WattsEE) / 1000) \* ISR \* (1-Leakage) \* WHFd \* CF

Where:

WHFd = Waste heat factor for demand to account for cooling savings from efficient lighting.

| **Bulb Location** | **WHFd** |
| --- | --- |
| Interior single family | 1.11[[224]](#footnote-224) |
| Multifamily in unit | 1.07[[225]](#footnote-225) |
| Exterior or uncooled location | 1.0 |
| Unknown location | 1.083[[226]](#footnote-226) |

Use Multifamily if: Building meets utility’s definition for multifamily

CF = Summer Peak Coincidence Factor for measure

= 0.109 for residential and in-unit multifamily bulbs[[227]](#footnote-227), 0.273 for exterior bulbs,[[228]](#footnote-228) and 0.117 for unknown.[[229]](#footnote-229)

Use Multifamily if: Building meets utility’s definition for multifamily

Other factors as defined above

**For example**, a 13W PAR20 LED is purchased through a ComEd upstream program and installed in place of a 750 lumen PAR20 incandescent screw-in lamp with medium screw base, diameter >2.5" in a single family interior location:

ΔkW = (((75 - 13) / 1000) \* 0.840 \* (1 – 0.011) \* 1.11\* 0.109

= 0.0062 kW

**Fossil Fuel Savings**

Heating penalty if Natural Gas heated home, or if heating fuel is unknown.

Δtherms = - (((WattsBase - WattsEE) / 1000) \* ISR \* (1-Leakage) \* Hours \* HF \* 0.03412) / ηHeat

Where:

HF = Heating factor, or percentage of lighting savings that must be replaced by heating system.

= 49% for interior[[230]](#footnote-230)

= 0% for exterior location

= 42% for unknown location[[231]](#footnote-231)

0.03412 = Converts kWh to Therms

ηHeat = Average heating system efficiency.

= 0.70 [[232]](#footnote-232)

Other factors as defined above

**For example**, a 13W PAR20 LED is purchased through a ComEd upstream program and installed in place of a 750 lumen PAR20 incandescent screw-in lamp with medium screw base, diameter >2.5" in single family interior location with gas heating at 70% total efficiency:

Δtherms = - (((75 - 13) / 1000) \* 0.840 \* (1 – 0.011) \* 763 \* 0.49\* 0.03412) / 0.70

= - 0.94 therms

**Water Impact Descriptions and Calculation**

N/A

**Deemed O&M Cost Adjustment Calculation**

For non-income eligible populations, no O&M costs should be applied.

For income eligible populations, an annual baseline cost of $1.74 for decorative and $3.53 for directional should be applied.

It is important to note that for cost-effectiveness screening purposes, the O&M cost adjustments should only be applied in cases where the light bulbs area actually in service and so should be multiplied by the appropriate ISR.

**Measure Code: RS-LTG-LEDD-V16-230101**

###### Review Deadline: 1/1/2024

### LED Screw Based Omnidirectional Bulbs

###### Description

Please note that this measure characterization contains specific assumptions that were negotiated as a compromise between the utilities and stakeholders and also reflects input from community-based organizations.  The compromise is designed to allow for a gradual change in lncome Qualified programming and to address the unique challenges that an abrupt change makes within the context of the Illinois CPAS savings goal structure. Such compromise shall not be taken as precedent for future non-consensus discussions.

This characterization provides savings assumptions for LED Screw Based Omnidirectional (e.g., A-Type lamps) lamps within the residential and multifamily sectors. This characterization assumes that the LED lamp is installed in a residential location. Where the implementation strategy does not allow for the installation location to be known (e.g., an upstream retail program not in a store ‘easily accessed by income qualified communities’ (see discussion below)) a deemed split of 97% Residential and 3% Commercial assumptions should be used.[[233]](#footnote-233) For stores easily accessed by income qualified communities, 100% of sales are assumed to be Income Qualified (IQ) residential.

This measure was developed to be applicable to the following program types:  TOS, NC, EREP, DI, KITS.

If applied to other program types, the measure savings should be verified.

###### Definition of Efficient Equipment

In order for this characterization to apply, new lamps must be ENERGY STAR labeled or equivalent to the most recent version of ENERGY STAR specifications. Note a new ENERGY STAR specification v2.1 became effective on 1/2/2017.

###### Definition of Baseline Equipment

In 2012, Federal legislation stemming from the Energy Independence and Security Act of 2007 (EISA) will require all general-purpose light bulbs between 40 watts and 100 watts to have ~30% increased efficiency, essentially phasing out standard incandescent technology. In 2012, the 100 w lamp standards apply; in 2013 the 75 w lamp standards will apply, followed by restrictions on the 60 w and 40 w lamps in 2014. Since measures installed under this TRM all occur after 2014, baseline equipment are the values after EISA. These are shown in the baseline table below.

Additionally, an EISA backstop provision was included that would require replacement baseline lamps to meet an efficacy requirement of 45 lumens/watt or higher beginning on 1/1/2020. In December 2019, DOE issued a final determination for General Service Incandescent Lamps (GSILs), finding that this more stringent standard was not economically justified. However, in May 2022 DOE reversed this decision by issuing a Final rule for both the broadened General Service Lamp definition as well as the implementation of the 45 lumen per watt backstop. DOE stated that it will use its enforcement discretion to minimize impacts on the supply chain and effectively allow companies to continue the manufacture and import of noncompliant bulbs through the remainder of 2022, and allow retailers to continue selling them with limited enforcement until July 2023.

**Non-Income Qualified Programs**

This TRM assumes that non-income qualified participants would continue to have access to baseline / noncompliant bulbs through retail until 6/30/2023 after which the baseline for new purchases becomes an LED (since only CFL and LED are able to meet the 45 lu/watt standard and CFLs now make up <1% of the market). For purchases made before this date it is assumed that stockpiles would remain through the remainder of 2023 such that the measure life for 2023 purchases is reduced to 2 years.

Direct Install programs where it can be shown that the LED is replacing working inefficient lighting should continue to use the existing inefficient lighting as baseline and also assume a measure life of 2 years.

**Income Qualified Programs**

Through 2025, Retail programs in stores ‘easily accessed by income qualified communities’ (as defined below), and Kit, School and Foodbank programs, will continue to assume a halogen baseline and apply a measure life of 8 years.

A store is considered easily accessed by income qualified communities[[234]](#footnote-234):

* 1. For Ameren:
     1. if it is a retail store that is closest to a community with a zip code that has 65% of family households with an income less than or equal to 299% of the Federal poverty level for their household size (Applies to big box (e.g., Walmart), club (e.g., Costco), DIY (e.g., Home Depot), hardware and grocery stores); or
     2. If it is a "dollar store" in the AIC service area; or
     3. If it is a "thrift store" in the AIC service area.
  2. For ComEd:
     1. if it is a retail store is within a zip code where at least 60% or more of the households are at or below 80% Area Median Income (AMI); or
     2. If it is a "dollar store" in the ComEd service area; or
     3. If it is a "thrift store" in the ComEd service area.

100% of sales from such stores as defined above will count as IQ lighting.

Direct Install programs where it can be shown that the LED is replacing working inefficient lighting should continue to use the existing inefficient lighting as baseline and also assume a measure life of 8 years.

New Construction Programs

Since IECC 2015 energy code, there has been mandatory requirements for lighting in New Construction: *“Not less than 75 percent (90 percent in IECC 2018 and 100 percent in IECC 2021) of the lamps in permanently installed lighting fixtures shall be high-efficacy lamps or not less than 75 percent (90 percent in IECC 2018 and 100 percent in IECC 2021) of the permanently installed lighting fixtures shall contain only high-efficacy lamps”*. To meet the ‘high efficacy’ requirements, lamps need to be CFL or LED, however since CFLs are no longer commonly purchased (only 1% baseline forecast) it is assumed that 75% (IECC 2015) or 90% (IECC 2018) or 100% (IECC 2021) of the New Construction baseline is an LED and therefore savings are reduced by that percentage for bulbs provided in New Construction projects. Any New Construction project utilizing IECC 2021 code should therefore not include savings from this measure.

###### Deemed Lifetime of Efficient Equipment

The average rated life for Omnidirectional lamps on the ENERGY STAR Qualified Products list (accessed 6/16/2020) is approximately 20,000 hours.

However, for all purchases through 2025 the measure life is assumed to be two years for non-income eligible populations and eight years for income eligible populations.

###### Deemed Measure Cost

The price of LED lamps is falling quickly. Where possible, the actual LED lamp cost should be used and compared to the baseline cost provided below. If the incremental cost is unknown, assume the following:[[235]](#footnote-235)

| **Year** | **EISA Compliant Halogen** | **LED A-Lamp** | **Incremental Cost** | **Incremental Cost for New Construction** | |
| --- | --- | --- | --- | --- | --- |
| **(IECC 2015)** | **(IECC 2018)** |
| 2020 and on | $1.25 | $2.70 | $1.45 | $0.36 | $0.15 |

###### Loadshape

|  |
| --- |
| Loadshape R06 – Residential Indoor Lighting |
| Loadshape R07 – Residential Outdoor Lighting |

###### Coincidence Factor

The summer peak coincidence factor is assumed to be 0.128 for Residential and in-unit Multi Family bulbs,[[236]](#footnote-236) 0.273 for exterior bulbs,[[237]](#footnote-237) and 0.135 for unknown,[[238]](#footnote-238)

Use Multifamily if: Building meets utility’s definition for multifamily.

**Algorithm**

###### Calculation of Savings

###### Electric Energy Savings

ΔkWh = ((Wattsbase-WattsEE)/1000) \* ISR \* (1-Leakage) \* Hours \*WHFe

Where:

Wattsbase = Input wattage of the existing or baseline system. Reference the “LED New and Baseline Assumptions” table for default values.

WattsEE = Actual wattage of LED purchased / installed. If unknown, use default provided below:[[239]](#footnote-239)

**LED New and Baseline Assumptions Table**

| **Minimum Lumens** | **Maximum Lumens** | **LED Wattage  (WattsEE)** | **Baseline  (WattsBase)** | **Baseline for New Construction**  **(WattsBase)** | | **Delta Watts  (WattsEE)** | **Delta Watts for New Construction (WattsEE)** | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **(IECC 2015)** | **(IECC 2018)** | **(IECC 2015)** | **(IECC 2018)** |
| 310 | 399 | 4.0 | 25 | 9.3 | 6.1 | 21.0 | 5.3 | 2.1 |
| 400 | 749 | 6.6 | 29 | 12.2 | 8.8 | 22.4 | 5.6 | 2.2 |
| 750 | 899 | 9.6 | 43 | 18.0 | 12.9 | 33.4 | 8.4 | 3.3 |
| 900 | 1,399 | 13.1 | 53 | 23.1 | 17.1 | 39.9 | 10.0 | 4.0 |
| 1,400 | 1,999 | 16.0 | 72 | 30.0 | 21.6 | 56.0 | 14.0 | 5.6 |
| 2,000 | 2,999 | 21.8 | 150 | 53.9 | 34.6 | 128.2 | 32.1 | 12.8 |
| 3,000 | 3,299 | 28.9 | 200 | 71.7 | 46.0 | 171.1 | 42.8 | 17.1 |

ISR = In Service Rate, the percentage of lamps rebated that are actually in service.

| **Program** | | **In Service Rate (ISR)[[240]](#footnote-240)**s |
| --- | --- | --- |
| Retail (Time of Sale) | | 97.9%[[241]](#footnote-241) |
| Direct Install | | 94.5%[[242]](#footnote-242) |
| Virtual Assessment followed by Unverified Self-Install | | 97.9%[[243]](#footnote-243) |
| Efficiency Kits[[244]](#footnote-244) | LED Distribution[[245]](#footnote-245) | 82.8% |
| School Kits[[246]](#footnote-246) | 83.8% |
| Direct Mail Kits[[247]](#footnote-247) | 91.8% |
| Direct Mail Kits, Income Qualified[[248]](#footnote-248) | 60% |
| Community Distributed Kits[[249]](#footnote-249) | 95.0% |
| Food Bank / Pantry Distribution[[250]](#footnote-250) | | 97.9%[[251]](#footnote-251) |

Leakage = Adjustment to account for the percentage of program bulbs that move out (and in if deemed appropriate)[[252]](#footnote-252) of the Utility Jurisdiction.

KITS programs = Determined through evaluation

Upstream (TOS) Lighting programs = Use deemed assumptions below:[[253]](#footnote-253)

ComEd: 0.8%

Ameren: 13.1%

All other programs = 0

Hours = Average hours of use per year

| **Installation Location** | **Hours** |
| --- | --- |
| Residential and in-unit Multi Family | 1,089[[254]](#footnote-254) |
| Exterior | 2,475[[255]](#footnote-255) |
| Unknown | 1,159[[256]](#footnote-256) |

WHFe = Waste heat factor for energy to account for cooling energy savings from efficient lighting

| **Bulb Location** | **WHFe** |
| --- | --- |
| Interior single family | 1.06 [[257]](#footnote-257) |
| Multifamily in unit | 1.04 [[258]](#footnote-258) |
| Exterior or uncooled location | 1.0 |
| Unknown location | 1.051[[259]](#footnote-259) |

**For example**, an 8W LED lamp, 450 lumens, is installed in the interior of a home. The customer purchased the lamp through a ComEd upstream program:

ΔkWh = ((29.0 - 8) /1000) \* 0.784 \* (1 - 0.008) \* 1,089 \* 1.06

= 18.9 kWh

**Heating Penalty**

If electric heated home (if heating fuel is unknown assume gas, see Fossil Fuel section):

∆kWh[[260]](#footnote-260) = - (((WattsBase - WattsEE) / 1000) \* ISR \* (1-Leakage) \* Hours \* HF) / ηHeat

Where:

HF = Heating Factor or percentage of light savings that must be heated

= 49% for interior[[261]](#footnote-261)

= 0% for exterior or unheated location

= 42% for unknown location[[262]](#footnote-262)

ηHeat = Efficiency in COP of Heating equipment

= actual. If not available use:[[263]](#footnote-263)

|  |  |  |  |
| --- | --- | --- | --- |
| **System Type** | **Age of Equipment** | **HSPF Estimate** | **COPHEAT**  **(COP Estimate)**  **= (HSPF/3.413)\*0.85** |
| Heat Pump | Before 2006 | 6.8 | 1.7 |
| After 2006 - 2014 | 7.7 | 1.92 |
| 2015 on | 8.2 | 2.04 |
| Resistance | N/A | N/A | 1.00 |
| Unknown[[264]](#footnote-264) | N/A | N/A | 1.28 |

**For example**: using the same 8 W LED that is installed in home with 2.0 COP Heat Pump (including duct loss) through a ComEd upstream program:

∆kWh1st year = - (((29 - 8) / 1000) \* 0.784 \* (1-0.008) \* 1,089 \* 0.42) / 2.0

= - 3.7 kWh

Second and third year install savings should be calculated using the appropriate ISR and the delta watts and hours from the install year.

**Summer Coincident Peak Demand Savings**

∆kW = ((WattsBase - WattsEE) / 1000) \* ISR \* (1-Leakage) \* WHFd \* CF

Where:

WHFd = Waste heat factor for demand to account for cooling savings from efficient lighting.

| **Bulb Location** | **WHFd** |
| --- | --- |
| Interior single family | 1.11[[265]](#footnote-265) |
| Multifamily in unit | 1.07[[266]](#footnote-266) |
| Exterior or uncooled location | 1.0 |
| Unknown location | 1.093[[267]](#footnote-267) |

CF = Summer Peak Coincidence Factor for measure.

| **Bulb Location** | **CF** |
| --- | --- |
| Interior | 0.128[[268]](#footnote-268) |
| Exterior | 0.273[[269]](#footnote-269) |
| Unknown | 0.135[[270]](#footnote-270) |

Other factors as defined above

**For example:** for the same 8 W LED that is installed in a single family interior location through a ComEd upstream program:

ΔkW = ((29 - 8) / 1000) \* 0.784 \* (1-0.008) \* 1.11 \* 0.128

= 0.0023 kW

Second and third year install savings should be calculated using the appropriate ISR and the delta watts and hours from the install year.

**Fossil Fuel Savings**

Heating penalty if Natural Gas heated home, or if heating fuel is unknown.

ΔTherms = - (((WattsBase - WattsEE) / 1000) \* ISR \* (1-Leakage) \* Hours \* HF \* 0.03412) / ηHeat

Where:

HF = Heating factor, or percentage of lighting savings that must be replaced by heating system.

= 49% for interior[[271]](#footnote-271)

= 0% for exterior location

= 42% for unknown location[[272]](#footnote-272)

0.03412 = Converts kWh to Therms

ηHeat = Average heating system efficiency.

= 0.70 [[273]](#footnote-273)

**Water Impact Descriptions and Calculation**

N/A

**Deemed O&M Cost Adjustment Calculation**

For non-income eligible populations, no O&M costs should be applied.

For income eligible populations, an annual baseline cost of $1 should be applied.

It is important to note that for cost-effectiveness screening purposes, the O&M cost adjustments should only be applied in cases where the light bulbs area actually in service and so should be multiplied by the appropriate ISR:

**Measure Code: RS-LTG-LEDA-V15-230101**

###### Review Deadline: 1/1/2024

### 5.5.13 EISA Exempt LED Lighting

###### Description

This characterization provides savings assumptions for LED lamps and fixture types that are exempt from the EISA legislation. This characterization assumes that the LED lamp is installed in a residential location. Where the implementation strategy does not allow for the installation location to be known (e.g., an upstream retail program) a deemed split of 97% Residential and 3% Commercial assumptions should be used.[[274]](#footnote-274)

This measure was developed to be applicable to the following program types:  TOS, NC, EREP, DI, KITS.

If applied to other program types, the measure savings should be verified.

###### Definition of Efficient Equipment

In order for this characterization to apply, new lamps must be ENERGY STAR labeled or equivalent to the most recent version of ENERGY STAR specifications or be listed on the Design Lights Consortium Qualifying Product List. Note a new ENERGY STAR specification v2.1 became effective on 1/2/2017.

###### Definition of Baseline Equipment

This measure is only for lamp and fixture types that are exempt from EISA, including lamps with an initial lumen output of less than 310 lumens, with initial lumen output greater than 3,300 lumens, and Task/Undercabinet Fixtures with a linear fluorescent baseline.

###### Deemed Lifetime of Efficient Equipment

The average rated life for lamps on the ENERGY STAR Qualified Products list (accessed 6/16/2020) is approximately 20,000 hours for omnidirectional lamps, 17,000 hours for decorative lamps and 25,000 for directional lamps. The deemed measure life is 8 years for exterior omnidirectional lamps and 6.9 years for exterior decorative lamps and lifetimes are capped at 10 years for other applications.[[275]](#footnote-275) For early replacement measures, if replacing a halogen or incandescent bulb, the remaining life is assumed to be 333 hours. For CFL’s, the remaining life is 3,333 hours.[[276]](#footnote-276)

The rated life of linear task and under cabinet fixtures is 45,000 hours[[277]](#footnote-277) and for T-LEDS is 50,000 hours. However, all fixture lifetimes are capped at 15 years.[[278]](#footnote-278)

###### Deemed Measure Cost

The price of LED lamps is falling quickly. Where possible, the actual LED lamp cost should be used and compared to the baseline cost provided below. If the incremental cost is unknown, assume the following:

| **Type** | **Incremental Cost** |
| --- | --- |
|
| Omni-directional  A-Lamps | $1.45[[279]](#footnote-279) |
| Decorative | $1.66 |
| Directional | $1.65 |
| Linear Task/Under Cabinet | $18[[280]](#footnote-280) |
| T-LEDs | $13[[281]](#footnote-281) |

###### Loadshape

|  |
| --- |
| Loadshape R06 – Residential Indoor Lighting |
| Loadshape R07 – Residential Outdoor Lighting |

###### Coincidence Factor

The summer peak coincidence factor is assumed to be 0.128 for Residential and in-unit Multi Family bulbs,[[282]](#footnote-282) 0.273 for exterior bulbs,[[283]](#footnote-283) and 0.135 for unknown,[[284]](#footnote-284)

Use Multifamily if: Building meets utility’s definition for multifamily.

**Algorithm**

###### Calculation of Savings

###### Electric Energy Savings

ΔkWh = ((Wattsbase-WattsEE)/1000) \* ISR \* (1-Leakage) \* Hours \*WHFe

Where:

Wattsbase = Input wattage of the existing or baseline system. Reference the “LED New and Baseline Assumptions” table for default values.

WattsEE = Actual wattage of LED purchased / installed. If unknown, use default provided below:[[285]](#footnote-285)

**LED New and Baseline Assumptions Table**

| **Type** | | **Minimum Lumens** | **Maximum Lumens** | | **LED Wattage  (WattsEE)** | **Baseline  (WattsBase)** | **Delta Watts** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| A-Lamps | | 120 | 309 | | 4.0 | 25 | 21.0 |
| 3,300 | 3,999 | | 28.9 | 200 | 171.1 |
| 4,000 | 5,000 | | 35.7 | 300 | 264.3 |
| Decorative | Globe (medium and intermediate bases less than 750 lumens) | 150 | 309 | | 3.0 | 25 | 22 |
| Globe (candelabra bases less than 1050 lumens) | 150 | 309 | | 3.5 | 25 | 21.5 |
| Decorative (Shapes B, BA, C, CA, DC, F, G, medium and intermediate bases less than 750 lumens) | 160 | 299 | | 2.6 | 25 | 22.4 |
| 300 | 309 | | 4.3 | 40 | 35.7 |
| Decorative (Shapes B, BA, C, CA, DC, F, G, candelabra bases less than 1050 lumens) | 120 | 159 | | 1.5 | 15 | 13.5 |
| 160 | 299 | | 2.7 | 25 | 22.3 |
| 300 | 309 | | 4.2 | 40 | 35.8 |
| Decorative  (Shape ST) | 250 | 309 | | 6.5 | 40 | 33.5 |
| Decorative  (Shape S) | 50 | 75 | | 1.0 | 11 | 10.0 |
| 100 | 120 | | 1.2 | 15 | 13.8 |
| 120 | 309 | | 2.25 | 25 | 22.8 |
| Directional | Reflector lamp types with medium screw bases (PAR20, PAR30(S,L), PAR38, R40, etc.) w/ diameter >2.25" | 3,300 | 4,200 | | 27.3 | 250 | 222.7 |
| Reflector lamp types with medium screw bases (PAR16, R14, R16, etc.) w/ diameter <2.25"  (\*see exceptions below) | 280 | 309 | | 4.6 | 35 | 30.4 |
| \*MR16 | 250 | 309 | | 3.8 | 20.0 | 16.2 |
| Linear Task/Under Cabinet | | All | | | 11.6 | 45.2 | 33.6 |
| T-LEDs | | 0 | | 1,199 | 8.9 | 15 | 6.1 |
| 1,200 | | 2,399 | 15.8 | 28.2 | 12.4 |
| 2,400 | |  | 22.9 | 41.8 | 18.9 |

ISR = In Service Rate, the percentage of lamps rebated that are actually in service.

| **Program** | | **In Service Rate (ISR)[[286]](#footnote-286)** |
| --- | --- | --- |
| Retail (Time of Sale) | | 97.9%[[287]](#footnote-287) |
| Direct Install | | 94.5%[[288]](#footnote-288) |
| Virtual Assessment followed by Unverified Self-Install | | 97.9%[[289]](#footnote-289) |
| Efficiency Kits[[290]](#footnote-290) | LED Distribution[[291]](#footnote-291) | 82.8% |
| School Kits[[292]](#footnote-292) | 83.8% |
| Direct Mail Kits[[293]](#footnote-293) | 91.8% |
| Direct Mail Kits, Income Qualified[[294]](#footnote-294) | 60% |
| Community Distributed Kits[[295]](#footnote-295) | 95.0% |
| Food Bank / Pantry Distribution[[296]](#footnote-296) | | 97.9%[[297]](#footnote-297) |

Leakage = Adjustment to account for the percentage of program bulbs that move out (and in if deemed appropriate)[[298]](#footnote-298) of the Utility Jurisdiction.

KITS programs = Determined through evaluation

Upstream (TOS) Lighting programs = Use deemed assumptions below:[[299]](#footnote-299)

ComEd: 0.8%

Ameren: 13.1%

All other programs = 0

Hours = Average hours of use per year

| **Type** | **Installation Location** | **Hours** |
| --- | --- | --- |
| Omnidirectional  A-Lamps | Residential and in-unit Multi Family | 1,089[[300]](#footnote-300) |
| Exterior | 2,475[[301]](#footnote-301) |
| Unknown | 1,159[[302]](#footnote-302) |
| Decorative and Directional Lamps | Residential and In-Unit Multi Family | 763[[303]](#footnote-303) |
| Exterior | 2,475[[304]](#footnote-304) |
| Unknown | 1,020[[305]](#footnote-305) |
| Linear Task/Under Cabinet | All | 730[[306]](#footnote-306) |
| T-LEDs | All | 730[[307]](#footnote-307) |

WHFe = Waste heat factor for energy to account for cooling energy savings from efficient lighting

| **Bulb Location** | **WHFe** |
| --- | --- |
| Interior single family | 1.06 [[308]](#footnote-308) |
| Multifamily in unit | 1.04 [[309]](#footnote-309) |
| Exterior or uncooled location | 1.0 |
| Unknown location | 1.051[[310]](#footnote-310) |

**For example**, an 4W LED lamp, 300 lumens, is installed in the interior of a home. The customer purchased the lamp through a ComEd upstream program:

ΔkWh = ((25.0 - 4) /1000) \* 0.784 \* (1 - 0.008) \* 1,089 \* 1.06

= 18.9 kWh

**Heating Penalty**

If electric heated home (if heating fuel is unknown assume gas, see Fossil Fuel section):

∆kWh[[311]](#footnote-311) = - (((WattsBase - WattsEE) / 1000) \* ISR \* (1-Leakage) \* Hours \* HF) / ηHeat

Where:

HF = Heating Factor or percentage of light savings that must be heated

= 49% for interior[[312]](#footnote-312)

= 0% for exterior or unheated location

= 42% for unknown location[[313]](#footnote-313)

ηHeat = Efficiency in COP of Heating equipment

= actual. If not available use:[[314]](#footnote-314)

|  |  |  |  |
| --- | --- | --- | --- |
| **System Type** | **Age of Equipment** | **HSPF Estimate** | **COPHEAT**  **(COP Estimate)**  **= (HSPF/3.413)\*0.85** |
| Heat Pump | Before 2006 | 6.8 | 1.7 |
| After 2006 - 2014 | 7.7 | 1.92 |
| 2015 on | 8.2 | 2.04 |
| Resistance | N/A | N/A | 1.00 |
| Unknown[[315]](#footnote-315) | N/A | N/A | 1.28 |

**For example**: using the same 4W LED that is installed in home with 2.0 COP Heat Pump (including duct loss) through a ComEd upstream program:

∆kWh1st year = - (((25 - 4) / 1000) \* 0.784 \* (1-0.008) \* 1,089 \* 0.42) / 2.0

= - 3.7 kWh

Second and third year install savings should be calculated using the appropriate ISR and the delta watts and hours from the install year.

**Summer Coincident Peak Demand Savings**

∆kW = ((WattsBase - WattsEE) / 1000) \* ISR \* (1-Leakage) \* WHFd \* CF

Where:

WHFd = Waste heat factor for demand to account for cooling savings from efficient lighting.

| **Bulb Location** | **WHFd** |
| --- | --- |
| Interior single family | 1.11[[316]](#footnote-316) |
| Multifamily in unit | 1.07[[317]](#footnote-317) |
| Exterior or uncooled location | 1.0 |
| Unknown location | 1.093[[318]](#footnote-318) |

CF = Summer Peak Coincidence Factor for measure.

| **Bulb Location** | **CF** |
| --- | --- |
| Interior | 0.128[[319]](#footnote-319) |
| Exterior | 0.273[[320]](#footnote-320) |
| Unknown | 0.135[[321]](#footnote-321) |

Other factors as defined above

**For example:** for the same 4W LED that is installed in a single family interior location through a ComEd upstream program:

ΔkW = ((25 - 4) / 1000) \* 0.784 \* (1-0.008) \* 1.11 \* 0.128

= 0.0023 kW

Second and third year install savings should be calculated using the appropriate ISR and the delta watts and hours from the install year.

**Fossil Fuel Savings**

Heating penalty if Natural Gas heated home, or if heating fuel is unknown.

ΔTherms = - (((WattsBase - WattsEE) / 1000) \* ISR \* (1-Leakage) \* Hours \* HF \* 0.03412) / ηHeat

Where:

HF = Heating factor, or percentage of lighting savings that must be replaced by heating system.

= 49% for interior[[322]](#footnote-322)

= 0% for exterior location

= 42% for unknown location[[323]](#footnote-323)

0.03412 = Converts kWh to Therms

ηHeat = Average heating system efficiency.

= 0.70 [[324]](#footnote-324)

**Water Impact Descriptions and Calculation**

N/A

**Deemed O&M Cost Adjustment Calculation**

For Omni-directional A-lamps, the baseline lamp is assumed to need replacing after 1000 hours. Therefore a baseline cost of $1.25 should be applied every 0.92 years for interior applications, 0.40 years for exterior applications and 0.86 years for unknown.

For Decorative a baseline cost of $1.74 should be applied every 0.92 years for interior applications, 0.40 years for exterior applications and 0.86 years for unknown.

For Directional a baseline cost of $3.53 should be applied every 0.92 years for interior applications, 0.40 years for exterior applications and 0.86 years for unknown.

For Linear Task/Under Cabinet and T-LEDs, with a linear fluorescent baseline, there is assumed no O&M impact since the baseline lamp life is 18,000 – 30,000 hours and which is longer than the assumed measure life.

It is important to note that for cost-effectiveness screening purposes, the O&M cost adjustments should only be applied in cases where the light bulbs area actually in service and so should be multiplied by the appropriate ISR.

**Measure Code: RS-LTG-LEDE-V2-230101**

###### Review Deadline: 1/1/2024

1. ENERGY STAR Commercial Ovens Key Product Criteria, version 3.0, effective January 12, 2023 [↑](#footnote-ref-1)
2. Ibid. Pan capacity is defined as the number of steam table pans the combination oven is able to accommodate as

   per the ASTM F-1495-05 standard specification. [↑](#footnote-ref-2)
3. The measure life is sourced from the Food Service Technology Center’s energy savings calculator for combination ovens. [↑](#footnote-ref-3)
4. Values taken from Minnesota Technical Reference Manual (Version 2.2, effective May 2, 2018), ‘Electric Oven and Range’ measure and are based upon “Project on Restaurant Energy Performance-End-Use Monitoring and Analysis”, Appendixes I and II, Claar, et. al., May 1985 [↑](#footnote-ref-4)
5. Algorithms and assumptions derived from ENERGY STAR Commercial Kitchen Equipment Savings Calculator, updated March 2021. [↑](#footnote-ref-5)
6. Values taken from Minnesota Technical Reference Manual (Version 2.2, effective May 2, 2018), ‘Electric Oven and Range’ measure and are based upon “Project on Restaurant Energy Performance-End-Use Monitoring and Analysis”, Appendixes I and II, Claar, et. al., May 1985 [↑](#footnote-ref-6)
7. RES v C&I split is based on a weighted (by sales volume) average of ComEd PY8, PY9 and CY2018 and Ameren PY8 in store intercept survey results. See ‘RESvCI Split\_2019.xlsx. [↑](#footnote-ref-7)
8. Based on ComEd’s Instant Discounts program CY2018, CY2019 and CY2020 (Rounds 1 and 2) Purchaser Survey analysis. See ComEd Instant Discounts Enduser Survey TRM Updates.xlsx. For Residential installations, hours of use assumptions from ‘5.5.6 LED Downlights’ should be used for LED fixtures and ‘5.5.8 LED Screw Based Omnidirectional Bulbs’ should be used for LED bulbs. [↑](#footnote-ref-8)
9. ENERGY STAR Program Requirements Product Specifications for Lamps (Light Bulbs), version 2.1, effective January 2, 2017. [↑](#footnote-ref-9)
10. Based on recommendation in the Dunsky Energy Consulting, Livingston Energy Innovations and Opinion Dynamics Corporation; NEEP Emerging Technology Research Report, p 6-18. [↑](#footnote-ref-10)
11. See file “LED Lamp Updates 2021-06-09” for details on Guidehouse lamp wattage calculations based on equivalent baseline wattage and LED wattage of available ENERGY STAR product [↑](#footnote-ref-11)
12. ENERGY STAR Lamps Center Beam Intensity Benchmark Tool and Calculator [↑](#footnote-ref-12)
13. The Energy Star Center Beam Candle Power tool does not accurately model baseline wattages for lamps with certain bulb characteristic combinations – specifically for lamps with very high CBCP. [↑](#footnote-ref-13)
14. Illinois evaluation of PY1 through PY3 has not found that fixtures or lamps placed into storage to be a significant enough issue to warrant including an “In-Service Rate” when commercial customers complete an application form. [↑](#footnote-ref-14)
15. In Service Rates now represent the lifetime In Service Rates with the second and third year installations discounted by the Real Discount Rate of 0.46%. Lifetime ISR assumptions for efficiency kits are based upon Residenital direct mailed kits. For all other programs Tthe 98% Lifetime ISR assumption is based upon the standard CFL measure in the absence of any better reference. This value is based upon review of two evaluations:

    ‘Nexus Market Research, RLW Analytics and GDS Associates study; “New England Residential Lighting Markdown Impact Evaluation, January 20, 2009’ and ‘KEMA Inc, Feb 2010, Final Evaluation Report:, Upstream Lighting Program, Volume 1.’ This implies that only 2% of bulbs purchased are never installed. The second and third year installations are based upon Ameren analysis of the Californian KEMA study showing that 54% of future installs occur in year 2 and 46% in year 3. [↑](#footnote-ref-15)
16. Based on ComEd’s Instant Discounts program CY2019 and CY2020 (Rounds 1 and 2) Purchaser Survey analysis. See ComEd Instant Discounts Enduser Survey TRM Updates.xlsx [↑](#footnote-ref-16)
17. Based on ComEd’s Instant Discounts program CY2019 and CY2020 (Rounds 1 and 2) Purchaser Survey analysis. See ComEd Instant Discounts Enduser Survey TRM Updates.xlsx [↑](#footnote-ref-17)
18. First year ISR is average ISR from CY2018, CY2019 and CY2020 ComEd Small Business Kit participant installation surveys. Please see file “SB Kits Survey Analysis TRMv10 Support.xlsx” [↑](#footnote-ref-18)
19. Based on ComEd’s Instant Discounts program CY2019 and CY2020 (Rounds 1 and 2) Purchaser Survey analysis. See ComEd Instant Discounts Enduser Survey TRM Updates.xlsx [↑](#footnote-ref-19)
20. The appropriate T12 midlife adjustment factor was developed by the TAC Lighting Working Group. The results of a 2019 ComEd study provided survey response data on the planned replacement upon the burnout of a T12 ballast. This was adjusted by first year NTG to remove first year freeriders and therefore estimate what the non-freerider population would do at the end of T12 life. See “Linear Forecast Workbook\_2020.xls” for information on calculation. [↑](#footnote-ref-20)
21. Negative value because this is an increase in heating consumption due to the efficient lighting. [↑](#footnote-ref-21)
22. See IL LED Lighting Systems TRM Reference Tables\_2018.xlsx for breakdown of component cost assumptions. [↑](#footnote-ref-22)
23. Baseline and LED lamp costs are based on field data collected by CLEAResult and provided by ComEd. See ComEd Pricing Projections 06302016.xlsx for analysis. Given LED prices are expected to continue declining assumed costs should be reassessed on an annual basis and replaced with IL specific LED program information when available. [↑](#footnote-ref-23)
24. Watt, lumen, lamp life, and ballast factor assumptions for efficient measures are based upon Consortium for Energy Efficiency (CEE) Commercial Lighting Qualifying Product Lists alongside past Efficiency Vermont projects and PGE refrigerated case study. Watt, lumen, lamp life, and ballast factor assumptions for baseline fixtures are based upon manufacturer specification sheets. Baseline cost data comes from lighting suppliers, past Efficiency Vermont projects, and professional judgment. Efficient cost data comes from 2012 DOE “Energy Savings Potential of Solid-State Lighting in General Illumination Applications”, Table A.1. See "LED Lighting Systems TRM Reference Tables\_2018.xlsx" for more information and specific product links. [↑](#footnote-ref-24)
25. LED Case Lighting is based on an average of DLC Horizontal and Vertical Lighting less than 80 W. This filter was intended to exclude vaportight fixtures from the average. The horizontal and vertical averages, provided by Guidehouse in 5/2020, were 4.1 W/ft and 3.7 W/ft, respectively. [↑](#footnote-ref-25)
26. Note that some measures have blended baselines (T12:T8 18:82). All values are provided to enable calculation of appropriate O&M impacts. Total costs include lamp, labor and disposal cost assumptions where applicable, see IL LED Lighting Systems TRM Reference Tables\_2018.xlsx for more information. [↑](#footnote-ref-26)
27. The Technical Advisory Committee agreed that if the cost of repair is less than 20% of the new baseline replacement cost it can be considered early replacement. Note the non-inflated cost is used as this would be a cost consideration in the program year. [↑](#footnote-ref-27)
28. Based on ComEd program data from 2018-2020 (444 ASHP installs). [↑](#footnote-ref-28)
29. Consortium for Energy Efficiency (CEE), Testing, Testing, M1, 2, 3, Transitioning to New Federal Minimum Standards, CEE Summer Program Meeting, June 10, 2022. [↑](#footnote-ref-29)
30. The federal Standard does not currently include an EER component. The value provided is based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-30)
31. The federal Standard does not currently include an EER component. The value provided is based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-31)
32. The 2023 federal standards (10 CFR 430.32(c)(5)) are in terms of an updated metric, depicted as SEER2, EER2, and HSPF2 and manufacturers must certify their products meet the standard according to the new test procedure and new metrics. The updated test method as well as the updated energy conservation standards were negotiated under the appliance standards and rulemaking federal advisory committee (ASRAC) in accordance with the Federal Advisory Committee Act (FACA) and the negotiated rulemaking act. An equivalent stringency of these new standards for split system heat pumps are 15 SEER and 8.8 HSPF and for single-package heat pumps are 14 SEER and 8 HSPF, as detailed in: Federal Code of Regulations, Energy Conservation Program: Energy Conservations Standards for residential Central Air Conditioners and Heat Pumps; Confirmation of effective date and compliance date for direct final rule, May 26, 2017, Docket: EERE-2014-BT-STD-0048 (https://www.regulations.gov/document/EERE-2014-BT-STD-0048-0200) [↑](#footnote-ref-32)
33. Federal Standard as provided in DOE 10 CFR 430.32. [↑](#footnote-ref-33)
34. Values represent the weighted average [SEER/EER/HSPF/AFUE] baseline values reflecting the assumed shares of installed ASHP replacing given baseline technologies (e.g. ASHP/electric resistance or furnace/boiler) by fuel type. Assumed shares are based on Opinion Dynamics and Guidehouse analysis of 2018-2021 Ameren and ComEd HVAC (downstream) program tracking data. For further details, see ‘2018-2021 AIC Res HVAC Data ASHP Baseline TRM Update 2022-07-11.xls’. [↑](#footnote-ref-34)
35. Based on 2016 DOE Rulemaking Technical Support document, as recommended in Guidehouse ‘ComEd Effective Useful Life Research Report’, May 2018. [↑](#footnote-ref-35)
36. Assumed to be one third of effective useful life of replaced equipment. [↑](#footnote-ref-36)
37. Assume full measure life (16 years) for replacing electric resistance as we would not expect that resistance heat would fail during the lifetime of the efficient measure. [↑](#footnote-ref-37)
38. Full install ASHP costs are based upon data provided by Ameren. See ‘ASHP Costs\_06242022’. Efficiency cost increment consistent with Cadmus “HVAC Program: Incremental Cost Analysis Update”, December 19, 2016 study results. [↑](#footnote-ref-38)
39. Furnace and boiler costs are based on data provided in Appendix E of the Appliance Standards Technical Support Documents including equipment cost and installation labor. [↑](#footnote-ref-39)
40. Based on 3 ton initial cost estimate for a conventional unit from ENERGY STAR Central AC calculator. [↑](#footnote-ref-40)
41. All baseline replacement costs are consistent with their respective measures and include inflation rate of 1.98%. [↑](#footnote-ref-41)
42. Full install ASHP costs are based upon data provided by Ameren. See ‘ASHP Costs\_06242022’. Efficiency cost increment consistent with Cadmus “HVAC Program: Incremental Cost Analysis Update”, December 19, 2016 study results. [↑](#footnote-ref-42)
43. Based on data provided by MidAmerican in April 2018 summarizing survey results from 11 HVAC suppliers in Iowa. [↑](#footnote-ref-43)
44. Based on analysis of metering results from 24 heat pumps in Ameren Illinois service territory in PY5 coincident with AIC’s 2010 system peak; ‘Impact and Process Evaluation of Ameren Illinois Company’s Residential HVAC Program (PY5)’. [↑](#footnote-ref-44)
45. Based on analysis of Itron eShape data for Missouri, calibrated to Illinois loads, supplied by Ameren. The average AC load over the PJM peak period (1-5pm, M-F, June through August) is divided by the maximum AC load during the year. [↑](#footnote-ref-45)
46. Multifamily coincidence factors both from; *All-Electric Homes PY6 Metering Results: Multifamily HVAC Systems*, Cadmus, October 2015 [↑](#footnote-ref-46)
47. Full load hours for Chicago, Moline and Rockford are provided in “Final Evaluation Report: Central Air Conditioning Efficiency Services (CACES), 2010, Navigant Consulting”, p.33. An average FLH/Cooling Degree Day (from NCDC) ratio was calculated for these locations and applied to the CDD of the other locations in order to estimate FLH. There is a county mapping table in Volume 1, Section 3.7 providing the appropriate city to use for each county of Illinois. [↑](#footnote-ref-47)
48. Ibid. [↑](#footnote-ref-48)
49. Weighting for Ameren is based on electric accounts in each of the cooling zones. Weighting for ComEd and Statewide average is based on number of occupied residential housing units in each zone. ComEd is weighted average of Zones 1-2. Alternative program-weighted assumptions can be used if appropriate. [↑](#footnote-ref-49)
50. Justification for degradation factors can be found on page 14 of ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. Estimate efficiency as (Rated Efficiency \* (1-0.01)^Equipment Age). [↑](#footnote-ref-50)
51. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’ [↑](#footnote-ref-51)
52. Minimum Federal Standard as of 1/1/2015 [↑](#footnote-ref-52)
53. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’ [↑](#footnote-ref-53)
54. Minimum Federal Standard; Federal Register, Vol. 66, No. 14, Monday, January 22, 2001/Rules and Regulations, p. 7170-7200. [↑](#footnote-ref-54)
55. If there is no central cooling in place but the incentive encourages installation of a new ASHP with cooling, the added cooling load should be subtracted from any heating benefit. [↑](#footnote-ref-55)
56. Assumes that the decision to replace existing systems includes desire to add cooling. [↑](#footnote-ref-56)
57. Values represent the weighted average SEER baseline values reflecting the assumed shares of installed ASHP replacing given baseline technologies (e.g. ASHP/electric resistance or furnace/boiler) by fuel type. Assumed shares are based on Opinion Dynamics and Guidehouse analysis of 2018-2021 Ameren and ComEd HVAC (downstream) program tracking data. For further details, see ‘2018-2021 AIC Res HVAC Data ASHP Baseline TRM Update 2022-07-11.xls’. [↑](#footnote-ref-57)
58. In situ performance based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-58)
59. Based on Cadmus assumption provided in preparation of the 2014 Interstate Power and Light TRM based upon proper refrigerant charge, evaporator airflow, and unit sizing. Appears conservative in comparison to ENERGY STAR statements ([see](https://www.energystar.gov/index.cfm?c=bldrs_lenders_raters.nh_sponsoring_hvac_installation_esvi_program) ‘Sponsoring an ENERGY STAR Verified HVAC Installation (ESVI) Program’) and so could be considered for future evaluation. [↑](#footnote-ref-59)
60. Full load heating hours for heat pumps are provided for Rockford, Chicago and Springfield in the ENERGY STAR Calculator. Estimates for the other locations were calculated based on the FLH to Heating Degree Day (from NCDC) ratio. VEIC consider ENERGY STAR estimates to be high due to oversizing not being adequately addressed. Using average Illinois billing data (from ICC commerce Commission) VEIC estimated the average gas heating load and used this to estimate the average home heating output (using 83% average gas heat efficiency). Dividing this by a typical 36,000 Btu/hr ASHP gives an estimate of average ASHP FLH\_heat of 1821 hours. We used the ratio of this value to the average of the locations using the ENERGY STAR data (1994 hours) to scale down the ENERGY STAR estimates. There is a county mapping table in Volume 1, Section 3.7 providing the appropriate city to use for each county of Illinois. [↑](#footnote-ref-60)
61. Weighting for Ameren is based on electric heat accounts in each of the heating zones. Weighting for ComEd and Statewide average is based on number of occupied residential housing units in each zone. ComEd is weighted average of Zones 1-2. Alternative program-weighted assumptions can be used if appropriate. [↑](#footnote-ref-61)
62. Justification for degradation factors can be found on page 14 of ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. Estimate efficiency as (Rated Efficiency \* (1-0.01)^Equipment Age). [↑](#footnote-ref-62)
63. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’ [↑](#footnote-ref-63)
64. Based on Minimum Federal Standard effective 1/1/2015. [↑](#footnote-ref-64)
65. Electric resistance has a COP of 1.0 which equals 1/0.293 = 3.41 HSPF. [↑](#footnote-ref-65)
66. Values represent the weighted average HSPF baseline values reflecting the assumed shares of installed ASHP replacing given baseline technologies (e.g. ASHP/electric resistance or furnace/boiler) by fuel type. Assumed shares are based on Opinion Dynamics and Guidehouse analysis of 2018-2021 Ameren and ComEd HVAC (downstream) program tracking data. For further details, see ‘2018-2021 AIC Res HVAC Data ASHP Baseline TRM Update 2022-07-11.xls’. [↑](#footnote-ref-66)
67. ENERGY STAR minimum. [↑](#footnote-ref-67)
68. In situ performance based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-68)
69. In situ performance based on Guidehouse review of 201 ASHP installs. While the data indicated an average of 1.006, the range was 0.9 to 1.06 so calculation of this value should be done where possible. [↑](#footnote-ref-69)
70. Based on Cadmus assumption provided in preparation of the 2014 Interstate Power and Light TRM based upon proper refrigerant charge, evaporator airflow, and unit sizing, Assumed consistent for heating and cooling. Appears conservative in comparison to ENERGY STAR statements ([see](https://www.energystar.gov/index.cfm?c=bldrs_lenders_raters.nh_sponsoring_hvac_installation_esvi_program) ‘Sponsoring an ENERGY STAR Verified HVAC Installation (ESVI) Program’) and so could be considered for future evaluation. [↑](#footnote-ref-70)
71. Adjustment factors are based on findings from NEEA, July 2020 ‘EXP07:19 Load-based and Climate-Specific Testing and Rating Procedures for Heat Pumps and Air Conditioners’. See ‘NEEA HP data’ for calculation. Findings were consistent with other reviewed sources including ASHRAE, 2020 ‘Right-Sizing Electric Heat Pump and Auxiliary Heating for Residential Heating Systems Based on Actual Performance Associated with Climate Zone’ and Cadmus, 2022 ‘Residential ccASHP Building Electrification Study’. The difference between HSPF and HSPF2 ratings is based on the change in testing procedure that will correct for some of this effect where ducted systems will have an approximately 9% lower HSPF2 rating as compared to HSPF, based on CEE presentation, July 2022, ‘Testing Testing, M1, 2, 3: Transitioning to New Federal Minimum Standards’. [↑](#footnote-ref-71)
72. Weighting for Ameren is based on electric heat accounts in each of the heating zones. Weighting for ComEd and Statewide average is based on number of occupied residential housing units in each zone. ComEd is weighted average of Zones 1-2. Alternative program-weighted assumptions can be used if appropriate. [↑](#footnote-ref-72)
73. Justification for degradation factors can be found on page 14 of ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. Estimate efficiency as (Rated Efficiency \* (1-0.01)^Equipment Age). [↑](#footnote-ref-73)
74. Average nameplate efficiencies of all Early Replacement qualifying equipment in Ameren PY3-PY4. [↑](#footnote-ref-74)
75. Values represent the weighted average AFUE baseline values reflecting the assumed shares of installed ASHP replacing given baseline technologies (e.g. ASHP/electric resistance or furnace/boiler) by fuel type. Assumed shares are based on Opinion Dynamics and Guidehouse analysis of 2018-2021 Ameren and ComEd HVAC (downstream) program tracking data. For further details, see ‘2018-2021 AIC Res HVAC Data ASHP Baseline TRM Update 2022-07-11.xls’. [↑](#footnote-ref-75)
76. Fe is not one of the AHRI certified ratings provided for residential furnaces, but can be reasonably estimated from a calculation based on the certified values for fuel energy (Ef in MMBtu/yr) and Eae (kWh/yr). An average of a 300 record sample (non-random) out of 1495 was 3.14%. This is, appropriately, ~50% greater than the ENERGY STAR version 3 criteria for 2% Fe. See “Programmable Thermostats Furnace Fan Analysis.xlsx” for reference. [↑](#footnote-ref-76)
77. New furnaces are required to have ECM fan motors installed. Comparing Eae to Ef for furnaces on the AHRI directory as above, indicates that Fe for new furnaces is on average 1.88%. [↑](#footnote-ref-77)
78. Justification for degradation factors can be found on page 14 of ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. Estimate efficiency as (Rated Efficiency \* (1-0.01)^Equipment Age). [↑](#footnote-ref-78)
79. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’ [↑](#footnote-ref-79)
80. The Federal Standard does not include an EER requirement. The value provided is based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-80)
81. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’ [↑](#footnote-ref-81)
82. The federal Standard does not currently include an EER component. The value provided is based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-82)
83. If there is no central cooling in place but the incentive encourages installation of a new ASHP with cooling, the added cooling load should be subtracted from any heating benefit. [↑](#footnote-ref-83)
84. Assumes that the decision to replace existing systems includes desire to add cooling. [↑](#footnote-ref-84)
85. Program tracking data does not provide an EER value. These are estimated based on the other values in the table. [↑](#footnote-ref-85)
86. ENERGY STAR minimum. [↑](#footnote-ref-86)
87. Based on analysis of metering results from 24 heat pumps in Ameren Illinois service territory in PY5 coincident with AIC’s 2010 system peak; ‘Impact and Process Evaluation of Ameren Illinois Company’s Residential HVAC Program (PY5)’. [↑](#footnote-ref-87)
88. Based on analysis of Itron eShape data for Missouri, calibrated to Illinois loads, supplied by Ameren. The average AC load over the PJM peak period (1-5pm, M-F, June through August) is divided by the maximum AC load during the year. [↑](#footnote-ref-88)
89. *All-Electric Homes PY6 Metering Results: Multifamily HVAC Systems*, Cadmus, October 2015 [↑](#footnote-ref-89)
90. The Technical Advisory Committee agreed that if the cost of repair is less than 20% of the new baseline replacement cost it can be considered early replacement. Note the non-inflated cost is used as this would be a cost consideration in the program year. [↑](#footnote-ref-90)
91. Based upon research from “Home Energy Efficiency Rebate Program GPY2 Evaluation Report” which outlines early replacement rates for both primary and secondary central air cooling (CAC) and residential furnaces. The unit (furnace or CAC unit) that initially caused the customer to contact a trade ally is defined as the “primary unit”. The furnace or CAC unit that was also replaced but did not initially prompt the customer to contact a trade ally is defined as the “secondary unit”. This evaluation used different criteria for early replacement due to the availability of data after the fact; cost of any repairs < $550 and age of unit < 20 years. Report presented to Nicor Gas Company February 27, 2014. [↑](#footnote-ref-91)
92. ENERGY STAR Program Requirements Product Specification for Central Air Conditioner and Heat Pump Equipment, v6.1, effective January 1, 2023, are in terms of an updated metric, depicted as SEER2 and EER2. The updated test method as well as the updated ENERGY STAR specifications mimic the updated federal appliance standards. An equivalent stringency of these new standards for split system air conditioners are 16 SEER and 13 EER and for single-package air conditioners are 16 SEER and EER 12, as detailed in: Consortium for Energy Efficiency (CEE) Residential HVAC Specifications, Estimated Appendix M1 Equivalents, January 15 2021 [↑](#footnote-ref-92)
93. Consortium for Energy Efficiency (CEE), Testing, Testing, M1, 2, 3, Transitioning to New Federal Minimum Standards, CEE Summer Program Meeting, June 10, 2022. [↑](#footnote-ref-93)
94. Baseline SEER and EER should be updated when new minimum federal standards become effective. [↑](#footnote-ref-94)
95. The 2023 federal standards (10 CFR 430.32(c)(5)) are in terms of an updated metric, depicted as SEER2 and manufacturers must certify their products meet the standard according to the new test procedure and new metrics. The updated test method as well as the updated energy conservation standards were negotiated under the appliance standards and rulemaking federal advisory committee (ASRAC) in accordance with the Federal Advisory Committee Act (FACA) and the negotiated rulemaking act. An equivalent stringency of these new standards for split system air conditioners are 14 SEER and for single-package air conditioners are 14 SEER, as detailed in: Federal Code of Regulations, Energy Conservation Program: Energy Conservations Standards for residential Central Air Conditioners and Heat Pumps; Confirmation of effective date and compliance date for direct final rule, May 26, 2017, Docket: EERE-2014-BT-STD-0048 (https://www.regulations.gov/document/EERE-2014-BT-STD-0048-0200) [↑](#footnote-ref-95)
96. Measure Life Report, Residential and Commercial/Industrial Lighting and HVAC Measures, GDS Associates, June 2007. [↑](#footnote-ref-96)
97. Assumed to be one third of effective useful life [↑](#footnote-ref-97)
98. Based on incremental cost results from Cadmus “HVAC Program: Incremental Cost Analysis Update”, December 19, 2016. [↑](#footnote-ref-98)
99. Based on 3 ton initial cost estimate for a conventional unit from ENERGY STAR Central AC calculator, $2,857. Efficiency cost increment consistent with Cadmus study results. [↑](#footnote-ref-99)
100. Based on 3 ton initial cost estimate for a conventional unit from ENERGY STAR Central AC calculator, $2,857, and applying inflation rate of 1.91%. While baselines are likely to shift in the future, there is currently no good indication of what the cost of a new baseline unit will be in 6 years. In the absence of this information, assuming a constant federal baseline cost is within the range of error for this prescriptive measure. [↑](#footnote-ref-100)
101. Based on data provided by MidAmerican in April 2018 summarizing survey results from 11 HVAC suppliers in Iowa. [↑](#footnote-ref-101)
102. Based on metering of 24 homes with central AC during PY4 and PY5 in Ameren Illinois service territory. [↑](#footnote-ref-102)
103. Based on analysis of Itron eShape data for Missouri, calibrated to Illinois loads, supplied by Ameren. The average AC load over the PJM peak period (1-5pm, M-F, June through August) is divided by the maximum AC load during the year. [↑](#footnote-ref-103)
104. The two equations are provided to show how savings are determined during the initial phase of the measure (existing to efficient) and the remaining phase (new baseline to efficient). In practice, the screening tools used may either require a First Year savings (using the first equation) and then a “number of years to adjustment” and “savings adjustment” input which would be the (new base to efficient savings)/(existing to efficient savings). [↑](#footnote-ref-104)
105. Full load hours for Chicago, Moline and Rockford are provided in “Final Evaluation Report: Central Air Conditioning Efficiency Services (CACES), 2010, Navigant Consulting”, p.33. An average FLH/Cooling Degree Day (from NCDC) ratio was calculated for these locations and applied to the CDD of the other locations in order to estimate FLH. There is a county mapping table in Volume 1, Section 3.7 providing the appropriate city to use for each county of Illinois. [↑](#footnote-ref-105)
106. *All-Electric Homes PY6 Metering Results: Multifamily HVAC Systems*, Cadmus, October 2015. The multifamily units within this study had undergone significant shell improvements (air sealing and insulation) and therefore this set of assumptions is only appropriate for units that have recently participated in a weatherization or other shell program. Note that the FLHcool where recalculated based on existing efficiencies consistent with the TRM rather than from the metering study. [↑](#footnote-ref-106)
107. Weighting for Ameren is based on electric accounts in each of the cooling zones. Weighting for ComEd and Statewide average is based on number of occupied residential housing units in each zone. ComEd is weighted average of Zones 1-2. Alternative program-weighted assumptions can be used if appropriate. [↑](#footnote-ref-107)
108. Single family cooling capacity based on Final Evaluation Report: Central Air Conditioning Efficiency Services (CACES), October 19, 2010, ComEd, Navigant Consulting. Multifamily capacity based on weighted average of PY9 Ameren and ComEd MF cooling capacities. Mobile home capacity based on ENERGY STAR’s Manufactured Home Cooling Equipment Sizing Guidelines which vary by climate zone and home size. The average size of a mobile home in the East North Central region (1,120 square feet) from the 2015 RECS data is used to calculated appropriate size. [↑](#footnote-ref-108)
109. Unknown is based on statewide weighted average of 69% single family and 31% multifamily, based on IL data from 2009 RECS Table HC2.9 Structural and Geographic Characteristics of Homes in Midwest Region, Divisions and States, 2009. [↑](#footnote-ref-109)
110. Based on Minimum Federal Standard. [↑](#footnote-ref-110)
111. Justification for degradation factors can be found on page 14 of ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. Estimate efficiency as (Rated Efficiency \* (1-0.01)^Equipment Age). [↑](#footnote-ref-111)
112. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’ [↑](#footnote-ref-112)
113. In situ performance based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-113)
114. Based on Cadmus assumption provided in preparation of the 2014 Interstate Power and Light TRM based upon proper refrigerant charge, evaporator airflow, and unit sizing, Appears conservative in comparison to ENERGY STAR statements ([see](https://www.energystar.gov/index.cfm?c=bldrs_lenders_raters.nh_sponsoring_hvac_installation_esvi_program) ‘Sponsoring an ENERGY STAR Verified HVAC Installation (ESVI) Program’). Note pending ComEd evaluation will provide an update to these assumptions. [↑](#footnote-ref-114)
115. The two equations are provided to show how savings are determined during the initial phase of the measure (existing to efficient) and the remaining phase (new baseline to efficient). In practice, the screening tools used may either require a First Year savings (using the first equation) and then a “number of years to adjustment” and “savings adjustment” input which would be the (new base to efficient savings)/(existing to efficient savings). [↑](#footnote-ref-115)
116. The federal Standard does not currently include an EER component. The value provided is based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-116)
117. Justification for degradation factors can be found on page 14 of ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. Estimate efficiency as (Rated Efficiency \* (1-0.01)^Equipment Age). [↑](#footnote-ref-117)
118. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-118)
119. Based on metering of 24 homes with central AC during PY4 and PY5 in Ameren Illinois service territory. [↑](#footnote-ref-119)
120. Based on analysis of Itron eShape data for Missouri, calibrated to Illinois loads, supplied by Ameren. The average AC load over the PJM peak period (1-5pm, M-F, June through August) is divided by the maximum AC load during the year. [↑](#footnote-ref-120)
121. The whole purpose of installing ductless heat pumps is to conserve energy, so the installer can be assumed to be capable of recommending an appropriate controls strategy. For most applications, the heating setpoint for the ductless heat pump should be at least 2F higher than any remaining existing system and the cooling setpoint for the ductless heat pump should be at least 2F cooler than the existing system (this should apply to all periods of a programmable schedule, if applicable). This helps ensure that the ductless heat pump will be used to meet as much of the load as possible before the existing system operates to meet the remaining load. Ideally, the new ductless heat pump controls should be set to the current comfort settings, while the existing system setpoints should be adjusted down (heating) and up (cooling) to capture savings. [↑](#footnote-ref-121)
122. The Technical Advisory Committee agreed that if the cost of repair is less than 20% of the new baseline replacement cost it can be considered early replacement. [↑](#footnote-ref-122)
123. Based on relevant Federal Standards. [↑](#footnote-ref-123)
124. Based on ComEd program data from 2018-2020 (1057 DMSHP installs). [↑](#footnote-ref-124)
125. Consortium for Energy Efficiency (CEE), Testing, Testing, M1, 2, 3, Transitioning to New Federal Minimum Standards, CEE Summer Program Meeting, June 10, 2022. [↑](#footnote-ref-125)
126. The federal Standard does not currently include an EER component. The value provided is based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-126)
127. The federal Standard does not currently include an EER component. The value provided is based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-127)
128. Values represent the weighted average [SEER/EER/HSPF/AFUE] baseline values reflecting the assumed shares of installed DMSHP replacing given baseline technologies (e.g. ASHP/electric resistance or furnace/boiler) by fuel type. Assumed shares are based on Opinion Dynamics and Guidehouse analysis of 2018-2021 Ameren and ComEd HVAC (downstream) program tracking data. For further details, see ‘2018-2021 AIC Res HVAC Data ASHP Baseline TRM Update 2022-07-11.xls’. [↑](#footnote-ref-128)
129. The 2023 federal standards (10 CFR 430.32(c)(5)) are in terms of an updated metric, depicted as SEER2 and HSPF2 and manufacturers must certify their products meet the standard according to the new test procedure and new metrics. The updated test method as well as the updated energy conservation standards were negotiated under the appliance standards and rulemaking federal advisory committee (ASRAC) in accordance with the Federal Advisory Committee Act (FACA) and the negotiated rulemaking act. An equivalent stringency of these new standards for split system heat pumps are 15 SEER and 8.8 HSPF and for single-package heat pumps are 14 SEER and 8 HSPF, as detailed in: Federal Code of Regulations, Energy Conservation Program: Energy Conservations Standards for residential Central Air Conditioners and Heat Pumps; Confirmation of effective date and compliance date for direct final rule, May 26, 2017, Docket: EERE-2014-BT-STD-0048 (https://www.regulations.gov/document/EERE-2014-BT-STD-0048-0200) [↑](#footnote-ref-129)
130. Based on 2016 DOE Rulemaking Technical Support Document, as recommended in Navigant ‘ComEd Effective Useful Life Research Report’, May 2018. [↑](#footnote-ref-130)
131. Assumed to be one third of effective useful life of replaced equipment. [↑](#footnote-ref-131)
132. Assume full measure life (16 years) for replacing electric resistance as we would not expect that resistance heat would fail during the lifetime of the efficient measure. [↑](#footnote-ref-132)
133. Full install ASHP costs are based upon data provided by Ameren. See ‘ASHP Costs\_06242022’. [↑](#footnote-ref-133)
134. Furnace and boiler costs are based on data provided in Appendix E of the Appliance Standards Technical Support Documents including equipment cost and installation labor. Where efficiency ratings are not provided, the values are interpolated from those that are. [↑](#footnote-ref-134)
135. Based on 3 ton initial cost estimate for a conventional unit from ENERGY STAR Central AC calculator [↑](#footnote-ref-135)
136. The cost per ton table provides reasonable estimates for installation costs of DMSHP, which can vary significantly due to requirements of the home. It is estimated that all units, even those 1 ton or less will be at least $2000 to install. [↑](#footnote-ref-136)
137. Full costs based upon full install cost of an ASHP plus incremental costs provided in Memo from Opinion Dynamics Evaluation Team, Ductless Mini-Split Heat Pumps: Incremental Cost Analysis, April 27, 2017. [↑](#footnote-ref-137)
138. Memo from Opinion Dynamics Evaluation Team, Ductless Mini-Split Heat Pumps: Incremental Cost Analysis, April 27, 2017 [↑](#footnote-ref-138)
139. All baseline replacement costs are consistent with their respective measures and include inflation rate of 1.91%. [↑](#footnote-ref-139)
140. The baseline for calculating electric savings is an Air Source Heat Pump. [↑](#footnote-ref-140)
141. All-Electric Homes PY6 Metering Results: Multifamily HVAC Systems, Cadmus, October 2015 [↑](#footnote-ref-141)
142. Based on analysis of metering results from 24 heat pumps in Ameren Illinois service territory in PY5 coincident with AIC’s 2010 system peak; ‘Impact and Process Evaluation of Ameren Illinois Company’s Residential HVAC Program (PY5)’. [↑](#footnote-ref-142)
143. Based on analysis of Itron eShape data for Missouri, calibrated to Illinois loads, supplied by Ameren. The average AC load over the PJM peak period (1-5pm, M-F, June through August) is divided by the maximum AC load during the year. [↑](#footnote-ref-143)
144. Based on analysis of metering results from 24 heat pumps in Ameren Illinois service territory in PY5 coincident with AIC’s 2010 system peak; ‘Impact and Process Evaluation of Ameren Illinois Company’s Residential HVAC Program (PY5)’. [↑](#footnote-ref-144)
145. Based on analysis of Itron eShape data for Missouri, calibrated to Illinois loads, supplied by Ameren. The average AC load over the PJM peak period (1-5pm, M-F, June through August) is divided by the maximum AC load during the year. [↑](#footnote-ref-145)
146. 1 Ton = 12 kBtu/hr [↑](#footnote-ref-146)
147. *All-Electric Homes PY6 Metering Results: Multifamily HVAC Systems*, Cadmus, October 2015. FLH values are based on metering of Multifamily units, and in buildings that had received weatherization improvements. Additional evaluation is recommended to refine the EFLH assumptions for the general population. [↑](#footnote-ref-147)
148. Weighting for Ameren is based on electric accounts in each of the cooling zones. Weighting for ComEd and Statewide average is based on number of occupied residential housing units in each zone. ComEd is weighted average of Zones 1-2. Alternative program-weighted assumptions can be used if appropriate. [↑](#footnote-ref-148)
149. Justification for degradation factors can be found on page 14 of ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. Estimate efficiency as (Rated Efficiency \* (1-0.01)^Equipment Age). [↑](#footnote-ref-149)
150. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’ [↑](#footnote-ref-150)
151. Minimum Federal Standard as of 1/1/2015 [↑](#footnote-ref-151)
152. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’ [↑](#footnote-ref-152)
153. Minimum Federal Standard; Federal Register, Vol. 66, No. 14, Monday, January 22, 2001/Rules and Regulations, p. 7170-7200. [↑](#footnote-ref-153)
154. Estimated by converting the EER assumption for Room AC using the conversion equation; EER\_base = (-0.02 \* SEER\_base2) + (1.12 \* SEER). From Wassmer, M. (2003). ‘A Component-Based Model for Residential Air Conditioner and Heat Pump Energy Calculations’, Masters Thesis, University of Colorado at Boulder. [↑](#footnote-ref-154)
155. If there is no central cooling in place but the incentive encourages installation of a new ASHP with cooling, the added cooling load should be subtracted from any heating benefit. [↑](#footnote-ref-155)
156. Assumes that the decision to replace existing systems includes desire to add cooling. [↑](#footnote-ref-156)
157. Values represent the weighted average SEER baseline values reflecting the assumed shares of installed DMSHP replacing given baseline technologies (e.g. ASHP/electric resistance or furnace/boiler) by fuel type. Assumed shares are based on Opinion Dynamics and Guidehouse analysis of 2018-2021 Ameren and ComEd HVAC (downstream) program tracking data. For further details, see ‘2018-2021 AIC Res HVAC Data ASHP Baseline TRM Update 2022-07-11.xls’. [↑](#footnote-ref-157)
158. Note that if only an EER rating is available, use the following conversion equation; EER\_base = (-0.02 \* SEER\_base2) + (1.12 \* SEER). From Wassmer, M. (2003). A Component-Based Model for Residential Air Conditioner and Heat Pump Energy Calculations. Masters Thesis, University of Colorado at Boulder. [↑](#footnote-ref-158)
159. *All-Electric Homes PY6 Metering Results: Multifamily HVAC Systems*, Cadmus, October 2015. FLH values are based on metering of Multifamily units that were used as the primary heating source to the whole home, and in buildings that had received weatherization improvements. A DMSHP installed in a single-family home may be used more sporadically, especially if the DMSHP serves only a room, and buildings that have not been weatherized may require longer hours. Additional evaluation is recommended to refine the EFLH assumptions for the general population. [↑](#footnote-ref-159)
160. Weighting for Ameren is based on electric heat accounts in each of the heating zones. Weighting for ComEd and Statewide average is based on number of occupied residential housing units in each zone. ComEd is weighted average of Zones 1-2. Alternative program-weighted assumptions can be used if appropriate. [↑](#footnote-ref-160)
161. Values for HeatLoadFactor were developed by applying DMSHP capacity curves of various sizes to a modeled full home load for each of the five IL TRM climate zones. The modeled home load was developed using eQuest simulation modeling with a home size of 2,500 square feet, single-story with attic construction, and utilizing default values for shell properties, occupancy levels, etc. Thermostat setpoints were fixed to 68F without daytime or nighttime setback. To determine the home load for each climate zone, the model home was simulated using TMY3 weather files specific to the five IL TRM climate zones. The resulting hourly heating loads, 8,760 values for each climate zone, were extracted from eQuest for further analysis. [↑](#footnote-ref-161)
162. The heating setpoint for the ductless heat pump is assumed to be at least 2°F higher than any remaining existing system and the cooling setpoint for the ductless heat pump is assumed be at least 2°F cooler than the existing system (this should apply to all periods of a programmable schedule, if applicable). This is necessary such that the ductless heat pump is serving as the primary unit for heating and cooling. [↑](#footnote-ref-162)
163. Temperature for switching from heat pump (used for the higher temperatures) to the supplemental system (used for lower temperatures). [↑](#footnote-ref-163)
164. Justification for degradation factors can be found on page 14 of ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. Estimate efficiency as (Rated Efficiency \* (1-0.01)^Equipment Age). [↑](#footnote-ref-164)
165. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’ [↑](#footnote-ref-165)
166. Based on Minimum Federal Standard effective 1/1/2015. [↑](#footnote-ref-166)
167. Electric resistance has a COP of 1.0 which equals 1/0.293 = 3.41 HSPF. [↑](#footnote-ref-167)
168. Values represent the weighted average HSPF baseline values reflecting the assumed shares of installed DMSHP replacing given baseline technologies (e.g. ASHP/electric resistance or furnace/boiler) by fuel type. Assumed shares are based on Opinion Dynamics and Guidehouse analysis of 2018-2021 Ameren and ComEd HVAC (downstream) program tracking data. For further details, see ‘2018-2021 AIC Res HVAC Data ASHP Baseline TRM Update 2022-07-11.xls’. [↑](#footnote-ref-168)
169. Adjustment factors are based on findings from NEEA, July 2020 ‘EXP07:19 Load-based and Climate-Specific Testing and Rating Procedures for Heat Pumps and Air Conditioners’. See ‘NEEA HP data’ for calculation. Findings were consistent with other reviewed sources including ASHRAE, 2020 ‘Right-Sizing Electric Heat Pump and Auxiliary Heating for Residential Heating Systems Based on Actual Performance Associated with Climate Zone’ and Cadmus, 2022 ‘Residential ccASHP Building Electrification Study’. The difference between HSPF and HSPF2 ratings is based on the change in testing procedure that will correct for some of this effect where ducted systems will have an approximately 5% lower HSPF2 rating as compared to HSPF, based on CEE presentation, July 2022, ‘Testing Testing, M1, 2, 3: Trainsitioning to New Federal Minimum Standards’. [↑](#footnote-ref-169)
170. Weighting for Ameren is based on electric heat accounts in each of the heating zones. Weighting for ComEd and Statewide average is based on number of occupied residential housing units in each zone. ComEd is weighted average of Zones 1-2. Alternative program-weighted assumptions can be used if appropriate. [↑](#footnote-ref-170)
171. Justification for degradation factors can be found on page 14 of ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. Estimate efficiency as (Rated Efficiency \* (1-0.01)^Equipment Age). [↑](#footnote-ref-171)
172. Average nameplate efficiencies of all Early Replacement qualifying equipment in Ameren PY3-PY4. [↑](#footnote-ref-172)
173. Values represent the weighted average AFUE baseline values reflecting the assumed shares of installed DMSHP replacing given baseline technologies (e.g. ASHP/electric resistance or furnace/boiler) by fuel type. Assumed shares are based on Opinion Dynamics and Guidehouse analysis of 2018-2021 Ameren and ComEd HVAC (downstream) program tracking data. For further details, see ‘2018-2021 AIC Res HVAC Data ASHP Baseline TRM Update 2022-07-11.xls’. [↑](#footnote-ref-173)
174. Unknown value derived from Guidehouse DMSHP participant survey, 2022. [↑](#footnote-ref-174)
175. Fe is not one of the AHRI certified ratings provided for residential furnaces, but can be reasonably estimated from a calculation based on the certified values for fuel energy (Ef in MMBtu/yr) and Eae (kWh/yr). An average of a 300 record sample (non-random) out of 1495 was 3.14%. This is, appropriately, ~50% greater than the ENERGY STAR version 3 criteria for 2% Fe. See “Programmable Thermostats Furnace Fan Analysis.xlsx” for reference. [↑](#footnote-ref-175)
176. New furnaces are required to have ECM fan motors installed. Comparing Eae to Ef for furnaces on the AHRI directory as above, indicates that Fe for new furnaces is on average 1.88%. [↑](#footnote-ref-176)
177. Justification for degradation factors can be found on page 14 of ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. Estimate efficiency as (Rated Efficiency \* (1-0.01)^Equipment Age). [↑](#footnote-ref-177)
178. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’ [↑](#footnote-ref-178)
179. The Federal Standard does not include an EER requirement. The value provided is based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-179)
180. Based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’ [↑](#footnote-ref-180)
181. The federal Standard does not currently include an EER component. The value provided is based on Opinion Dynamics and Cadmus metering study of Ameren HVAC program participants; See ‘AIC HVAC Metering Study Memo FINAL 2\_28\_2018’. [↑](#footnote-ref-181)
182. Same EER as Window AC recycling. Based on Nexus Market Research Inc, RLW Analytics, December 2005; “Impact, Process, and Market Study of the Connecticut Appliance Retirement Program: Overall Report.” [↑](#footnote-ref-182)
183. If there is no central cooling in place but the incentive encourages installation of a new ASHP with cooling, the added cooling load should be subtracted from any heating benefit. [↑](#footnote-ref-183)
184. Assumes that the decision to replace existing systems includes desire to add cooling. [↑](#footnote-ref-184)
185. Program tracking data does not provide an EER value. These are estimated based on the other values in the table. [↑](#footnote-ref-185)
186. Based on Wassmer, M. (2003). A Component-Based Model for Residential Air Conditioner and Heat Pump Energy Calculations. Masters Thesis, University of Colorado at Boulder. Note this is appropriate for single speed units only. [↑](#footnote-ref-186)
187. Based on analysis of metering results from 24 heat pumps in Ameren Illinois service territory in PY5 coincident with AIC’s 2010 system peak; ‘Impact and Process Evaluation of Ameren Illinois Company’s Residential HVAC Program (PY5)’. [↑](#footnote-ref-187)
188. Based on analysis of Itron eShape data for Missouri, calibrated to Illinois loads, supplied by Ameren. The average AC load over the PJM peak period (1-5pm, M-F, June through August) is divided by the maximum AC load during the year. [↑](#footnote-ref-188)
189. Based on analysis of metering results from 24 heat pumps in Ameren Illinois service territory in PY5 coincident with AIC’s 2010 system peak; ‘Impact and Process Evaluation of Ameren Illinois Company’s Residential HVAC Program (PY5)’. [↑](#footnote-ref-189)
190. Based on analysis of Itron eShape data for Missouri, calibrated to Illinois loads, supplied by Ameren. The average AC load over the PJM peak period (1-5pm, M-F, June through August) is divided by the maximum AC load during the year. [↑](#footnote-ref-190)
191. RES v C&I split is based on a weighted (by sales volume) average of ComEd PY8, PY9 and CY2018 in store intercept survey results. See ‘RESvCI Split\_2019.xlsx’. [↑](#footnote-ref-191)
192. Utilities to provide list of all stores that are easily accessed by income qualified communities, as defined above, by December 31, 2022, with one of the utility's quarterly reports and to the utility's independent evaluator. The Utilities will update the list of stores annually, by December 31 of each year of the current portfolio cycle in a similar fashion. [↑](#footnote-ref-192)
193. Baseline and LED lamp costs for both directional and decorative and globe are based on field data collected by CLEAResult and provided by ComEd. See ComEd Pricing Projections 06302016.xlsx for analysis. [↑](#footnote-ref-193)
194. Based on the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs. [↑](#footnote-ref-194)
195. Based on lighting logger study conducted as part of the PY5/6 ComEd Residential Lighting Program evaluation. the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs was unable to provide coincidence factors for specialty LEDs in exterior applications. [↑](#footnote-ref-195)
196. Based on a weighted average of coincidence factors in interior and exterior applications, assuming 5% exterior lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-196)
197. See file “LED Lamp Updates 2021-06-09” for details on Guidehouse lamp wattage calculations based on equivalent baseline wattage and LED wattage of available ENERGY STAR product. [↑](#footnote-ref-197)
198. From pg. 13 of the ENERGY STAR Specification for lamps v2.1 [↑](#footnote-ref-198)
199. See ‘ESLampCenterBeamTool.xls’. [↑](#footnote-ref-199)
200. The ENERGY STAR Center Beam Candle Power tool does not accurately model baseline wattages for lamps with certain bulb characteristic combinations – specifically for lamps with very high CBCP. [↑](#footnote-ref-200)
201. In Service Rates now represent the lifetime In Service Rates with the second and third year installations discounted by the Real Discount Rate of 0.46%. Lifetime ISR assumptions for efficiency kits are based upon comparing with CFL Distribution First year ISR and multiplying by the CFL Distribution Final ISR value, capped at 95%, and second and third year estimates based on same proportion of future installs. For all other programs the 98% Lifetime ISR assumption is based upon the standard CFL measure in the absence of any better reference. This value is based upon review of two evaluations:

     ‘Nexus Market Research, RLW Analytics and GDS Associates study; “New England Residential Lighting Markdown Impact Evaluation, January 20, 2009’ and ‘KEMA Inc, Feb 2010, Final Evaluation Report:, Upstream Lighting Program, Volume 1.’ This implies that only 2% of bulbs purchased are never installed. The second and third year installations are based upon Ameren analysis of the Californian KEMA study showing that 54% of future installs occur in year 2 and 46% in year 3. [↑](#footnote-ref-201)
202. 1st year in service rate is based upon analysis of ComEd PY8, PY9 and CY2018 intercept data (see ‘Res Lighting ISR\_2019.xlsx’ for more information). [↑](#footnote-ref-202)
203. Consistent with assumption for standard LEDs (in the absence of evidence that it should be different for this bulb type). Based upon average of Navigant low income single family direct install field work LED ISR and review of the PY2 and PY3 ComEd Direct Install program surveys. This value includes bulb failures in the 1st year to be consistent with the Commission approval of annualization of savings for first year savings claims. ComEd PY2 All Electric Single Family Home Energy Performance Tune-Up Program Evaluation, Navigant Consulting, December 21, 2010. [↑](#footnote-ref-203)
204. An equal weighted average of Direct Install and Direct Mail Kit ISRs. Interest and applicability of measures confirmed through virtual assessment. [↑](#footnote-ref-204)
205. In Service Rates provided are for the bulb within a kit only. Given the significant differences in program design and the level of education provided through Efficiency Kits programs, the evaluators should apply the ISR estimated through evaluations (either past evaluations or the current program year evaluation) of the specific Efficiency Kits program.  In cases where program-specific evaluation results for an ISR are unavailable, the default ISR values for Efficiency Kits provide may be used. [↑](#footnote-ref-205)
206. Free bulbs provided without request, with little or no education. Consistent with Standard CFL assumptions. [↑](#footnote-ref-206)
207. 1st year ISR for school kits based on ComEd PY9 data for the Elementary Energy Education program. Final ISR assumptions are based upon comparing with CFL Distribution First year ISR and multiplying by the CFL Distribution Final ISR value, and second and third year estimates based on same proportion of future installs. [↑](#footnote-ref-207)
208. Opt-in program to receive kits via mail, with little or no education. Consistent with Standard CFL assumptions. [↑](#footnote-ref-208)
209. Research from 2021 Ameren Illinois Income Qualified participant survey (customer self-report), available on IL SAG website:

     https://ilsag.s3.amazonaws.com/AIC-Income-Qualified-Initiative-Participant-Survey-Results-Memo-FINAL-2022-02-01.pdf [↑](#footnote-ref-209)
210. Kits distributed in a community setting, targeted to income qualified communities. Research from 2018 Ameren Illinois Income Qualified participant survey. [↑](#footnote-ref-210)
211. Leakage in is only appropriate to credit to IL utility program savings if it is reasonably expected that the IL utility program marketing efforts played an important role in influencing customer to purchase the light bulbs. Furthermore, consideration that such customers might be free riders should be addressed. If leakage in is assessed, efforts should be made to ensure no double counting of savings occurs if the evaluation is estimating both leakage in and spillover savings of light bulbs. [↑](#footnote-ref-211)
212. Leakage rate is based upon review of PY8-CY2018 evaluations from ComEd and PY5,6 and 8 for Ameren. [↑](#footnote-ref-212)
213. Based on the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs. [↑](#footnote-ref-213)
214. Based on lighting logger study conducted as part of the PY5/6 ComEd Residential Lighting Program evaluation. The IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs was unable to provide hours of use for specialty LEDs in exterior applications. [↑](#footnote-ref-214)
215. Based on a weighted average of interior and exterior hours of use from the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs, assuming 15% exterior specialty lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-215)
216. The value is estimated at 1.06 (calculated as 1 + (0.66\*(0.27 / 2.8)). Based on cooling loads decreasing by 27% of the lighting savings (average result from REMRate modeling of several different configurations and IL locations of homes), assuming typical cooling system operating efficiency of 2.8 COP (starting from standard assumption of SEER 10.5 central AC unit, converted to 9.5 EER using algorithm (-0.02 \* SEER2) + (1.12 \* SEER) (from Wassmer, M. (2003). A Component-Based Model for Residential Air Conditioner and Heat Pump Energy Calculations. Masters Thesis, University of Colorado at Boulder), converted to COP = EER/3.412 = 2.8COP) and 66% of homes in Illinois having central cooling ("Table HC7.9 Air Conditioning in Homes in Midwest Region, Divisions, and States, 2009 from Energy Information Administration", 2009 Residential Energy Consumption Survey) [↑](#footnote-ref-216)
217. As above but using estimate of 45% of multifamily buildings in Illinois having central cooling (based on data from “Table HC7.1 Air Conditioning in U.S. Homes, By Housing Unit Type, 2009” which is for the whole of the US, scaled to IL air conditioning prevalence compared to US average) [↑](#footnote-ref-217)
218. Unknown is weighted average of interior v exterior (assuming 15% exterior specialty lighting based on distribution of LEDs from on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study) and SF v MF interior based on statewide weighted average of 69% single family and 31% multifamily, based on IL data from 2009 RECS Table HC2.9 Structural and Geographic Characteristics of Homes in Midwest Region, Divisions and States, 2009. [↑](#footnote-ref-218)
219. Negative value because this is an increase in heating consumption due to the efficient lighting. [↑](#footnote-ref-219)
220. This means that heating loads increase by 49% of the lighting savings. This is based on the average result from REMRate modeling of several different configurations and IL locations of homes. [↑](#footnote-ref-220)
221. Based on a weighted average of interior and exterior hours of use from the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs, assuming 15% exterior specialty lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-221)
222. These default system efficiencies are based on the applicable minimum Federal Standards. In 2006 the Federal Standard for Heat Pumps was adjusted. While one would expect the average system efficiency to be higher than this minimum, the likely degradation of efficiencies over time mean that using the minimum standard is appropriate. Note efficiency should include duct losses. Defaults provided assume 15% duct loss for heat pumps. [↑](#footnote-ref-222)
223. Calculation assumes 35% Heat Pump and 65% Resistance, which is based upon data from Energy Information Administration, 2009 Residential Energy Consumption Survey, see “HC6.9 Space Heating in Midwest Region.xls”, using average for East North Central Region. Average efficiency of heat pump is based on assumption that 50% are units from before 2006 and 50% from 2006-2014. Program or evaluation data should be used to improve this assumption if available. [↑](#footnote-ref-223)
224. The value is estimated at 1.11 (calculated as 1 + (0.66 \* 0.466 / 2.8)). See footnote relating to WHFe for details. Note the 46.6% factor represents the average Residential cooling coincidence factor calculated by dividing average load during the peak hours divided by the maximum cooling load. [↑](#footnote-ref-224)
225. As above but using estimate of 45% of multifamily buildings in Illinois having central cooling (based on data from “Table HC7.1 Air Conditioning in U.S. Homes, By Housing Unit Type, 2009” which is for the whole of the US, scaled to IL air conditioning prevalence compared to US average) [↑](#footnote-ref-225)
226. Unknown is weighted average of interior v exterior (assuming 15% exterior specialty lighting based on distribution of LEDs from on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study) and SF v MF interior based on statewide weighted average of 69% single family and 31% multifamily, based on IL data from 2009 RECS Table HC2.9 Structural and Geographic Characteristics of Homes in Midwest Region, Divisions and States, 2009. [↑](#footnote-ref-226)
227. Based on the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs. [↑](#footnote-ref-227)
228. Based on lighting logger study conducted as part of the PY5/6 ComEd Residential Lighting Program evaluation. the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs was unable to provide coincidence factors for specialty LEDs in exterior applications. [↑](#footnote-ref-228)
229. Based on a weighted average of coincidence factors in interior and exterior applications, assuming 5% exterior lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-229)
230. Average result from REMRate modeling of several different configurations and IL locations of homes [↑](#footnote-ref-230)
231. Based on a weighted average of interior and exterior hours of use from the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs, assuming 15% exterior specialty lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-231)
232. This has been estimated assuming that natural gas central furnace heating is typical for Illinois residences (66% of Illinois homes have a Natural Gas Furnace (based on Energy Information Administration, 2009 Residential Energy Consumption Survey)

     In 2000, 24% of furnaces purchased in Illinois were condensing (based on data from GAMA, provided to Department of Energy during the federal standard setting process for residential heating equipment - see Furnace Penetration.xls). Furnaces tend to last up to 20 years and so units purchased 10 years ago provide a reasonable proxy for the current mix of furnaces in the State. Assuming typical efficiencies for condensing and non-condensing furnaces and duct losses, the average heating system efficiency is estimated as follows:

     (0.24\*0.92) + (0.76\*0.8) \* (1-0.15) = 0.70 [↑](#footnote-ref-232)
233. RES v C&I split is based on a weighted (by sales volume) average of ComEd PY8, PY9 and CY2018 and Ameren PY8 in store intercept survey results. See ‘RESvCI Split\_2019.xlsx’. [↑](#footnote-ref-233)
234. Utilities to provide list of all stores that are easily accessed by income qualified communities, as defined above, by December 31, 2022, with one of the utility's quarterly reports and to the utility's independent evaluator. The Utilities will update the list of stores annually, by December 31 of each year of the current portfolio cycle in a similar fashion. [↑](#footnote-ref-234)
235. Baseline and LED lamp costs are based on field data collected by CLEAResult and provided by ComEd. See ComEd Pricing Projections 06302016.xlsx for analysis. [↑](#footnote-ref-235)
236. Based on the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs. [↑](#footnote-ref-236)
237. Based on lighting logger study conducted as part of the PY5/6 ComEd Residential Lighting Program evaluation. the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs was unable to provide coincidence factors for screw-based omnidirectional LEDs in exterior applications. [↑](#footnote-ref-237)
238. Based on a weighted average of coincidence factors in interior and exterior applications, assuming 5% exterior lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-238)
239. See file “LED Lamp Updates 2021-06-09” for details on Guidehouse lamp wattage calculations based on equivalent baseline wattage and LED wattage of available ENERGY STAR product. [↑](#footnote-ref-239)
240. In Service Rates now represent the lifetime In Service Rates with the second and third year installations discounted by the Real Discount Rate of 0.46%. Lifetime ISR assumptions for efficiency kits are based upon comparing with CFL Distribution First year ISR and multiplying by the CFL Distribution Final ISR value, capped at 95%, and second and third year estimates based on same proportion of future installs. For all other programs Tthe 98% Lifetime ISR assumption is based upon the standard CFL measure in the absence of any better reference. This value is based upon review of two evaluations:

     ‘Nexus Market Research, RLW Analytics and GDS Associates study; “New England Residential Lighting Markdown Impact Evaluation, January 20, 2009’ and ‘KEMA Inc, Feb 2010, Final Evaluation Report:, Upstream Lighting Program, Volume 1.’ This implies that only 2% of bulbs purchased are never installed. The second and third year installations are based upon Ameren analysis of the Californian KEMA study showing that 54% of future installs occur in year 2 and 46% in year 3. [↑](#footnote-ref-240)
241. 1st year in service rate is based upon analysis of ComEd PY8, PY9 and CY2018 and Ameren PY8 intercept data (see ‘RES Lighting ISR\_2019.xlsx’ for more information). [↑](#footnote-ref-241)
242. Based upon average of Navigant low income single family direct install field work LED ISR and Standard CFL assumption in the absence of better data, and is based upon review of the PY2 and PY3 ComEd Direct Install program surveys. This value includes bulb failures in the 1st year to be consistent with the Commission approval of annualization of savings for first year savings claims. ComEd PY2 All Electric Single Family Home Energy Performance Tune-Up Program Evaluation, Navigant Consulting, December 21, 2010. [↑](#footnote-ref-242)
243. An equal weighted average of Direct Install and Direct Mail Kit ISRs. Interest and applicability of measures confirmed through virtual assessment. [↑](#footnote-ref-243)
244. In Service Rates provided are for the bulb within a kit only. Given the significant differences in program design and the level of education provided through Efficiency Kits programs, the evaluators should apply the ISR estimated through evaluations (either past evaluations or the current program year evaluation) of the specific Efficiency Kits program.  In cases where program-specific evaluation results for an ISR are unavailable, the default ISR values for Efficiency Kits provide may be used. [↑](#footnote-ref-244)
245. Free bulbs provided without request, with little or no education. Consistent with Standard CFL assumptions. [↑](#footnote-ref-245)
246. 1st year ISR for school kits based on ComEd PY9 data for the Elementary Energy Education program. Final ISR assumptions are based upon comparing with CFL Distribution First year ISR and multiplying by the CFL Distribution Final ISR value, and second and third year estimates based on same proportion of future installs. [↑](#footnote-ref-246)
247. Opt-in program to receive kits via mail, with little or no education. Consistent with Standard CFL assumptions. [↑](#footnote-ref-247)
248. Research from 2021 Ameren Illinois Income Qualified participant survey (customer self-report), available on IL SAG website:

     https://ilsag.s3.amazonaws.com/AIC-Income-Qualified-Initiative-Participant-Survey-Results-Memo-FINAL-2022-02-01.pdf [↑](#footnote-ref-248)
249. Kits distributed in a community setting, targeted to income qualified communities. Research from 2018 Ameren Illinois Income Qualified participant survey. [↑](#footnote-ref-249)
250. Free bulbs provided through local food banks and food pantries. [↑](#footnote-ref-250)
251. 1st year ISR is determined based on online surveys conducted for ComEd CY2018 Food Bank LED Distribution program. See ‘CY2018 ComEd Foodbank LED Dist Survey Results\_Navigant’. [↑](#footnote-ref-251)
252. Leakage in is only appropriate to credit to IL utility program savings if it is reasonably expected that the IL utility program marketing efforts played an important role in influencing customer to purchase the light bulbs. Furthermore, consideration that such customers might be free riders should be addressed. If leakage in is assessed, efforts should be made to ensure no double counting of savings occurs if the evaluation is estimating both leakage in and spillover savings of light bulbs. [↑](#footnote-ref-252)
253. Leakage rate is based upon review of PY8-CY2018 evaluations from ComEd and PY8 for Ameren. [↑](#footnote-ref-253)
254. Based on the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs. [↑](#footnote-ref-254)
255. Based on lighting logger study conducted as part of the PY5/6 ComEd Residential Lighting Program evaluation. The IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs was unable to provide hours of use for screw-based omnidirectional LEDs in exterior applications. [↑](#footnote-ref-255)
256. Based on a weighted average of hours of use in interior and exterior applications, assuming 5% exterior lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-256)
257. The value is estimated at 1.06 (calculated as 1 + (0.66\*(0.27 / 2.8)). Based on cooling loads decreasing by 27% of the lighting savings (average result from REMRate modeling of several different configurations and IL locations of homes), assuming typical cooling system operating efficiency of 2.8 COP (starting from standard assumption of SEER 10.5 central AC unit, converted to 9.5 EER using algorithm (-0.02 \* SEER2) + (1.12 \* SEER) (from Wassmer, M. (2003). A Component-Based Model for Residential Air Conditioner and Heat Pump Energy Calculations. Masters Thesis, University of Colorado at Boulder), converted to COP = EER/3.412 = 2.8COP) and 66% of homes in Illinois having central cooling ("Table HC7.9 Air Conditioning in Homes in Midwest Region, Divisions, and States, 2009 from Energy Information Administration", 2009 Residential Energy Consumption Survey) [↑](#footnote-ref-257)
258. As above but using estimate of 45% of multifamily buildings in Illinois having central cooling (based on data from “Table HC7.1 Air Conditioning in U.S. Homes, By Housing Unit Type, 2009” which is for the whole of the US, scaled to IL air conditioning prevalence compared to US average) [↑](#footnote-ref-258)
259. Unknown is weighted average of interior v exterior (assuming 5% exterior lighting based on distribution of LEDs from on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study) and SF v MF interior based on statewide weighted average of 69% single family and 31% multifamily, based on IL data from 2009 RECS Table HC2.9 Structural and Geographic Characteristics of Homes in Midwest Region, Divisions and States, 2009. [↑](#footnote-ref-259)
260. Negative value because this is an increase in heating consumption due to the efficient lighting. [↑](#footnote-ref-260)
261. This means that heating loads increase by 49% of the lighting savings. This is based on the average result from REMRate modeling of several different configurations and IL locations of homes. [↑](#footnote-ref-261)
262. Based on a weighted average of interior and exterior hours of use from the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs, assuming 15% exterior specialty lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-262)
263. These default system efficiencies are based on the applicable minimum Federal Standards. In 2006 the Federal Standard for Heat Pumps was adjusted. While one would expect the average system efficiency to be higher than this minimum, the likely degradation of efficiencies over time mean that using the minimum standard is appropriate. Note efficiency should include duct losses. Defaults provided assume 15% duct loss for heat pumps. [↑](#footnote-ref-263)
264. Calculation assumes 35% Heat Pump and 65% Resistance, which is based upon data from Energy Information Administration, 2009 Residential Energy Consumption Survey, see “HC6.9 Space Heating in Midwest Region.xls”, using average for East North Central Region. Average efficiency of heat pump is based on assumption that 50% are units from before 2006 and 50% from 2006-2014. Program or evaluation data should be used to improve this assumption if available. [↑](#footnote-ref-264)
265. The value is estimated at 1.11 (calculated as 1 + (0.66 \* 0.466 / 2.8)). See footnote relating to WHFe for details. Note the 46.6% factor represents the average Residential cooling coincidence factor calculated by dividing average load during the peak hours divided by the maximum cooling load. [↑](#footnote-ref-265)
266. As above but using estimate of 45% of multifamily buildings in Illinois having central cooling (based on data from “Table HC7.1 Air Conditioning in U.S. Homes, By Housing Unit Type, 2009” which is for the whole of the US, scaled to IL air conditioning prevalence compared to US average) [↑](#footnote-ref-266)
267. Unknown is weighted average of interior v exterior (assuming 5% exterior lighting based on distribution of LEDs from on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study) and SF v MF interior based on statewide weighted average of 69% single family and 31% multifamily, based on IL data from 2009 RECS Table HC2.9 Structural and Geographic Characteristics of Homes in Midwest Region, Divisions and States, 2009. [↑](#footnote-ref-267)
268. Based on the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs. [↑](#footnote-ref-268)
269. Based on lighting logger study conducted as part of the PY5/6 ComEd Residential Lighting Program evaluation. the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs was unable to provide coincidence factors for screw-based omnidirectional LEDs in exterior applications. [↑](#footnote-ref-269)
270. Based on a weighted average of coincidence factors in interior and exterior applications, assuming 5% exterior lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-270)
271. Average result from REMRate modeling of several different configurations and IL locations of homes [↑](#footnote-ref-271)
272. Based on a weighted average of interior and exterior hours of use from the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs, assuming 15% exterior specialty lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-272)
273. This has been estimated assuming that natural gas central furnace heating is typical for Illinois residences (66% of Illinois homes have a Natural Gas Furnace (based on Energy Information Administration, 2009 Residential Energy Consumption Survey)

     In 2000, 24% of furnaces purchased in Illinois were condensing (based on data from GAMA, provided to Department of Energy during the federal standard setting process for residential heating equipment - see Furnace Penetration.xls). Furnaces tend to last up to 20 years and so units purchased 10 years ago provide a reasonable proxy for the current mix of furnaces in the State. Assuming typical efficiencies for condensing and non-condensing furnaces and duct losses, the average heating system efficiency is estimated as follows:

     (0.24\*0.92) + (0.76\*0.8) \* (1-0.15) = 0.70 [↑](#footnote-ref-273)
274. RES v C&I split is based on a weighted (by sales volume) average of ComEd PY8, PY9 and CY2018 and Ameren PY8 in store intercept survey results. See ‘RESvCI Split\_2019.xlsx’. [↑](#footnote-ref-274)
275. Based on recommendation in the Dunsky Energy Consulting, Livingston Energy Innovations and Opinion Dynamics Corporation; NEEP Emerging Technology Research Report, p 6-18. [↑](#footnote-ref-275)
276. Representing a third of the expected lamp lifetime. [↑](#footnote-ref-276)
277. Average rated lives are based on the average rated lives of fixtures available on the ENERGY STAR qualifying list as of 2/26/2018. [↑](#footnote-ref-277)
278. Based on recommendation in the Dunsky Energy Consulting, Livingston Energy Innovations and Opinion Dynamics Corporation; NEEP Emerging Technology Research Report, p 6-18. [↑](#footnote-ref-278)
279. Baseline and LED lamp costs are based on field data collected by CLEAResult and provided by ComEd. See ComEd Pricing Projections 06302016.xlsx for analysis. [↑](#footnote-ref-279)
280. Incremental costs for task/under cabinet and downlight fixtures are from the 2018 Michigan Energy Measures Database. [↑](#footnote-ref-280)
281. Consistent with measure 4.5.4 LED Bulbs and Fixtures in Volume 2. [↑](#footnote-ref-281)
282. Based on the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs. [↑](#footnote-ref-282)
283. Based on lighting logger study conducted as part of the PY5/6 ComEd Residential Lighting Program evaluation. the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs was unable to provide coincidence factors for screw-based omnidirectional LEDs in exterior applications. [↑](#footnote-ref-283)
284. Based on a weighted average of coincidence factors in interior and exterior applications, assuming 5% exterior lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-284)
285. See file “LED Lamp Updates 2021-06-09” for details on Guidehouse lamp wattage calculations based on equivalent baseline wattage and LED wattage of available ENERGY STAR product. [↑](#footnote-ref-285)
286. In Service Rates now represent the lifetime In Service Rates with the second and third year installations discounted by the Real Discount Rate of 0.46%. Lifetime ISR assumptions for efficiency kits are based upon comparing with CFL Distribution First year ISR and multiplying by the CFL Distribution Final ISR value, capped at 95%, and second and third year estimates based on same proportion of future installs. For all other programs Tthe 98% Lifetime ISR assumption is based upon the standard CFL measure in the absence of any better reference. This value is based upon review of two evaluations:

     ‘Nexus Market Research, RLW Analytics and GDS Associates study; “New England Residential Lighting Markdown Impact Evaluation, January 20, 2009’ and ‘KEMA Inc, Feb 2010, Final Evaluation Report:, Upstream Lighting Program, Volume 1.’ This implies that only 2% of bulbs purchased are never installed. The second and third year installations are based upon Ameren analysis of the Californian KEMA study showing that 54% of future installs occur in year 2 and 46% in year 3. [↑](#footnote-ref-286)
287. 1st year in service rate is based upon analysis of ComEd PY8, PY9 and CY2018 and Ameren PY8 intercept data (see ‘RES Lighting ISR\_2019.xlsx’ for more information). [↑](#footnote-ref-287)
288. Based upon average of Navigant low income single family direct install field work LED ISR and Standard CFL assumption in the absence of better data, and is based upon review of the PY2 and PY3 ComEd Direct Install program surveys. This value includes bulb failures in the 1st year to be consistent with the Commission approval of annualization of savings for first year savings claims. ComEd PY2 All Electric Single Family Home Energy Performance Tune-Up Program Evaluation, Navigant Consulting, December 21, 2010. [↑](#footnote-ref-288)
289. An equal weighted average of Direct Install and Direct Mail Kit ISRs. Interest and applicability of measures confirmed through virtual assessment. [↑](#footnote-ref-289)
290. In Service Rates provided are for the bulb within a kit only. Given the significant differences in program design and the level of education provided through Efficiency Kits programs, the evaluators should apply the ISR estimated through evaluations (either past evaluations or the current program year evaluation) of the specific Efficiency Kits program.  In cases where program-specific evaluation results for an ISR are unavailable, the default ISR values for Efficiency Kits provide may be used. [↑](#footnote-ref-290)
291. Free bulbs provided without request, with little or no education. Consistent with Standard CFL assumptions. [↑](#footnote-ref-291)
292. 1st year ISR for school kits based on ComEd PY9 data for the Elementary Energy Education program. Final ISR assumptions are based upon comparing with CFL Distribution First year ISR and multiplying by the CFL Distribution Final ISR value, and second and third year estimates based on same proportion of future installs. [↑](#footnote-ref-292)
293. Opt-in program to receive kits via mail, with little or no education. Consistent with Standard CFL assumptions. [↑](#footnote-ref-293)
294. Research from 2021 Ameren Illinois Income Qualified participant survey (customer self-report), available on IL SAG website:

     https://ilsag.s3.amazonaws.com/AIC-Income-Qualified-Initiative-Participant-Survey-Results-Memo-FINAL-2022-02-01.pdf [↑](#footnote-ref-294)
295. Kits distributed in a community setting, targeted to income qualified communities. Research from 2018 Ameren Illinois Income Qualified participant survey. [↑](#footnote-ref-295)
296. Free bulbs provided through local food banks and food pantries. [↑](#footnote-ref-296)
297. 1st year ISR is determined based on online surveys conducted for ComEd CY2018 Food Bank LED Distribution program. See ‘CY2018 ComEd Foodbank LED Dist Survey Results\_Navigant’. [↑](#footnote-ref-297)
298. Leakage in is only appropriate to credit to IL utility program savings if it is reasonably expected that the IL utility program marketing efforts played an important role in influencing customer to purchase the light bulbs. Furthermore, consideration that such customers might be free riders should be addressed. If leakage in is assessed, efforts should be made to ensure no double counting of savings occurs if the evaluation is estimating both leakage in and spillover savings of light bulbs. [↑](#footnote-ref-298)
299. Leakage rate is based upon review of PY8-CY2018 evaluations from ComEd and PY8 for Ameren. [↑](#footnote-ref-299)
300. Based on the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs. [↑](#footnote-ref-300)
301. Based on lighting logger study conducted as part of the PY5/6 ComEd Residential Lighting Program evaluation. The IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs was unable to provide hours of use for screw-based omnidirectional LEDs in exterior applications. [↑](#footnote-ref-301)
302. Based on a weighted average of hours of use in interior and exterior applications, assuming 5% exterior lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-302)
303. Based on the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs. [↑](#footnote-ref-303)
304. Based on lighting logger study conducted as part of the PY5/6 ComEd Residential Lighting Program evaluation. The IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs was unable to provide hours of use for specialty LEDs in exterior applications. [↑](#footnote-ref-304)
305. Based on a weighted average of interior and exterior hours of use from the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs, assuming 15% exterior specialty lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-305)
306. Task/under cabinet hours of use are estimated at 2 hours per day. [↑](#footnote-ref-306)
307. Consistent with Linear Task/Under Cabinet assumption. [↑](#footnote-ref-307)
308. The value is estimated at 1.06 (calculated as 1 + (0.66\*(0.27 / 2.8)). Based on cooling loads decreasing by 27% of the lighting savings (average result from REMRate modeling of several different configurations and IL locations of homes), assuming typical cooling system operating efficiency of 2.8 COP (starting from standard assumption of SEER 10.5 central AC unit, converted to 9.5 EER using algorithm (-0.02 \* SEER2) + (1.12 \* SEER) (from Wassmer, M. (2003). A Component-Based Model for Residential Air Conditioner and Heat Pump Energy Calculations. Masters Thesis, University of Colorado at Boulder), converted to COP = EER/3.412 = 2.8COP) and 66% of homes in Illinois having central cooling ("Table HC7.9 Air Conditioning in Homes in Midwest Region, Divisions, and States, 2009 from Energy Information Administration", 2009 Residential Energy Consumption Survey) [↑](#footnote-ref-308)
309. As above but using estimate of 45% of multifamily buildings in Illinois having central cooling (based on data from “Table HC7.1 Air Conditioning in U.S. Homes, By Housing Unit Type, 2009” which is for the whole of the US, scaled to IL air conditioning prevalence compared to US average) [↑](#footnote-ref-309)
310. Unknown is weighted average of interior v exterior (assuming 5% exterior lighting based on distribution of LEDs from on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study) and SF v MF interior based on statewide weighted average of 69% single family and 31% multifamily, based on IL data from 2009 RECS Table HC2.9 Structural and Geographic Characteristics of Homes in Midwest Region, Divisions and States, 2009. [↑](#footnote-ref-310)
311. Negative value because this is an increase in heating consumption due to the efficient lighting. [↑](#footnote-ref-311)
312. This means that heating loads increase by 49% of the lighting savings. This is based on the average result from REMRate modeling of several different configurations and IL locations of homes. [↑](#footnote-ref-312)
313. Based on a weighted average of interior and exterior hours of use from the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs, assuming 15% exterior specialty lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-313)
314. These default system efficiencies are based on the applicable minimum Federal Standards. In 2006 the Federal Standard for Heat Pumps was adjusted. While one would expect the average system efficiency to be higher than this minimum, the likely degradation of efficiencies over time mean that using the minimum standard is appropriate. Note efficiency should include duct losses. Defaults provided assume 15% duct loss for heat pumps. [↑](#footnote-ref-314)
315. Calculation assumes 35% Heat Pump and 65% Resistance, which is based upon data from Energy Information Administration, 2009 Residential Energy Consumption Survey, see “HC6.9 Space Heating in Midwest Region.xls”, using average for East North Central Region. Average efficiency of heat pump is based on assumption that 50% are units from before 2006 and 50% from 2006-2014. Program or evaluation data should be used to improve this assumption if available. [↑](#footnote-ref-315)
316. The value is estimated at 1.11 (calculated as 1 + (0.66 \* 0.466 / 2.8)). See footnote relating to WHFe for details. Note the 46.6% factor represents the average Residential cooling coincidence factor calculated by dividing average load during the peak hours divided by the maximum cooling load. [↑](#footnote-ref-316)
317. As above but using estimate of 45% of multifamily buildings in Illinois having central cooling (based on data from “Table HC7.1 Air Conditioning in U.S. Homes, By Housing Unit Type, 2009” which is for the whole of the US, scaled to IL air conditioning prevalence compared to US average) [↑](#footnote-ref-317)
318. Unknown is weighted average of interior v exterior (assuming 5% exterior lighting based on distribution of LEDs from on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study) and SF v MF interior based on statewide weighted average of 69% single family and 31% multifamily, based on IL data from 2009 RECS Table HC2.9 Structural and Geographic Characteristics of Homes in Midwest Region, Divisions and States, 2009. [↑](#footnote-ref-318)
319. Based on the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs. [↑](#footnote-ref-319)
320. Based on lighting logger study conducted as part of the PY5/6 ComEd Residential Lighting Program evaluation. the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs was unable to provide coincidence factors for screw-based omnidirectional LEDs in exterior applications. [↑](#footnote-ref-320)
321. Based on a weighted average of coincidence factors in interior and exterior applications, assuming 5% exterior lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-321)
322. Average result from REMRate modeling of several different configurations and IL locations of homes [↑](#footnote-ref-322)
323. Based on a weighted average of interior and exterior hours of use from the IL Statewide LED Lighting Logger study conducted as part of the PY8/PY9 evaluations of the Ameren Illinois and ComEd Residential Lighting programs, assuming 15% exterior specialty lighting. The distribution of LEDs is based on the on-site lighting inventory conducted as part of the IL Statewide LED Lighting Logger study. [↑](#footnote-ref-323)
324. This has been estimated assuming that natural gas central furnace heating is typical for Illinois residences (66% of Illinois homes have a Natural Gas Furnace (based on Energy Information Administration, 2009 Residential Energy Consumption Survey)

     In 2000, 24% of furnaces purchased in Illinois were condensing (based on data from GAMA, provided to Department of Energy during the federal standard setting process for residential heating equipment - see Furnace Penetration.xls). Furnaces tend to last up to 20 years and so units purchased 10 years ago provide a reasonable proxy for the current mix of furnaces in the State. Assuming typical efficiencies for condensing and non-condensing furnaces and duct losses, the average heating system efficiency is estimated as follows:

     (0.24\*0.92) + (0.76\*0.8) \* (1-0.15) = 0.70 [↑](#footnote-ref-324)